

**Glen Canyon Dam Adaptive Management Work Group**  
**Agenda Item Information**  
**May 6, 2010**

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Agenda Item

FY11-12 Preliminary Budget

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Action Requested

- ✓ Motion requested. (The following motion is recommended by TWG. However, no motion is made unless and until an AMWG member makes the motion in accordance with the AMWG Operating Procedures.)

AMWG gives the following direction to TWG members as they work with GCMRC and Reclamation to develop a recommendation for a biennial budget, workplan, and hydrograph for FY11-12: . . .

*(Note: We anticipate that AMWG will review the issues of concern, listed below under "Background Information," and offer TWG guidance on them by adding to the motion, above.)*

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Presenters

*Note: There will be no presentations because of the limited time available on the Webinar. However, these three, among others, will be able to answer questions as needed.*

Shane Capron, Chair, Technical Work Group  
John Hamill, Chief, Grand Canyon Monitoring and Research Center  
Dennis Kubly, Chief, Adaptive Management Group, Bureau of Reclamation

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Previous Action Taken

- ✓ **By AMWG:** At its August 2004 meeting, AMWG agreed to a 2-year budget and workplan development process that included an opportunity to review the preliminary draft budget and offer guidance to TWG in advance of the meeting at which AMWG would consider recommendation of the budget to the Secretary of the Interior.
- ✓ **By AMWG:** At its August 2004 meeting, AMWG approved the following program priorities:
  - Priority 1: Why are the Humpback chub not thriving, and what can we do about it? How many Humpback chub are there and how are they doing?
  - Priority 2: Which cultural resources, including TCPs, are within the APE, which should we treat, and how do we best protect them? What are the status and trends of cultural resources and what are the agents of deterioration?
  - Priority 3: What is the best flow regime?
  - Priority 4: What is the impact of sediment loss and what should we do about it?
  - Priority 5: What will happen when we test or implement the TCD? How should it be operated? Are safeguards needed for management?

- ✓ **By TWG:** By a vote of 14 yes, 1 no, and 1 abstain, TWG passed the following motion at its March 2010 meeting:  
TWG has reviewed the preliminary FY 2011-12 biennial budget provided by GCMRC and Reclamation and is forwarding that budget to AMWG along with a list of concerns for AMWG consideration and feedback. This recommendation is based on a two-year biennial budget as requested by AMWG at their August 2009 meeting. The TWG will work with GCMRC and Reclamation to develop a final biennial budget recommendation for FY 2011-12 and a proposed workplan and hydrograph over the summer, incorporating input from AMWG, using the recommended biennial budget process.

TWG requests either AMWG concurrence with the TWG recommendations on the “Issues of Concern” or further direction on how to resolve these. (*Please see “Background Information,” below, for the list of issues of concern.*)

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Relevant Science

N/A

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Background Information

The issues of concern, as forwarded by TWG, are as follows. The numbers in parentheses following each enumerated issue reflect the vote on that issue at the TWG meeting. Each paragraph is numbered in the order in which TWG voted on them. They have been reorganized here for clarity.

Some of the items below have additional background information noted. In addition, John Hamill’s April 6, 2010 memorandum to the AMWG (attached) provided the following information to facilitate the AMWG’s review of the preliminary budget:

- A summary of projects included in the FY 11-12 budget
- A table of projects that had to be deferred or significantly scaled back due to funding shortfalls
- A response to each of the TWG’s concerns described below
- Proposed changes or deletions to the proposed budget based on discussions with the TWG

***The following items have to do with TWG’s concerns that the current budget may not be adequate, and concerns about the use of set-aside funds to make up for shortfalls:***

3. TWG is concerned about the continued use of the experimental fund for other purposes within the budget. Without setting aside the experimental fund, it may be difficult to carry out flow experiments in the future. Should there be an HFE in FY11 or FY12, having this small amount of money available for data gathering and analysis would mean no meaningful study. The default would be determining the effect of an HFE through the monitoring program alone. An HFE should be only be conducted to answer direct science questions. Therefore, a science plan should be developed and funding should be identified for this purpose. (10/3/3)

4. (line 24) TWG is concerned about the continued use of the warm water nonnative fish contingency fund for other purposes within the budget. (no objection)

5. (line 166) GCMRC has moved numerous projects out of the budget to an unfunded projects list. Many of these issues represent compliance requirements or other important projects that should be carried out to further the goals of the GCDAMP. The AMWG should consider other mechanisms

for acquiring funding for these projects, such as identified in the biennial budget process paper. (13/2/2)

16. TWG advises the AMWG that if a long-term experimental management plan EIS is undertaken in FY11 or 12 the amount of power revenues requested in the budget will increase. (no objection)

**Additional information:** Reclamation anticipates that the upcoming EIS will be funded by a combination of power revenues and Reclamation appropriations (as was the LTEP EIS). Since power revenues are capped per legislation, this would mean that the amount transferred to GCMRC would be decreased or there would have to be a reallocation of Reclamation power revenues.

***The following items have to do with projects that have been deferred or not funded in the proposed budget:***

2. (line 175) Humpback chub translocations above Chute Falls have been deferred by GCMRC. TWG believes this is an important compliance requirement, and a project that has shown great potential for positive effects on the LCR population and should be funded in FY11 and FY12. (no objection)

9. (line 188) The FY11-12 budget/workplan should include \$89,568 to fund deferred project DASA 12.D9.10-11. This one-time study is needed to aid the AMP in quantifying a desired future condition for sediment resources. This work could be funded by reducing the DASA 12.D5.10 cooperative agreement by \$89,568 for one year or \$45,000 over two years. (11/3/2)

11. (line 168) Increased mainstem fish monitoring should be funded in FY 11 and 12. (no objection)  
**Additional information:** Proponents for this motion believe that the level of mainstem fish monitoring is inadequate to correctly estimate changes in both native and nonnative fish populations, including the middle Grand Canyon humpback chub aggregations, and to detect invasions of undesirable species.

