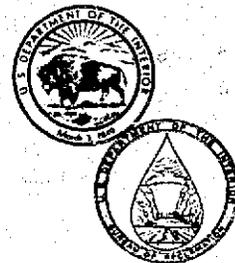


**REC-ERC-72-24**

# **INFLUENCE OF DRAFT TUBE SHAPE ON SURGING CHARACTERISTICS OF REACTION TURBINES**

**Uldis J. Palde  
Engineering and Research Center  
Bureau of Reclamation**

**July 1972**



TECHNICAL REPORT STANDARD TITLE PAGE

1. REPORT NO. REC-ERC-72-24	[REDACTED]	3. RECIPIENT'S CATALOG NO.	
4. TITLE AND SUBTITLE Influence of Draft Tube Shape on Surging Characteristics of Reaction Turbines		5. REPORT DATE Jul 72	
7. AUTHOR(S) Uldis J. Palde		6. PERFORMING ORGANIZATION CODE	
9. PERFORMING ORGANIZATION NAME AND ADDRESS Engineering and Research Center Bureau of Reclamation Denver, Colorado 80225		8. PERFORMING ORGANIZATION REPORT NO. REC-ERC-72-24	
12. SPONSORING AGENCY NAME AND ADDRESS		10. WORK UNIT NO.	
15. SUPPLEMENTARY NOTES		11. CONTRACT OR GRANT NO.	
16. ABSTRACT Laboratory experiments, using a simplified air model, were conducted to obtain the correlation between draft tube shape and the draft tube surge characteristics—the range of occurrence, frequencies, and pressure amplitudes of the surges. Dimensionless parameters were used to compare results. The draft tube shape was found to have significant influence on the surging characteristics. Surge measurements obtained from two hydraulic turbine model studies are also compared using the dimensionless parameters. Hydraulic and air model studies of the same draft tube produced satisfactory correlation. The information presented can be used to predict the surge characteristics of prototype and model turbines, or as an aid in draft tube design where surge reduction or resonance minimization are considered as design criteria. Examples are included to illustrate application of the laboratory results.		13. TYPE OF REPORT AND PERIOD COVERED	
17. KEY WORDS AND DOCUMENT ANALYSIS a. DESCRIPTORS-- / *draft tubes/ turbines/ *surges/ *hydroelectric powerplants/ hydraulic machinery/ fluid mechanics/ dimensional analysis/ model tests/ fluid flow/ pressure/ frequency/ *shape/ resonance/ test results/ hydraulic design/ *reaction turbines/ hydraulic turbines/ noise (sound)/ hydraulic models/ vibration/ wicket gates/ hydraulic similitude b. IDENTIFIERS-- / hydraulic pressure tests/ Fontenelle Dam, Wyo/ Grand Coulee Powerplant, Wash/ water pressure tests c. COSATI Field/Group: 13G		14. SPONSORING AGENCY CODE	
18. DISTRIBUTION STATEMENT Available from the National Technical Information Service, Operations Division, Springfield, Virginia 22151.		19. SECURITY CLASS (THIS REPORT) UNCLASSIFIED	21. NO. OF PAGES 29
		20. SECURITY CLASS (THIS PAGE) UNCLASSIFIED	22. PRICE

REC-ERC-72-24

**INFLUENCE OF DRAFT TUBE SHAPE  
ON SURGING CHARACTERISTICS  
OF REACTION TURBINES**

by  
**Uldis J. Palde**

**July 1972**

Hydraulics Branch  
Division of General Research  
Engineering and Research Center  
Denver, Colorado

---

**UNITED STATES DEPARTMENT OF THE INTERIOR**  
Rogers C. B. Morton  
Secretary

\* **BUREAU OF RECLAMATION**  
Ellis L. Armstrong  
Commissioner

#### ACKNOWLEDGMENT

The study was conducted under the supervision of Dr. Henry T. Falvey, Head, Hydraulics Research Section, whose advice, encouragement, and patience is greatly appreciated. Appreciation is also expressed to Dr. Jack C. Cassidy (presently Head of the Civil Engineering Department, University of Missouri at Columbia) who patiently introduced the author to the draft tube surge phenomenon and its measurement in the laboratory. The help of Robert H. Kuemmich in resolving instrumentation problems is also appreciated. The entire research project was under the direction of William E. Wagner, Hydraulics Branch Chief, Engineering and Research Center, Denver.

## CONTENTS

	Page
Notation . . . . .	ii
Conclusions . . . . .	1
Applications . . . . .	1
Introduction . . . . .	1
Analysis . . . . .	2
Laboratory Model . . . . .	3
Laboratory Procedure . . . . .	4
Draft Tube Shapes Studied . . . . .	4
Results . . . . .	5
Surge Measurements on Turbine Models . . . . .	7
Air Model and Hydraulic Turbine Model Comparison . . . . .	7
Application to Turbines . . . . .	23
References . . . . .	26
Appendix . . . . .	27

## NOTATION

$B$	=	height of wicket gates
$D_2$	=	runner diameter at minimum opening
$D_3, D$	=	diameter of draft tube throat
$f$	=	frequency (hz)
$g$	=	acceleration due to gravity
$H$	=	net head across turbine
$L$	=	length of draft tube
$N$	=	number of wicket gates
$n$	=	rotational speed (rev/min)
$n_{11}$	=	unit speed
$P$	=	power
$P_{11}$	=	specific power
$p'$	=	pressure surge amplitude fluctuation from mean
$Q$	=	discharge
$Q_{11}$	=	specific discharge
$R$	=	radial distance to center of flow through wicket gates
$S$	=	width of opening between wicket gates
$T$	=	torque
$V$	=	axial velocity
$\alpha$	=	radial inclination of flow through wicket gates
$\phi$	=	ratio of maximum runner rotational velocity to the spouting velocity
$\nu$	=	kinematic viscosity
$\pi$	=	3.1416
$\rho$	=	fluid density
$\delta$	=	turbine cavitation number
$\Omega$	=	flux of moment of momentum
$\omega$	=	angular velocity (rad/sec)
$\phi_1, \phi_2, \phi_3, \phi_4$	=	functions
$R$	=	Reynolds number