12. (line 186) Since this geomorphological modeling project assists in the identification of the impacts of dam operation vs. the impacts of natural effects, this project should be funded. (no objection)

***The following items have to do with additional projects to be funded, or additional funding for projects in the draft budget:***

1. Implement a new start in the work plan for power economics, which will be carried out by WAPA in FY 2011 and 2012, as described in the proposal provided by WAPA dated 3/15/10. WAPA will perform these tasks with no cost to the GCDAMP, and will provide the actual cost as a cooperator in the budget spreadsheet. The work will be part of the work plan and coordinated and reviewed by GCMRC. The workplan would be developed by GCRMC and WAPA in coordination with the TWG. This will result in costs to GCMRC that will need to be provided to oversee and provide peer review of this project. (10/3/3)

**Additional information:** The proposal from WAPA referenced above can be found at [http://webstage.usbr.gov/uc/rm/amp/twg/mtgs/10mar15/Attach\\_06.pdf](http://webstage.usbr.gov/uc/rm/amp/twg/mtgs/10mar15/Attach_06.pdf).

7. (line 115) Add funding in FY 2011 for DFC support (\$60,000), including facilitation and decision support. (no objection)

8. (line 71) The FY11-12 budget/workplan should include \$25,000 to fund an Extirpated Species Workshop to achieve the following:

1. Finalize and prioritize species list.
2. Assess current compliance environment for various implementation strategies.
3. Develop a strategic framework for implement extirpated species goal within AMP.

This work could be funded by reducing the DASA 12.D5.10 cooperative agreement by \$25,000. (12/3/1)

10. (line 160) Evaluation of rainbow and brown trout movement . . . this funding is inadequate for the purpose of studying and implementing possible alternatives to lethal fish removal. We suggest an increase to \$200,000 to \$300,000. Alternatively, we suggest a budget correction after tribal consultation and resulting actions [are] identified. (no objection)

**Additional information:** The referenced movement of rainbow and brown trout is from upstream (rainbow trout) and downstream (brown trout) of the reach containing the confluence with the Little Colorado River in which mechanical removal has been conducted. The amount of funding proposed for FY 11 is \$111,201 and the proposed source of funds is the Experimental Fund.

13. (lines 38-42) Recommend that DOI and DOE meet with the tribes to discuss including a CPI increase for tribal participation to those tribes that utilize their allocation, consultation, and tribal monitoring programs. Another tribal entity may participate in FY11 and additional funding may be necessary. (no objection)

**Additional information:** This recommendation would potentially allow those tribes who have fully utilized their allocation to see a CPI increase in future years. The Havasupai Tribe may participate in the AMP in FY11.

***The following issue could produce substantive changes in the preliminary budget:***

17. TWG recognizes that it does not have a formal process for evaluating and identifying a proposed hydrograph to the AMWG, intends to undertake that development in this budget cycle, and requests feedback from AMWG on how to proceed. (8/7/1)

**Additional information:** Previous hydrograph development has relied largely upon Reclamation's modeling efforts to estimate dam releases. GCMRC now has modeling capability to predict some resource responses to different dam operations, including impacts on sediment. TWG expects to use that capability to develop a hydrograph for a recommendation to AMWG. There also is the potential for an HFE in 2011 or 2012 that will need to be considered. Since the hydrograph drives the budget and workplan, it is important that they be viewed and treated as interrelated components. TWG requests guidelines from AMWG on principles to follow as they undertake this process. For additional background, see the proposed AOP development process that was discussed at the first meeting of the AMWG in September 1997

([http://www.usbr.gov/uc/rm/amp/amwg/mtgs/97sep10/Attach\\_06.pdf](http://www.usbr.gov/uc/rm/amp/amwg/mtgs/97sep10/Attach_06.pdf)).

***The following issues do not involve additional funding:***

14. (line 29) Develop methodologies to integrate tribal perspectives into the treatment plan. (no objection)

**Additional information:** No new funding would be needed if this recommendation were adopted. Reclamation is in communication with the tribes to determine and integrate their perspectives on additions and changes to the treatment plan. Once those negotiations are

completed, it will be clear what funds are necessary to implement the treatment plan. Funding for development of any needed methodologies will come from the treatment plan line item.

15. The budget spreadsheet and workplan should include other projects being undertaken by cooperators using funds outside of the GCDAMP funding. (7/6/3)

***The following issue was forwarded to AMWG for information, not for action:***

6. Although GCMRC has designated projects in the spreadsheet as core monitoring (COR), TWG has only provisionally approved the sediment-related programs at this time and will be considering the other programs over the next few years. (no objection)



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April 6, 2010

MEMORANDUM

**To:** Adaptive Management Work Group

**From:** John Hamill, Chief, Grand Canyon Monitoring and Research Center, Southwest Biological Science Center, US Geological Survey, Flagstaff, Arizona

**Subject:** Preliminary Fiscal Year 2011-12 Glen Canyon Dam Adaptive Management Program Budget

**Attachment 1** is the subject preliminary draft budget for your consideration. **Attachment 2** provides a summary of the projects and activities included in the budget. The draft budget was developed based on guidance provided in the Monitoring and Research Plan (MRP) to Support the Glen Canyon Dam (GCD) Adaptive Management Program (AMP) which was updated last year to reflect the March 2008 Environmental Assessment and Conservation Measures included in Fish and Wildlife Service Biological Opinions related to the operation of GCD. In addition, GCMRC considered TWG input provided at the GCMRC's annual reporting meeting held in January 2010.

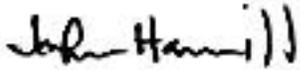
To achieve a balanced budget, a number of projects had to be scaled back or deferred to accommodate the budget shortfall incurred by the negative 1.3% CPI adjustment that occurred in FY10 and the anticipated 0% CPI adjustment for FY11. There are approximately \$2.6 million and \$2.8 million in deferred projects or project components in FY11 and FY12, respectively (**Attachment 3**). On March 15-16, 2010, GCMRC met with the TWG to review the preliminary budget. Our responses to the concerns raised by the TWG are shown in **Attachment 4**. **Attachment 5** identifies the several proposed changes to the FY 11-12 preliminary budget based on discussions with the TWG at their March meeting.

In the last two years, a flat budget along with an increased emphasis on funding management and compliance actions has greatly increased competition for funding in the AMP. GCMRC is concerned that this shift in emphasis will adversely impact the AMP monitoring and research program. GCMRC supports implementation of compliance and management actions, but believes they should be carried out by management agencies with funding from sources other than the current capped AMP hydropower revenues. In our view, a strategy is needed to seek Federal appropriations, additional power revenues, and/or potential voluntary financial contributions to meet the growing demands for science and management in the AMP. A logical

first step would be to undertake a process to identify the scope, objectives, schedule, cost and potential funding sources for research, monitoring, management, and compliance actions that need to be addressed over the next 10-15 years. We believe that DOI and the AMWG need to work together to address this issue.

After considering recommendations from the TWG, AMWG and DOI agencies, GCMRC, consistent with the established budget process, will develop detailed work plans for each of the projects that will be submitted to the Budget Ad hoc Group, TWG and AMWG for final review and consideration.

Your participation in this review process is appreciated.



JOHN HAMILL  
Chief, GCMRC

Attachments:

- 1- FY11-12 Preliminary Budget
- 2- FY11-12 Project Summary Table
- 3- List of deferred projects
- 4- GCMRC response to TWG concerns
- 5- GCMRC proposed changes to the FY11-12 Preliminary Budget

cc: TWG  
Secretary's Designee

## Attachment 2 GCMRC FY11-12 Project Summaries

Project	FY11 Summary	FY12 Summary
<b>1. Food Base</b>		
Aquatic Foodbase monitoring	Focus on completion of research, reports, PEP review, and development of core monitoring plan; All field sampling deferred	Implementation of core monitoring plan subject to approval
<b>2. Native Fishes</b>		
Mainstem & LCR Monitoring	Repeat FY10 monitoring, revise based on analysis of PEP recommendations; Defer increased mainstem monitoring	Repeat FY10 monitoring, revise based on analysis of PEP recommendations; Defer increased mainstem monitoring
HBC Translocation & Monitoring	Monitor HBC status above Chute Falls; Defer translocation	Project deferred; Assumes monitoring HBC status above Chute Falls and HBC translocation will be funded with an alternative funding source
Stock Assessment of Native Fish	Continued analysis of fish stock data; Complete & publish ASMR analysis	Continued analysis of fish stock data; No ASMR
Remote PIT Tag Reading	Operate & maintain equipment and analyze data; Defer expansion of the system	Operate & maintain equipment and analyze data; Defer expansion of the system
Near Shore Ecology	Implement project per work plan; Increase logistics funding	Implement project per work plan; Field work ends in October, 2011; Increase logistics funding for October river trip
Mainstem Nonnative Fish Control	Implement one LCR control trip (experimental fund); Defer 2 <sup>nd</sup> trip	Project deferred; Provide alternative funding source for 1 to 6 removal trips near LCR at \$150k per trip (as needed)
Nonnative Control Plan Science Support	Implement priority research & monitoring recommendations; Reduce staff support by 25%	Implement priority research & monitoring recommendations; Maintain FY11 staff support level
NEW Evaluation of Trout Movement, Natal Origins and Alternatives for Controlling Rainbow Trout Populations Near the LCR	Implement priority research projects within available budget (experimental fund)	Implement priority research projects within available budget (experimental fund)
Biometrics & General Analysis (Vice Coggins)	ASMR / modeling support	Deferred due to lack of funding

Project	FY11 Summary	FY12 Summary
<b>3. Extirpated Species</b>		
	No funded projects	No funded projects
<b>4. Rainbow Trout</b>		
	Continue adult RBT monitoring; Defer monitoring of early life stages unless HFE is conducted (subject to available funds)	Continue adult RBT monitoring; Defer monitoring of early life stages unless HFE is conducted (subject to available funds)
5. Kanab Ambersnail	Continue annual monitoring	Continue annual monitoring
6. Springs / Riparian	Implement vegetation transect monitoring (assumes approval of core monitoring plan); Analyze 2009 imagery for vegetation change	Analyze 2009 imagery; Defer bird and/or arthropod monitoring
<b>7. Quality of Water</b>		
Lake Powell & Tailwaters	Continue monitoring; PEP review; Increase emphasis on analysis and modeling	Continue monitoring; Implement PEP findings; Increase emphasis on analysis and modeling
Downstream	Continue monitoring flow, temperature and sediment, etc.; PEP review	Continue monitoring flow, temperature and sediment, etc.; Implement PEP findings
Integrated Flow, Temperature & Sediment Modeling	Operate & maintain models; Defer further development	Operate & maintain models; Defer further development
<b>8. Sediment</b>		
	Evaluate channel mapping results; Additional mapping contingent on findings; Monitor sandbars area & volume	Resume channel mapping (contingent on FY11 findings & recommendations)
<b>9. Recreation</b>		
	Conduct biennial campsite monitoring; Continue river guide monitoring; Analyze campsite atlas data as part of integrated image analysis project; Update & maintain campsite atlas on website	Camp area field monitoring does not resume until FY13; Continue river guide monitoring; Analyze campsite atlas data as part of integrated image analysis project; Update & maintain campsite atlas on website; Campsite PEP review
<b>10. Hydropower</b>		
	Serve data via website; Annual report	Serve data via website; Annual report
<b>11. Cultural</b>		
	Implement pilot monitoring with reduced scope (fewer sites, etc), which may extend length of project	Implement pilot monitoring with reduced scope (fewer sites, etc), which may extend length of project