### Subscripts

$m$	=	model
$p$	=	prototype

## CONCLUSIONS

1. An air model of the type described in this report can successfully be used to determine the surging characteristics of hydraulic turbine draft tubes. The equipment need have no more mechanical components than the minimum required to precisely introduce, control, and measure swirling flow at the draft tube inlet.
2. The shape of the draft tube has a significant influence on the surging characteristics—the range of surging, the frequency band of the surges, and the amplitude of the resulting pressure pulsations.
3. The throat geometry generally has more influence on the surging characteristics than the shape of the remaining downstream portion of the draft tube.
4. The degree of divergence of a draft tube is the most significant geometric feature affecting surging characteristics. Bends and relative length have lesser influence.
5. Surging can be minimized by using an equivalent draft tube expansion angle of about  $15^\circ$  through the whole length of the draft tube, and possibly eliminated entirely with greater angles.
6. The use of straight cylinder sections (any L/D) or constant diameter elbows in or near the draft tube throat will increase the range of surging and generally increase the frequency and amplitude of the surges.
7. The possibility of resonance with known natural frequencies of other features of the hydroelectric plant can be checked with fair accuracy using the results presented, if the turbine performance characteristics and wicket gate geometry are known. Resonance can be avoided by proper choice of geometric components in the draft tube design.

## APPLICATIONS

The results presented in this report can be used to predict with fair accuracy the range of occurrence, frequencies, and pressure amplitudes of draft tube surges in prototype or model turbines. The draft tube shape and performance characteristics of the turbine must be known. The results can be used in draft tube design to help minimize the inevitable surging that will occur over some portion of the operating range of the turbine. Examples of dimensionless parameter

\*Numbers indicate references at end of report.

evaluation are included to encourage the generalized comparison of surge measurement data obtained from prototype and model turbines.

## INTRODUCTION

Draft tube surges have created problems in hydroelectric powerplants using reaction turbines for several decades.<sup>1</sup>

Draft tube surges generally occur over a range of gate positions above or below best efficiency. The most common adverse effects of the surges are noticeable vibration and pounding noise in the powerplant. More serious manifestations, such as periodic variations in power output (power swings), vertical movement of the runner and shaft, and penstock pressure pulsations and vibration have often been attributed to draft tube surges.

For many years, there was considerable speculation and disagreement about the cause of draft tube surges. It is now generally accepted that the draft tube surge is a hydrodynamic instability which occurs in the draft tube as the result of rotation remaining in the fluid as it leaves the turbine runner and enters the draft tube throat. The hydrodynamic instability produces spiralling vortex flow in the draft tube, which has been referred to in the literature as "vortex breakdown."

Bureau of Reclamation powerplants have not been an exception to the draft tube surge problem. As a first step in finding a solution to the problem, a concentrated research effort was directed toward determining the basic nature and cause of draft tube surges. A bibliography of literature pertaining to draft tube surges and swirling flow in tubes was collected. An analysis and experimental investigation was conducted to gain an understanding of draft tube surging and to correlate occurrence, frequency, and amplitude of the surges with flow and geometric variables related to the flow through the turbine and draft tube. The experimental investigation was reported in a USBR report by Cassidy<sup>2</sup> while the review of existing knowledge and an annotated bibliography was compiled in another USBR report by Falvey.<sup>1</sup> Specific aspects of the studies were also presented in two papers by Cassidy and Falvey.<sup>3, 4</sup>

Cassidy<sup>2</sup> noted that the dimensionless parameters used to correlate the occurrence, frequency, and amplitude of the surges were also functions of the draft tube shape. The results indicated that a thorough

investigation of this aspect would be of great value in understanding the surge phenomenon, in predicting frequencies, and in applying measures to reduce or eliminate the surges. The experimental work presented in this report is a continuation and expansion of Cassidy's studies. The same experimental equipment and analysis were used. However, all results are from original data obtained subsequent to Cassidy's studies.

This report contains sufficient analysis and description of experimental equipment and procedure to provide an ample background for the analysis and application of experimental results. For a more thorough discussion of the analysis and description of the experimental equipment, the reader should refer to reference,<sup>2</sup> while background information on problems arising from draft tube surges and attempts to solve them can be obtained from reference.<sup>1</sup>

## ANALYSIS

Dimensionless parameters were derived by Falvey and Cassidy<sup>4</sup> to help generalize experimental results of surging flow in a draft tube. It was assumed that for a particular draft tube shape, the frequency  $f$  and root-mean-square (rms) amplitude

$$\sqrt{(p')^2}$$

of the surge are both functions of the density  $\rho$  and the viscosity  $\nu$  of the fluid, the diameter  $D_3$  and length  $L$  of the draft tube, the discharge  $Q$ , and the flux of the moment of momentum (angular momentum)  $\Omega$ . Dimensional analysis yielded the following functional relationships:

pressure parameter

$$\frac{D_3^4 \sqrt{(p')^2}}{\rho Q^2} = \phi_1 \left( \frac{\Omega D_3}{\rho Q^2}, \frac{L}{D_3}, R \right)$$

and frequency parameter

$$\frac{f D_3^3}{Q} = \phi_2 \left( \frac{\Omega D_3}{\rho Q^2}, \frac{L}{D_3}, R \right)$$

Where  $R$  is the Reynolds number  $4Q/\pi D_3 \nu$ . The ratio  $\Omega D_3/\rho Q^2$  is referred to as the momentum parameter and is a ratio of angular momentum flux to linear momentum flux. The momentum parameter is, in effect, a useful measure of the amount of swirl in the flow. In applying it to the experimental study, the

assumption was made that regardless of the manner in which angular momentum is introduced into the flow, the resulting surging characteristics will be the same for a particular value of  $\Omega D_3/\rho Q^2$ . The frequency parameter is a form on the Strouhal number  $f D_3/V$  ( $V$  is the axial velocity), written in terms of discharge.