Project	FY11 Summary	FY12 Summary
<b>12. DASA</b>		
Overflights	Contribute \$71k to overflight fund	Contribute \$129k to overflight fund
Oracle Database	Update & maintain database	Update & maintain database
Library Operations / Scanning	Maintain GCMRC library reduced to ½ time position; Defer online library system	Maintain GCMRC library reduced to ½ time position; Defer online library system
GIS Support	Provide GIS support to GCMRC projects; Defer hiring term appointment (use student)	Provide GIS support to GCMRC projects; Defer hiring term appointment (use student)
Integrated Image Analysis & Change Detection	Coordinate analysis of 2009 imagery; Map & analyze sandbars, campsites, backwaters & vegetation	Final reporting of 2009 imagery; Plan for 2013 overflight
<b>12. Planning</b>		
Ecosystem Modeling	Working with senior ecologist, continue to update & refine ecosystem models, focusing on aquatic resources; Defer model expansion, publication of results, & MATA workshop	Working with senior ecologist, continue to update & refine ecosystem models, focusing on aquatic resources; Defer model expansion, publication of results, & MATA workshop
Knowledge Assessment & SCORE Report	Complete KA & initiate S.C.O.R.E. report	Finalize S.C.O.R.E. report
NEW Desired Future Conditions (DFCs)	Facilitation and decision support deferred due to lack of funding. Recommend funding from Reclamation's portion of budget	Provides \$50k for facilitation & decision support to develop quantitative DFCs;
<b>12. Support</b>		
Logistics Base	Provide base logistics support to field operations	Provide base logistics support to field operations
Survey & Control Network	Provide survey support to GCMRC projects (through contract); Maintain & expand network as needed	Provide survey support to GCMRC projects (through contract); Maintain & expand network as needed
<b>12. Administrative</b>		
Operations	Continue to provide administrative support	Continue to provide administrative support
Program Planning & Management	Continue to provide planning & management support	Continue to provide planning & management support
AMWG/TWG Travel	Continue to provide funding to attend AMWG & TWG meetings	Continue to provide funding to attend AMWG & TWG meetings

<b>Project</b>	<b>FY11 Summary</b>	<b>FY12 Summary</b>
Independent Reviews	Peer review all publications; Integrated Water Quality and Food Base PEP & KAS PEP	Peer review all publications; Campsite & Sediment PEPs
Science Advisors	Maintain current SA support	Maintain current SA support
Computer Systems Support	Maintain IT support for GCMRC	Maintain IT support for GCMRC
Synthesis of High Flow Experiment	Complete HFE synthesis by 01/01/11	

**Attachment 3 GCMRC Proposed FY11 and FY12 Deferred or Scaled Back Projects**

<b>FY11 &amp; FY12 Deferred or Scaled Back Projects</b>				
<b>DEFERRED / Unfunded Projects</b>		<b>Deferred / Unfunded GROSS FY11 Budget</b>	<b>Deferred / Unfunded GROSS FY12 Budget</b>	<b>Comments</b>
BIO 1.M1.11	Aquatic Food Base Monitoring	84,185	84,185	Deferred field work including sampling at Diamond Creek and Lees Ferry in FY11 & FY12
BIO 2.M4.11	Increased Monitoring of Mainstem Fishes	239,319	239,319	Deferred increased mainstem monitoring in FY11, FY12. subject to change based on fish data analyses
BIO 6.M2.11	Bird Monitoring / Alternating Years with Vegetation Transect Monitoring	-	53,045	Defer bird monitoring FY12
DASA 12.D1.11	Hyperspectral Overflight for Vegetation Mapping	95,176	95,176	Deferred FY10, FY11, FY12
<b>Sub-total Deferred Monitoring</b>		<b>418,680</b>	<b>471,725</b>	
BIO 2.tbd	NEW Near Shore Ecology / Fall Steady Flows Thermal Imaging	86,200	86,200	Deferred FY11, FY12
BIO 4.E2.11	Monitoring Lees Ferry Fishes for Annual Recruitment	79,568	79,568	Deferred except in year's with a High Flow Experiment
<b>Sub-total Deferred Experimental Research</b>		<b>165,768</b>	<b>165,768</b>	
BIO 2.M3.11	Humpback Chub Translocation Above Chute Falls	93,922	145,494	<b>BOCM</b> Defer translocation above Chute Falls in FY11, FY12 (monitoring will continue in FY 11). Seek alternative funding source
BIO 2.R16.11	Mainstem Nonnative Fish Control (One Removal Trip)	149,903	150,000	<b>BOCM</b> Deferred due to tribal concerns and because one removal trip will be ineffective. Seek alternative funding source.
<b>Sub-total Deferred Management Actions</b>		<b>243,825</b>	<b>295,494</b>	
BIO 2.R19.11	Biometrics & General Analysis (Replace Coggins)	-	149,626	Deferred FY12-- GCMRC proposes to fund this position in FY 12. See <b>Attachment 5</b> .