Based on his initial experimentation with a simplified model using air as the fluid, Cassidy<sup>2</sup> concluded that:

1. For a given draft tube shape there is a critical value of  $\Omega D_3/\rho Q^2$  above which surging flow exists.
2. The frequency and pressure parameters can be correlated with the momentum parameter for a given draft tube shape.
3. Frequency and rms pressure values of the surging flow are independent of viscous effects for Reynolds numbers above approximately 80,000 (prototype Reynolds numbers greatly exceed 80,000).

The momentum parameter  $\Omega D_3/\rho Q^2$  can be computed for a turbine if the runner diameter, wicket gate geometry, and the performance characteristics are known. If we start with the basic expression for power,

$$P = \omega T \quad (1)$$

where  $\omega$  is the angular velocity and  $T$  is torque, and substitute

$$T = \Omega_1 - \Omega_2 \quad (2)$$

where  $\Omega_1 - \Omega_2$  is the rate of change of moment of momentum of the flow as it passes through the runner, we obtain

$$\frac{P}{\omega} = \Omega_1 - \Omega_2 \quad (3)$$

Multiplying Equation (3) by  $D_3/\rho Q^2$  and rearranging terms,

$$\frac{\Omega_2 D_3}{\rho Q^2} = \frac{\Omega_1 D_3}{\rho Q^2} - \frac{P D_3}{\rho \omega Q^2} \quad (4)$$

The left side of Equation (4) is the momentum parameter associated with the flow at the draft tube throat. The first term on the right of the same equation is the momentum parameter of the flow leaving the wicket gates and entering the turbine runner and can be computed by

$$\frac{\Omega_1 D_3}{\rho Q^2} = \frac{D_3 R \sin \alpha}{BNS} \quad (5)$$

Equation (5) shows that  $\Omega_1 D_3 / \rho Q^2$  is entirely a function of wicket gate geometric variables (defined in Figure 1), and the draft tube throat diameter.

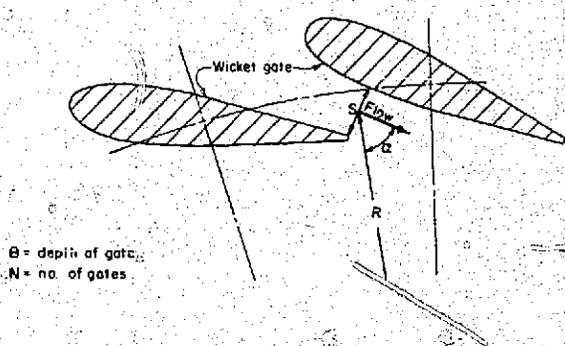


Figure 1. Definition sketch of flow leaving wicket gates and entering turbine runner.

The second term of Equation (4) can be computed from performance characteristics of the turbine, using the equation

$$\frac{PD_3}{\rho \omega Q^2} = \frac{550 P_{11} D_3}{2\sqrt{2g} \rho Q_{11}^2 \phi D_2} \quad (6)$$

Where  $\phi$  is the ratio of maximum runner rotational velocity to the spouting velocity (the computed velocity obtained from a velocity head equal to the net head), or

$$\phi = \frac{\pi D_3 n}{60\sqrt{2gH}} \quad (7)$$

$P_{11}$  is the specific power, or

$$P_{11} = \frac{P}{D_2^2 H^{3/2}} \quad (8)$$

and  $Q_{11}$  is specific discharge, or

$$Q_{11} = \frac{Q}{D_2^2 H^{1/2}} \quad (9)$$

Combining Equations (4), (5), and (6), we obtain

$$\frac{\Omega_2 D_3}{\rho Q^2} = \frac{D_3 R \sin \alpha}{BNS} \frac{550 P_{11} D_3}{2\sqrt{2g} \rho Q_{11}^2 \phi D_2} \quad (10)$$

which can be evaluated for prototype or model turbines from data (in English units) normally obtained during performance tests.

## LABORATORY MODEL

Laboratory experiments were conducted with air as the fluid and a model (Figure 2) utilizing some of the components of a model turbine which had been used in a prior hydraulic model study. The spiral case, stay vanes, and runner were removed. The wicket gates remained and served to produce swirl in the flow as it passed from a symmetrical pressure chamber into the draft tube (Figure 3). Radial inclination of the gates could be set at any angle between  $0^\circ$  (radial) and  $82^\circ$  (closed) to introduce varying amount of swirl in the flow.