<b>FY11 &amp; FY12 Deferred or Scaled Back Projects</b>				
<b>DEFERRED / Unfunded Projects</b>		<b>Deferred / Unfunded GROSS FY11 Budget</b>	<b>Deferred / Unfunded GROSS FY12 Budget</b>	<b>Comments</b>
BIO 6.R4.11	Arthropod Monitoring Research & Development	95,395	95,395	Proposed by PEP to be implemented in alternating years (FY10 & FY12);
PHY 7.R2.11	Further Develop Integrated Flow, Temperature & Sediment Model	145,200	145,200	Defer further model research and development; funding provided for model maintenance and application
REC 9.R5.11	Evaluate Relation between Flows and Recreation Experience	225,000	225,000	Deferred in FY09, FY10, FY11, FY12
REC 9.R6.11	1973 Weeden Campsite Survey Revisited	75,000	75,000	Deferred in FY09, FY10, FY11, FY12
REC 9.R7.11	Update Regional Recreation Economic Study	250,000	250,000	Deferred in FY09, FY10, FY11, FY12
HYD 10. tbd	NEW Phase I - Results of Economic Value Workshop	117,273	117,273	Deferred FY11, FY12
CUL 11.R1.11	Cultural Research & Development towards Core Monitoring, Phase II	45,000	45,000	Reduced scope of work in FY11, FY12
CUL 11.R3.11	Geomorphologic Model of Archaeological Site Vulnerability	266,120	266,120	Deferred in FY09, FY10, FY11, FY12
PLAN 12.P1.11	Expanded Ecosystem Modeling (Walters, et al)	109,732	109,732	Defer model expansion & publication of results FY11, FY12
DASA 12.D9.11	1984 Sandbar Image Analysis	89,568	89,568	Deferred FY10, FY11, FY12
<b>Sub-total Deferred Research &amp; Development</b>		<b>1,418,288</b>	<b>1,567,914</b>	
PLAN 12.P1.11	Multi-Attribute Trade-off Analysis Workshop	33,169	33,169	Defer workshop FY10, FY11, FY12
PLAN 12.P5.11	NEW Desired Future Conditions Facilitation & Decision Support (FY11--FY12)	60,500	-	Deferred FY11. Propose that FY 11 funding be provided by Reclamation
<b>Sub-total Deferred Program Planning</b>		<b>93,669</b>	<b>33,169</b>	
SUP 12.S2.11	NEW Assessment of Vertical Accuracy & Precision for High-resolution Topographic Surfaces (Survey Ops)	31,276	31,276	Deferred FY11, FY12
DASA 12.D3.11	Library Operations Support	39,355	39,355	Deferred 1/2 time position FY11, FY12

<b>FY11 &amp; FY12 Deferred or Scaled Back Projects</b>				
<b>DEFERRED / Unfunded Projects</b>		<b>Deferred / Unfunded GROSS FY11 Budget</b>	<b>Deferred / Unfunded GROSS FY12 Budget</b>	<b>Comments</b>
DASA 12.D3.11	Implement New GCMRC Library System	24,200	24,200	Defer online library system FY11, FY12
ADM 12.A5.11	NEW Expanded Website Development (Component of SBSC Sys Admin Support)	72,903	72,903	Deferred 1/2 time position FY11, FY12
ADM 12.A4.11 (A)	Independent Reviews	12,705	-	Deferred Campsite Monitoring PEP FY11, FY12
ADM 12.A tbd	NEW Tribal Consultation Staff Support	119,609	119,609	Deferred FY11, FY12
<b>Sub-total Deferred Administrative and Program Management</b>		<b>300,048</b>	<b>287,343</b>	
<b>Total GCMRC Deferred Projects Sub-total Deferred Research &amp; Development</b>		<b>2,640,278</b>	<b>2,821,413</b>	

#### **Attachment 4 GCMRC Response FY 2011-12 Preliminary Budget Recommendation to the Adaptive Management Work Group - April 6, 2010**

**MOTION:** TWG has reviewed the preliminary FY 2011-12 biennial budget provided by GCMRC and Reclamation and is forwarding that budget to AMWG along with a list of concerns for AMWG consideration and feedback. This recommendation is based a two-year biennial budget as requested by AMWG at their August 2009 meeting. The TWG will work with GCMRC and Reclamation to develop a final biennial budget recommendation for FY 2011-12 and a proposed work plan and hydrograph over the summer, incorporating input from AMWG, using the recommended biennial budget process.

TWG requests either AMWG concurrence with the TWG recommendations on the “Issues of Concern” or further direction on how to resolve these.

***GCMRC General Comment:** In the last two years, a flat budget along with an increased emphasis on funding management and compliance actions has greatly increased competition for funding in the AMP. GCMRC is concerned that this shift in emphasis will adversely impact the AMP monitoring and research program. GCMRC supports implementation of compliance and management actions, but believes they should be carried out by management agencies with funding from sources other than the current capped AMP hydropower revenues. In our view, a strategy is needed to seek Federal appropriations, additional power revenues, and/or potential voluntary financial contributions to meet the growing demands for science and management in the AMP. A logical first step would be to undertake a process to identify the scope, objectives, schedule, cost and potential funding sources for research, monitoring, management, and compliance actions that need to be addressed over the next 10-15 years. We believe that DOI and the AMWG need to work together to address this issue in a timely manner.*