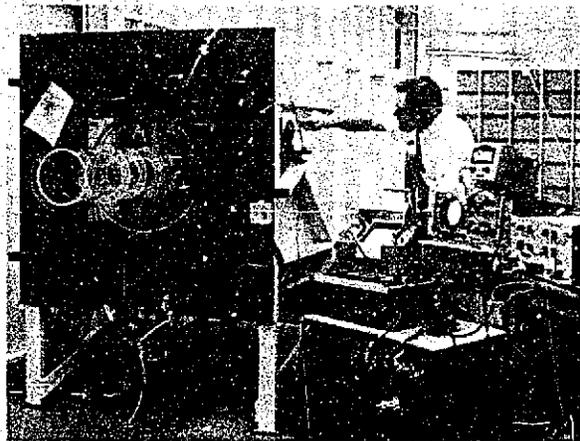


Figure 2. Laboratory air model and instruments.  
Photo PX-D-67575

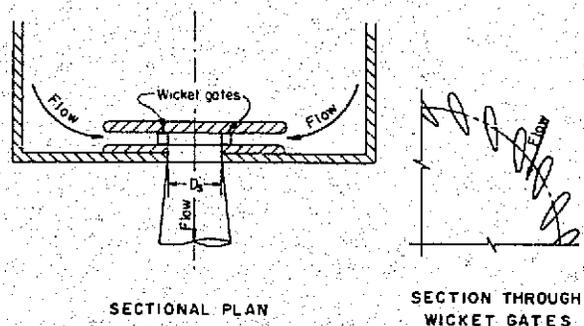


Figure 3. Schematic of laboratory air model.

The momentum parameter of the flow entering the draft tube could be determined in the simplified laboratory model with far less effort than would be required in a complete turbine model. Without the turbine runner, the momentum parameter at the draft tube throat is equal to that existing in the flow leaving the wicket gates. Equation (5) could therefore be used to compute the momentum parameter of the flow entering the draft tube:

$$\frac{\Omega_2 D_3}{\rho Q^2} = \frac{\Omega_1 D_3}{\rho Q^2} = \frac{D_3 R \sin \alpha}{BNS}$$

The computation of the pressure and frequency parameters required the measurement of discharge and the frequency and rms pressure of the draft tube surges. The rate of discharge was controlled at the wicket gate openings and varied along with the gate opening area with changes in the gate setting. The discharge was measured by a differential orifice located in the inlet pipe to the pressure box. Pressure differential across the orifice was measured with a pressure cell and conditioned by and recorded on one channel of a dual channel recorder-amplifier.

The plastic draft tubes being tested had piezometer taps at several locations along the walls. A pressure cell with a short piece of flexible tubing could be attached to the piezometers for dynamic pressure pickup. The signal was conditioned by the second channel of the recorder-amplifier and fed through a band-pass filter to an oscilloscope and rms meter. Frequencies of the periodic pressure pulsations were determined on the retentive screen of the oscilloscope. The instrumentation is shown in Figure 2.

## LABORATORY PROCEDURE

The values of  $R$ ,  $S$ , and  $\alpha$ , defined in Figure 1, vary with the gate position. These quantities were carefully measured or graphically determined for numerous gate angle settings, and tables for use in computations were prepared from smoothed curves of  $R$ ,  $S$ , and  $\alpha$  versus gate angle. The value of the momentum parameter for a particular gate setting could then be readily computed using Equation (5).

For a particular draft tube, the range of gate settings for which surging flow could be detected was first noted, as well as the approximate maximum and minimum frequencies in that range. If the frequency band was not too great, the high- and low-pass circuits on the band-pass filter were set somewhat outside this band. Occasionally the frequencies varied greatly and

the band pass was set to include most of the frequencies encountered, and later adjusted as required.

For a particular gate setting, a given draft tube surges at the same frequency throughout the entire tube (with a few notable exceptions). The amplitude, however, varies with location along the tube wall. Data were generally taken at a location where amplitude was maximum, since the frequency was best defined at that location.

For some tubes, data were obtained at several locations to determine the variation of amplitude with respect to location. For one draft tube, data were also obtained at several locations without feeding the signal through the band-pass filter, for comparison of rms pressures with the filtered signal results.

A run consisted of frequency and rms pressure measurements (along with discharge orifice pressure differential) taken at the same piezometer at numerous gate settings in the range of surging. The data, along with amplifier attenuation factors, air density, and descriptive information were recorded on data sheets suitable for ADP card keypunch use. The dimensionless parameters and other flow variables were then determined by computer. During the computer run, frequency and pressure parameter versus momentum parameter curves could be plotted on an online cathodo-ray tube (CRT) and photographed on 35mm microfilm for later copying and convenient storage. The CRT plotting routine was designed so that any six frequency or pressure parameter curves, each with a different symbol, could be plotted on the same figure (microfilm frame) by simply specifying the desired run numbers for each figure. The graphs used in Figures 4 through 18 (following Results section) are computer generated.

## DRAFT TUBE SHAPES STUDIED

Cassidy<sup>2</sup> determined that the draft tube shape definitely has an effect on the range of surging and on the frequency and rms pressure on the pulsations. His testing was limited to one model draft tube, an irregularly expanding cone, and several cylinders of varying diameter and length. From the results, it was not possible to extrapolate what shapes could eliminate or at least reduce the surging.

A systematic study to correlate the geometric shape components found in typical draft tubes with the surging characteristics was undertaken. A few of the shapes tested were actual models of draft tubes with

minor modifications. The greater majority, however, were simple geometrical shapes or combinations thereof, consisting of straight circular cylinders, truncated diverging cones, and circular cross-section elbows. The diameter, length, and angle of divergence were varied. Tests were repeated on all of the tubes which had already been tested by Cassidy<sup>2</sup> to provide a reliable base of comparison, since measurement instrument calibration discrepancies were discovered later.

Data were eventually obtained for about 75 distinct draft tube shapes (see Figure 1 in the Appendix). Only a fraction are included in the comparison of results which follow. The results that have been included generally reflect how different shapes or minor modifications have significant influence on the surging characteristics; they also show that some significant features, changes, or modifications have very minor, if any, influence. Many of these results were contrary to what might be expected or is presently assumed in draft tube design.

A minor limitation on the shapes that could be tested was imposed by the model itself. The downstream face of the pressure chamber had a thickness of 1.93 inches (4.9 centimeter (cm)) (see Figure 3), in which a permanent circular opening of 6.13 inches (15.6 cm) in diameter was provided for the outflow. Although the size of this opening could not be increased, it could be decreased. Or the shape could be changed (with the inevitable reduction of inlet diameter) by positioning a machined plastic insert of the required dimensions in the opening. Many of the tubes tested had inlet diameters of approximately 6 inches (15 cm).

The results presented by Cassidy<sup>2</sup> and much of the data obtained initially in this study were taken on 6-inch-diameter draft tubes, attached to the outer face of the pressure box. Consideration was not given to the short circular cylinder as a geometrical component upstream of the shape being tested. Subsequently, it was discovered that the upstream cylindrical section had significant influence on the surging characteristics. Much of the data for this condition was thus obtained unintentionally. But the data proved to be of value, as reflected in some of the included comparisons of results.

## RESULTS

The presented results should be considered largely for their qualitative comparisons. The onset of surging was only seldom discernible as a well-defined break in the flow regime, and was therefore usually subject to the

investigator's interpretation. Rms pressure values were repeatable from day to day only within about a 10 percent variation. The values were also influenced by the frequency band pass used and somewhat by the presence of the short length of flexible tubing between the piezometer and pressure cell (producing a fairly constant amplification of about 13 percent). Pressure parameter curves should therefore be used primarily to indicate trends and the order of magnitude of the surges.

Frequencies in most cases could be precisely determined and were closely repeatable from day to day for the same draft tube and gate setting. The values of the resulting frequency parameter can therefore be used in a quantitative sense.

The results are presented as plots of the dimensionless parameters in Figures 4 through 18 (starting with page 8). In most cases, the surging characteristics of several shapes are compared in the same figure. Scaled schematic drawings (with only significant dimensions shown) of the shapes being compared are included in each figure. The symbol used for a tube in the parameter plots has been indicated on each draft tube at the location where the surges were measured. The run number has also been indicated adjacent to the symbol. Approximate distances along the tubes can be scaled. The range of surging is defined by the range of the momentum over which data points are plotted.

Figure 4 shows the surging characteristics of three draft tubes. The throats of both variations of the Fontenelle draft tube were modified from the actual prototype geometry. The Grand Coulee pump-turbine draft tube is geometrically similar to the prototype. Its cross section is circular for the entire length.

For all three draft tubes the frequency and pressure parameters initially increase with an increase of the momentum parameter. This was typical of all tubes tested. For some tubes, the pressure parameter continues increasing monotonously, while for others one or more peaks occur.

Most tubes surge over a finite range of momentum parameter, as is the case with the two variations of the Fontenelle draft tube. Others, like the Grand Coulee pump-turbine draft tube, surge continuously up to the point of complete wicket gate closure, where the momentum parameter approaches infinity. In the Grand Coulee pump-turbine draft tube, the maximum amplitudes occur near the end of the elbow, on the right side, where the amplitudes are much higher than at the start of the elbow (compare Runs 188 and 175, Figure 4). Although the pressure parameter generally attains the highest value at large values of momentum parameter ( $>2$ ), the maximum value of the pressure pulsation amplitude itself usually occurs at a

momentum parameter value near 1, at the first peak of the pressure parameter.

A slight variation of the Fontenelle throat geometry produces significant changes in the surge parameter values but does not change the range of surging. Removal of the piers and progressively sections of the downstream end of the draft tube has little effect on the surge parameters. A short tube including only the first  $30^\circ$  of the elbow has only slightly different characteristics than the entire draft tube (see Figure 5).

Simple sections of truncated  $15^\circ$  cones surge over a smaller range of momentum parameter and display lower frequencies and amplitudes than the draft tubes (see Figure 6). The frequencies measured from the cones were far less well defined than those from any other shape. Different inlet diameters and  $L/D$  ratios have only slight effect on the dimensionless surge parameters. Figure 6 also verifies the validity of the dimensional analysis as related to geometric similarity.

Somewhat varying surging characteristics are displayed by  $7.5^\circ$  cones with variation of cone  $L/D$  (Figure 7). The longest and shortest tubes display surging ranges and frequency and pressure parameter curves quite similar to those of the Fontenelle draft tube. The surging range of the cone with  $L/D = 2.32$ , however, is far greater than the ranges of the other cones. The pressure parameter also increases continuously to higher values than for the other cones. It should be noted that a  $7.5^\circ$  cone with  $L/D \approx 2.0$  is used downstream of the constant-diameter elbow in the Grand Coulee pump-turbine draft tube.

Straight cylindrical tubes display surging characteristics quite different from those of expanding cones (see Figure 8). Short sections ( $L/D < 1$ ) produce high-frequency curves, while longer sections have two distinct frequencies—the higher being exactly double the lower. In a tube having an  $L/D$  of 0.96 the lower frequency predominates up to a momentum parameter value of about 2.0, while beyond this point only the higher frequency is apparent (Runs 109 and 110). Several other intermediate lengths between  $L/D = 0.96$  and  $L/D = 3.58$  were tested. The tubes all had surge characteristics similar to the tube with  $L/D = 3.58$ . It should be noted that both high- and low-frequency parameter curves of all cylinders show considerably higher values than those of draft tubes with expanding sections.

Adding a bellmouth entrance section to a long, straight cylinder does not alter the surging characteristics up to a momentum parameter value of about 2.0 (see Figure

9). The Reynolds number falls below 80,000 at momentum parameter values above about 1.8, and only results below this value should be considered. The bellmouth entrance possibly affects the distribution of the angular momentum at the entrance to the cylindrical section, but apparently does not change the total flux. The results tend to support the assumption that the manner of introduction of angular momentum is not significant.