#### **Issues of Concern:**

1. Implement a new start in the work plan for power economics which will be carried out by WAPA in FY 2011 and 2012, as described in the proposal provided by WAPA dated 3/15/10. WAPA will perform these tasks with no cost to the GCDAMP, and will provide the actual cost as a cooperator in the budget spreadsheet. The work will be part of the work plan and coordinated and reviewed by GCMRC. The work plan would be developed by GCRMC and WAPA in coordination with the TWG. This will result in costs to GCMRC that will need to be provided to oversee and provide peer review of this project. (10/3/3)

***GCMRC Response:** An additional \$30-50K/year would be needed for GCMRC to acquire the expertise to develop and coordinate the work plan and provide peer review for this new initiative, and coordinate timely publication of findings pursuant to USGS publication standards. The relative priority and funding source for this initiative is unclear. In more general terms, the AMWG or DOI needs to determine whether additional economic analysis capacity is an AMP priority. It has been clearly identified as a priority by the Science Advisors and by previous NAS/NRC reviews of the program. However, it is currently not reflected in the AMWG priority questions or called for in the Monitoring and Research Plan.*

2. (Line 175) Humpback chub translocations above Chute Falls have been deferred by GCMRC. TWG believes this is an important compliance requirement, and a project that has shown great potential for positive effects on the LCR population and should be funded in FY 2011 and 2012. (No objection)

*GCMRC Response: This project has been carried out as a biological opinion conservation measure. It has been designed and implemented by FWS with little oversight from GCMRC and without an experimental plan that has been subjected to peer review. No final report has been developed on the project. Other translocation projects (Shinamu, Havasu Creek) are being implemented in a similar fashion with alternative (non AMP) funding. GCMRC believes that alternative funding should be obtained to carry out this activity since it is being carried out as a management action. If it is an experimental activity, a long term study plan should be developed by GCMRC in coordination with FWS. Continued funding of this activity by the AMP will impact other elements of the AMP science program.*

3. TWG is concerned about the continued use of the experimental fund for other purposes within the budget. Without setting aside the experimental fund, it may be difficult to carry out flow experiments in the future. Should there be an HFE in FY 11 or 12, having this small amount of money available for data gathering and analysis would mean no meaningful study. The default would be determining the effect of an HFE through the monitoring program alone. An HFE should only be conducted to answer direct science questions. Therefore, a science plan should be developed and funding should be identified for this purpose. (10/3/3)

*GCMRC Response: GCMRC does not believe that the use of the experimental fund was or should be limited only to high flow experiments. GCMRC agrees that the experimental fund is insufficient to meet all the competing demands for experimental work in the program. A summary table showing the experimental fund expenditure and balances for FY 2010, 2011 and 2012 is attached (**Attachment 4a**). Historically, HFE's science activities have been funded largely with Experimental Funds. In the future, the evaluation of the effects of future HFE's will be accomplished primarily through existing monitoring programs. For example, existing quality of water, sediment, and other resource monitoring projects will provide a great deal of information on the effects of future high flow. Some of the monitoring efforts may need to be expanded to evaluate the effects of the HFE. For example, it may be necessary to conduct additional vegetation, sandbar, campsite, trout or food base monitoring around the next HFE event. In addition, the response of the Lee's Ferry rainbow trout population to the last HFE, indicates that additional biological studies may be needed/prudent. The science costs associated with additional HFE's have not been determined, but it should be substantially less than the March 2008 experiment (~\$3.5M); we expect the science costs will be defined through the HFE protocol NEPA process. In any event, approximately, \$300-400K of the cost could be offset by deferring work that would not take place in a HFE year (e.g., channel mapping).*

4. (Line 24) TWG is concerned about the continued use of the warm water nonnative fish contingency fund for other purposes within the budget. (no objection)

***GCMRC Response:*** *The warm water nonnative fish contingency fund was established without consideration of the impact that establishment of the fund would have on the AMP science budget. GCMRC's draft nonnative fish technical report (Hilwig et al, 2010) recommends establishment of a \$900K nonnative fish contingency fund over a 3 year period using an alternative(non AMP) funding source. Given the current demand on the AMP budget, GCMRC does not believe it is reasonable or prudent to fund this out of the AMP science budget. Nonnative fish control (including both cold and warm water fishes) represents a potentially very costly undertaking in the program (\$1M/year). The AMWG and DOI agencies need to determine how this and other management/compliance actions will be funded without jeopardizing the AMP science program.*

5. (Line 166) GCMRC has moved numerous projects out of the budget to an unfunded projects list. Many of these issues represent compliance requirements or other important projects that should be carried out to further the goals of the GCDAMP. The AMWG should consider other mechanisms for acquiring funding for these projects, such as identified in the biennial budget process paper. (13/2/2)

***GCMRC Response:*** *See GCMRC General Comment above. GCMRC agrees with the TWG that this is a significant issue that needs to be addressed by the AMWG.*

6. Although GCMRC has designated projects in the spreadsheet as core monitoring (COR), TWG has only provisionally approved the sediment-related programs at this time and will be considering the other programs over the next few years. (no objection)

***GCMRC Response:*** *All such Core Monitoring designations are made with the understanding that they are subject to TWG and AMWG review in accordance with the Step 4 approval process in the general core monitoring plan.*

7. (Line 115) Add funding in FY 2011 for DFC support (60k), including facilitation and decision support. (No objection)

***GCMRC Response:*** *GCMRC believes this is an important need and supports this recommendation. GCMRC's science budget includes \$60K for this activity in FY 12. GCMRC believes a work plan or scope of work is needed for the DFC project that defines facilitation, decision support, and GCMRC science support needs (including the project that is being recommended under item 9 below). GCMRC believes this is primarily a program management function that should be funded by BOR. Accordingly, GCMRC recommends that any FY 11 funding for this project be derived from the BOR portion of the budget w/o any impact to GCMRC's science budget.*