Figure 10 illustrates the effect of adding short circular cylinders to the upstream end of a long  $7.5^\circ$  cone. The frequency and the range of surging are increased significantly as the length of cylinder is increased. Similar results were noted with the shorter  $7.5^\circ$  cones.

Adding circular cylinder sections upstream of a  $15^\circ$  cone has an even more pronounced effect on the surge characteristics (see Figure 11). A long cylinder has only slightly more influence than a short cylinder. Adding a cylinder to the downstream end of a long cone has no effect on the frequency, but does decrease the surge amplitude at higher momentum parameter values (Figure 11, Run 123).

The surge amplitude decreases with respect to distance from the inlet in an expanding cone, but generally increases in a long cylinder (Figure 12).

A  $100^\circ$  elbow produces lower frequencies than a cylinder of the same length (Figure 13). The range of surging is also reduced. In elbows of different lengths the highest pressure fluctuations were measured near the outlet. The fluctuations were always higher at the inside of the bend than at the outside, both in constant-diameter elbows and expanding cross-section elbows of draft tubes.

Substitution of a straight cylinder of equal length for the elbow in the Grand Coulee pump-turbine draft tube does not alter the frequency parameter values to a great extent (see Figure 14). An interesting phenomenon in the straight tube is the presence of a second frequency equal to about two-thirds of the predominant one. The predominant frequency parameter curve varies only slightly from the frequency parameter curve of the elbow draft tube. The maximum amplitude is typically higher in the elbow tube.

In addition to the frequency and pressure parameter curves for the above two draft tubes, parameter plots of their geometric components have been included in Figure 15 for comparison. The frequency parameter curve of the  $7.5^\circ$  cone is almost identical to those of the draft tubes. The frequencies of all the other

individual components, however, are higher than the two complete draft tubes. While generally the most upstream components have the most influence, in this case just the opposite is true. A similar comparison is presented in Figure 16, where the influence of straight cylinder sections inserted between cone sections is illustrated.

Two lengths of  $30^\circ$  expanding cones were also tested. No periodic pressure fluctuations could be detected with the instruments and measuring techniques used in the other tests.

It was pointed out earlier that the amplitude of the surge varies with location along the tube wall. In simple symmetrical shapes the variance occurs in a fairly regular fashion (Figure 12). In an elbow of constant diameter the generalization can be made that pressure pulsation amplitude is highest near the outlet and higher at the inside than at the outside of the bend. In a more complex shape such as the Grand Coulee pump-turbine draft tube the amplitude variation is not as easily predictable throughout the total range of surging.

Rms values of pressure pulsation were obtained for the entire range of surging at about 30 locations in the Grand Coulee pump-turbine. The resulting pressure parameter curves at five cross sections (four curves at section quarter points for each section) are shown in Figure 17. The results provide sufficient information to obtain directly or interpolate the pressure parameter value at any location on the draft tube walls. The maximum value of the pressure pulsation amplitude itself in most cases occurs at the first peak of the pressure parameter curve, near a momentum parameter value of 1. Pressure parameter plots for the downstream portions of the exit cone have not been shown because the pressure pulsations vary in a fashion typical of expanding cones, i.e., decreasing downstream.

All recorded pressure amplitudes were influenced by the frequency filter band through which the amplified pressure transducer signal was passed before it reached the rms meter. The filtered rms value was always lower than what would have been measured without a band-pass filter. Some comparative data for four different locations in the Grand Coulee pump-turbine draft tube are shown in Figure 18. The unfiltered pressure parameter in most cases is not more than 20 percent higher than the filtered value.

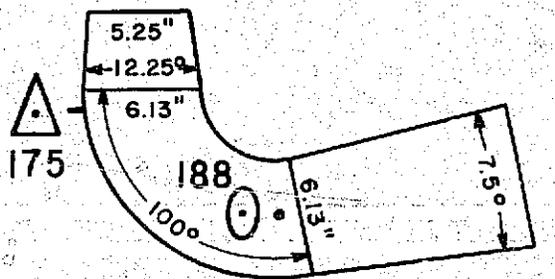
## SURGE MEASUREMENTS ON TURBINE MODELS

Recently the contracts for two different turbines for Bureau of Reclamation projects included requirements for pressure pulsation measurements during model tests. The manufacturers furnished the Bureau complete operating data and draft tube pressure pulsation oscillograms and magnetic recording tapes. From these data, it was possible to compute the dimensionless parameters. The frequency parameter results for these turbines, the Grand Coulee Third Powerplant turbine model, and the Grand Coulee Pumping Plant pump-turbine model are shown in Figure 19.

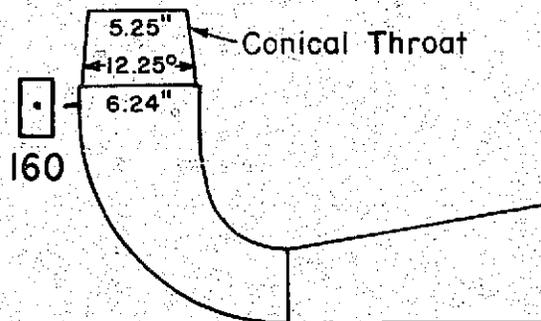
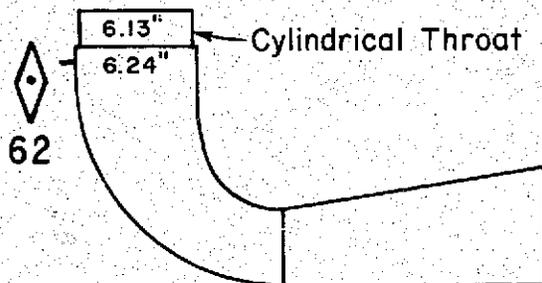
A shorter range of surging is produced by the turbine draft tube and its frequency parameter curve is lower. The difference in the surging characteristics can be attributed to the different shapes of the two draft tubes. The turbine draft tube area expands throughout the elbow for a distance of about  $2.5 L/D$ , with an equivalent area cone expansion angle between  $11^\circ$  and  $13^\circ$ . Then there is a slight gradual decrease in area in the vicinity of the piers. The long, initial expanding section is sufficient, however, to produce surging characteristics typical of an equivalent area expanding cone. The frequency parameter curve is very similar to the one produced by the Fontenille draft tube with conical throat (see Figure 4). The pump-turbine draft tube has a short, expanding initial section followed by a  $100^\circ$  constant-diameter elbow (centerline  $L/D = 2$ ). The constant-diameter elbow near the entrance tends to extend the range of surging and produces higher frequencies, as was demonstrated by the air model tests (Figure 4).

## AIR MODEL AND HYDRAULIC TURBINE MODEL COMPARISON

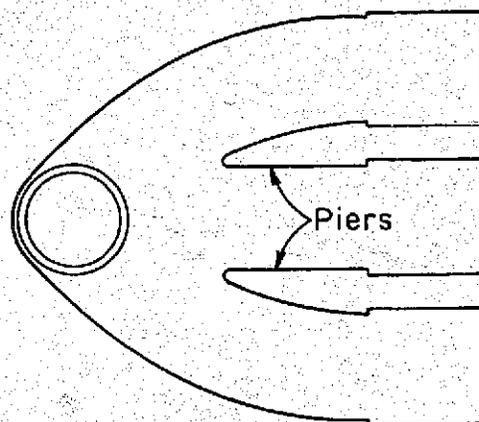
The Grand Coulee pump-turbine draft tube surge characteristics were determined both from the manufacturer's hydraulic scale model (1:9.5 scale) data and the laboratory air model (1:17.8 scale) data. The two tests were performed completely independently of each other. Coordination during the tests was not possible since the manufacturer's tests had been completed and reported when the air model tests were performed. The air model testing on this draft tube was part of the regular testing program, and therefore established procedures were used to obtain the data.



GRAND COULEE P/T DRAFT TUBE



ELEVATION



PLAN

FONTENELLE TURBINE DRAFT TUBE

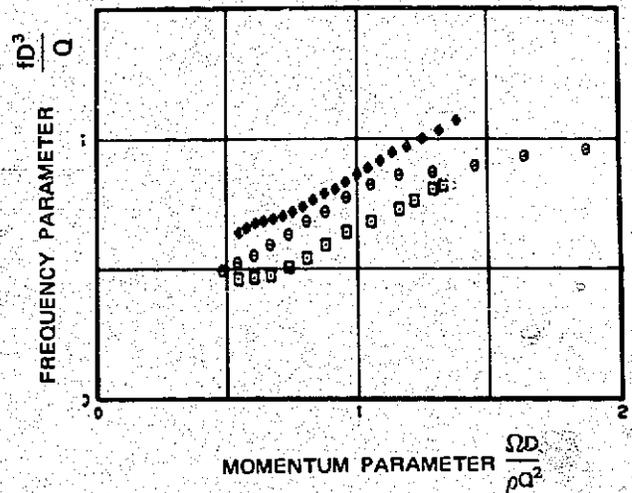
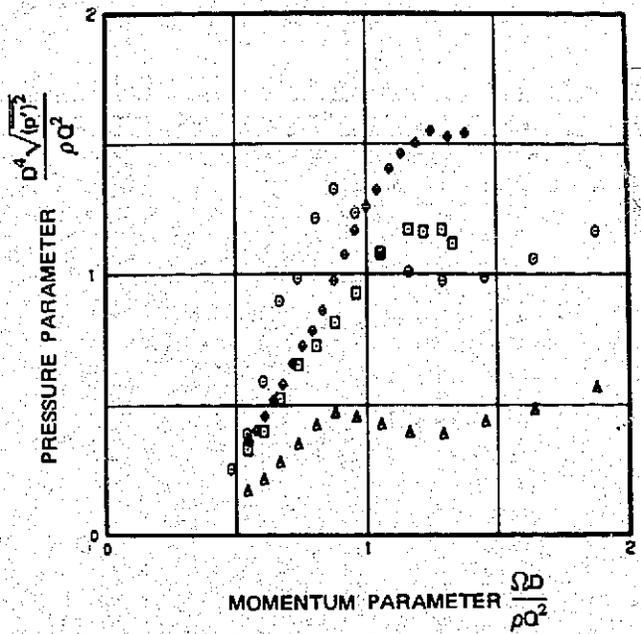


Figure 4. Surging characteristics of three model draft tubes.