8. (Line 71) The FY11-12 budget/work plan should include \$25,000 to fund an Extirpated Species Workshop to achieve the following:

- a. Finalize and prioritize species list
- b. Assess current compliance environment for various implementation strategies
- c. Develop a strategic framework for implement extirpated species goal within AMP

This work could be funded by reducing the DASA 12.D5.10 cooperative agreement by \$25,000. (12/3/1)

***GCMRC Response:** The AMWG or DOI needs to determine whether funding for extirpated species work (Goal 3) is an AMP priority. It is currently not reflected in the AMWG priority questions or called for in the Monitoring and Research Plan. Funding this out of DASA 12.D5.10 will impact a variety projects which need GIS support.*

*GCMRC is willing to assist with planning and organizing this workshop if the AMP decides to sponsor this activity. However, the direct costs for the workshop (i.e. conference room rental, travel reimbursements, speakers' fees, facilitators' fees, etc.) will not be covered by GCMRC.*

9. (Line 188) The FY11-12 budget/work plan should include \$89,568 to fund deferred project DASA 12.D9.10-11. This one-time study is needed to aid the AMP in quantifying a desired future condition for sediment resources. This work could be funded by reducing the DASA 12.D5.10 cooperative agreement by \$89,568 for one year or \$45,000 over two years. (11/3/2)

***GCMRC Response:** Funding this work seems premature until the DFC process determines that this analysis is needed. Funding this out of DASA 12.D5.10 will impact GIS support to a variety of projects and delay project deliverables. Also see GCMRC Response 7, above*

10. (Line 160) Evaluation of rainbow and brown trout movement . . . this funding is inadequate for the purpose of studying and implementing possible alternatives to lethal fish removal. We suggest an increase to \$200 to \$300 k. Alternatively, we suggest a budget correction after tribal consultation and resulting actions identified. (No objection)

***GCMRC Response:** The scope and the cost of this activity have yet to be determined. GCMRC budget includes a \$111K and 126K placeholder in FY 11 and FY 12, respectively to support this activity. Additional funding may be beneficial but would occur at the expense of other experimental fund activities. We assume that the scope of this science support for this activity will be defined as part of the NEPA process related to the nonnative fish/ mechanical removal project.*

11. (Line 168) Increased mainstem monitoring should be funded in FY 11 and 12. (no objection)

***GCMRC Response:** GCMRC recommends revisiting this suggestion following the completion of the Fish PEP analysis in the summer of 2010. Our hope is that increased mainstem monitoring could be accomplished by shifting work from the LCR to the mainstem. However, we do not support additional mainstem monitoring if it is accomplished at the expense of other aspects of the fish program or other program goals.*

12. (Line 186) Since this geomorphological modeling project assists in the identification of the impacts of dam operations vs. the impacts of natural effects, this project should be funded. (no objection)

*GCMRC Response: We believe a geomorphic model may potentially assist in the identification of the impacts of dam operations on cultural sites and be useful to frame the future monitoring program. The geomorphic workshop planned for later this year will better define the scope and benefits of a model. Due to the relatively high expected cost (~\$250K) and program funding constraints, GCMRC does not support model development in FY 11 or 12.*

13. (Lines 38-42) Recommend that DOI and DOE meet with the tribes to discuss including a CPI increase for tribal participation to those tribes that utilize their allocation, consultation and tribal monitoring programs. Another tribal entity may participate in FY 11 and additional funding may be necessary. (No objection)

*GCMRC Response: Funding for tribal participation is not within the purview of GCMRC. This is provided with DOI appropriated funds outside the scope of the AMP science budget*

14. (Line 29) Develop methodologies to integrate tribal perspectives into the treatment plan. (no objection)

*GCMRC Response: N/A. This project is managed by BOR.*

15. The budget spreadsheet and work plan should include other projects being undertaken by cooperators using funds outside of the GCDAMP funding. (7/6/3)

*GCMRC Response: We recommend that ancillary project descriptions, deliverables and associated cost should be identified in an appendix to the BWP. We agree with Robert King's comment at the last TWG meeting that the AMP budget/spreadsheet should not include funding being provided by other cooperators for GCDAMP ancillary projects*

16. TWG advises the AMWG that if a long term experimental management plan EIS is undertaken in FY11 or 12 the amount of power revenues requested in the budget will increase. (No objection)

*GCMRC Response: GCMRC believes the cost for a LTEMP EIS could be substantial and should be funded outside of the current AMP budget.*

17. TWG recognizes that it does not have a formal process for evaluating and identifying a proposed hydrograph to the AMWG, and intends to undertake that development in this budget cycle. (8/7/1)

*GCMRC Response: GCMRC staff support for the hydrograph development "process" should be factored into this discussion.*

## Failed TWG “issues of concern”

1. (Line 184) The FY11-12 budget/work plan should include \$117,273 to fund deferred project HYD 10.tbd, “Phase I – Results of Economic Value Workshop”. (6/7/3)

*GCMRC Response: We support this work. However, the AMWG or DOI needs to determine whether additional economic analysis capacity is an AMP priority. It has been clearly identified as a priority by the Science Advisors and by previous NAS/NRC reviews of the program. However, it is currently not reflected in the AMWG priority questions or called for in the Monitoring and Research Plan. If this work is a priority it’s not apparent where the funding will come from.*

2. (Line 66) The FY11-12 budget/work plan should initiate the development of a non-native fish control implementation plan that will include elements that will be scoped at the March 31<sup>st</sup> 2010 NNF workshop, but include the following elements:

- Define Cooperating Groups and Roles
  - Agencies and tribes involved
  - Roles of agencies and tribes in plan development
  - Roles of agencies and tribes in plan implementation
  - Role of conservation measures
- Define geographic and programmatic scope of plan
- Outline possible control alternatives for inclusion in plan
- Compliance and consultation and science needs
- Completion schedule and deadlines
- Funding needs for implementation
- Draft outline of chapters of plan

*GCMRC Response: GCMRC supports this initiative and believes that if the plan is developed collaboratively and with AMP support, it will facilitate implementation of nonnative fish management actions. Except for GCMRC science support to develop the plan, funding for developing this management plan should not be taken from the AMP science budget. Also the development of this plan should be coordinated with ongoing tribal consultation and the NEPA activities related to nonnative fish management.*

3. (Line 143 & 161): SCORE report – FY 11 & 12 are “tight” budget years. We suggest deferring this project. (3/13/0)

*GCMRC Response: GCMRC supports the development of a SCORE report and Knowledge Assessment in FY 11 and 12. We believe they are needed inform the LTEMP EIS and GCMRC and AMP strategic planning.*

**Attachment 4A Projected Experimental Fund Expenditures in FY10, FY11, and FY12  
With and Without the Near Shore Ecology**

<b>WITH Near Shore Ecology Supplemental Funding FY10 - FY12</b>				
<b>BOR Experimental Fund Summary</b>		<b>FY10 Experimental Fund</b>	<b>FY11 Experimental Fund</b>	<b>FY12 Experimental Fund</b>
<b>Beginning Balance at Start of Fiscal Year</b>		-	261,174	302,473
<b>Contributions from Bureau of Reclamation</b>		493,500	493,500	508,305
BIO 2.R15.11	Near Shore Ecology / Fall Steady Flows These costs for add'l logistics likely funded by appropriated funds; if so, these funds would remain in the Experimental Fund	166,000	166,000	72,600
BIO 2.E18.11	NEW Evaluation of Trout Movement, Natal Origins and Alternatives for Controlling Rainbow Trout Populations Near the Lower Colorado River	-	111,201	126,466
PLAN 12.E4.11	S.C.O.R.E. & Knowledge Assessment Updates	-	175,000	100,138
EXP 7	HFE Synthesis of Knowledge (Study 7)	66,326	-	-
<b>Total Expenditures</b>		<b>232,326</b>	<b>452,201</b>	<b>299,204</b>
<b>Balance at End of Fiscal Years</b>		<b>261,174</b>	<b>302,473</b>	<b>511,574</b>

<b>WITHOUT Near Shore Ecology Supplemental Funding</b>				
<b>BOR Experimental Fund Summary</b>		<b>FY10 Experimental Fund</b>	<b>FY11 Experimental Fund</b>	<b>FY12 Experimental Fund</b>
<b>Beginning Balance at Start of Fiscal Year</b>		-	427,174	634,473
<b>Contributions from Bureau of Reclamation</b>		493,500	493,500	508,305
BIO 2.E18.11	NEW Evaluation of Trout Movement, Natal Origins and Alternatives for Controlling Rainbow Trout Populations Near the Lower Colorado River	-	111,201	126,466
PLAN 12.E4.11	S.C.O.R.E. & Knowledge Assessment Updates	-	175,000	100,138
EXP 7	HFE Synthesis of Knowledge (Study 7)	66,326	-	-
<b>Total Expenditures</b>		<b>66,326</b>	<b>286,201</b>	<b>226,604</b>
<b>Balance at End of Fiscal Years</b>		<b>427,174</b>	<b>634,473</b>	<b>916,174</b>

## **Attachment 5 GCMRC Proposed Budget Changes FY 2011-12 Preliminary Budget (March 3, 2010)**

Based in the discussion at the TWG meeting on March 15-16, 2010, GCMRC proposes the following budget changes (additions and deletions) from its FY 2011-12 Preliminary Budget dated March 3, 2010. GCMRC welcomes TWG/AMWG comments on these proposed changes

### **FY 11**

**Delete mainstem mechanical removal (1 trip) (\$-150K)--** based on current data and immigration rates, up to 6 trips may be needed in both FY 11 and FY 12 to effectively reduce nonnative fish (mostly trout ) numbers near the LCR. We believe that one trip will provide little benefit based on the large numbers of trout that now occupy the LCR reach. In addition, there is no indication how or when tribal objections to the mechanical removal in the LCR reach will be resolved. The cost of this expanded mechanical removal effort could be up to \$900K /year (6 trips at \$150k per removal trip) using the current removal methods (more if live removal or other mitigation is required). GCMRC does not believe that it is reasonable or prudent to absorb into this cost in existing budget w/o eliminating several major components of the science program. An alternative funding source for this activity needs to be developed if it is pursued in the future. In addition, a full range of alternatives to the current removal project should be investigated. The \$150k of experimental funds that was included in GCMRC preliminary budget for mechanical removal could be redirected to evaluating upstream nonnative fish control alternatives or used to support future HFE science activities.

### **FY 12**

An additional \$150K will be added to support GCMRC's biometric/modeling position. (funding for this position was not included in GCMRC preliminary budget). Potential funding sources include:

- CPI adjustment (\$0 to +\$240K depending on FY 10 CPI)
- Delete Science Symposium (-30k-one time cost savings) (ADM 12.A6.11)
- Reduce SA by 10-20k/year (ADM 12.A4.11)
- Reduce GCMRC program management by -30k/year(ADM 12.A2.11)
- Delete hydropower monitoring (-9k/year) (HYD 10.M1.11)
- Reduce GCMRC travel by \$10k/ year (various projects)
- Reduce Aquatics Food Base Monitoring by \$50k/year (BIO1.M1.11)