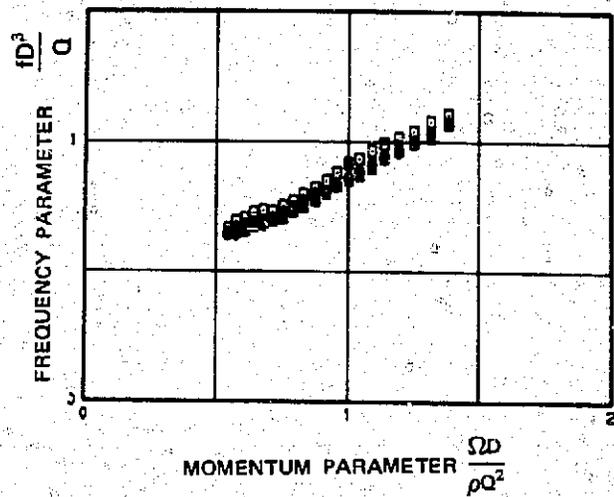
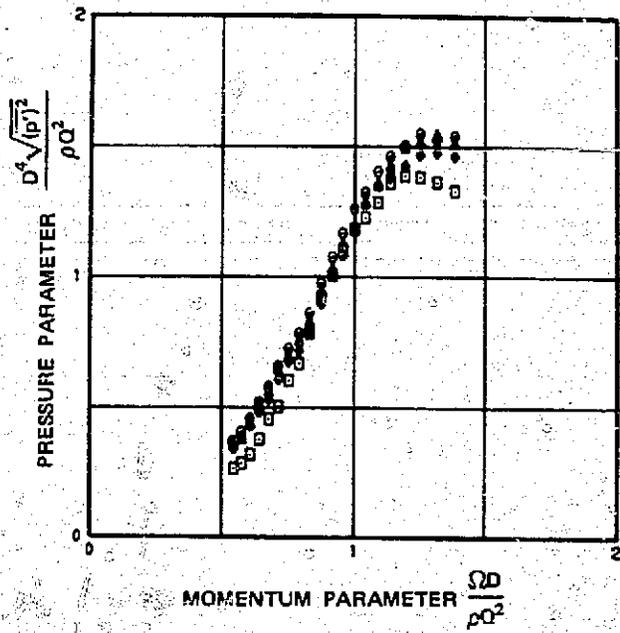
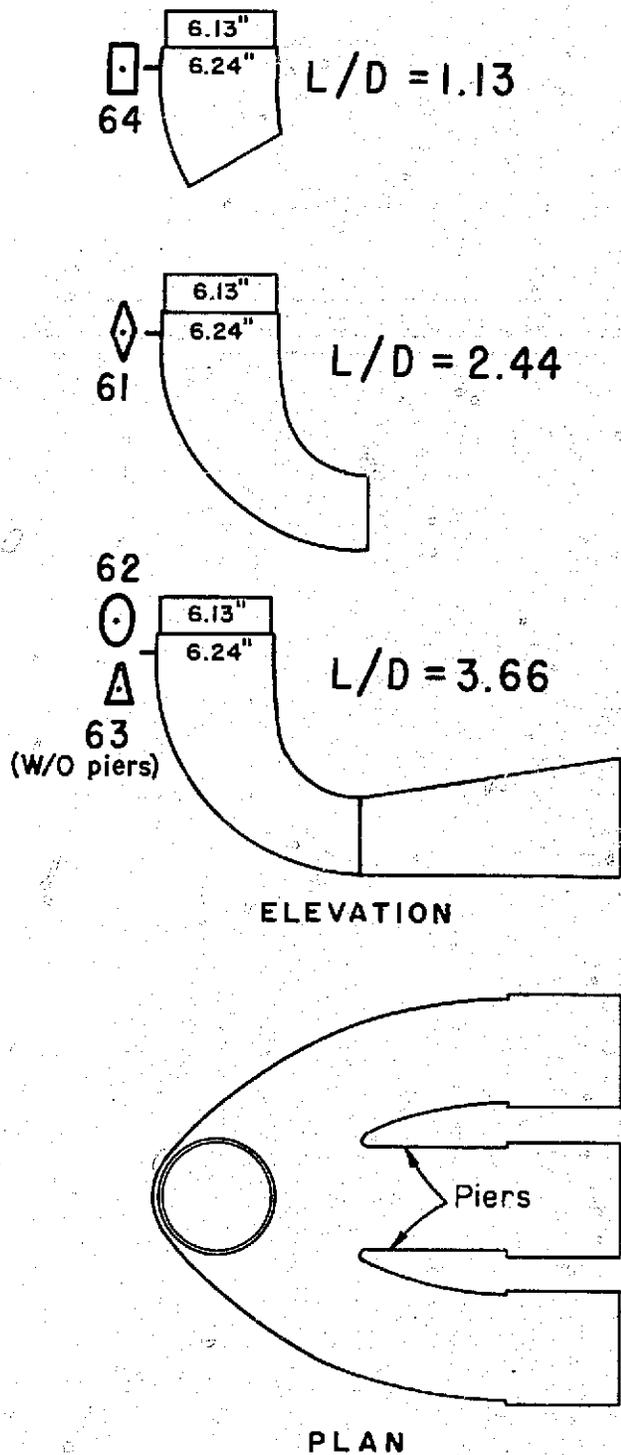


Figure 5. Effect of pier elimination and draft tube shortening on surging characteristics of Fontanelle draft tube.

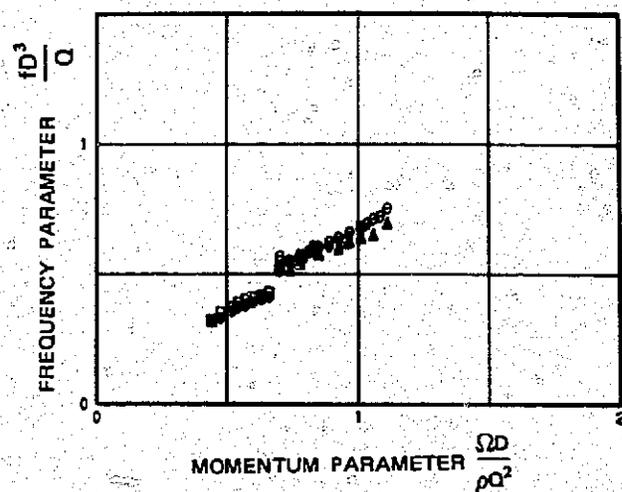
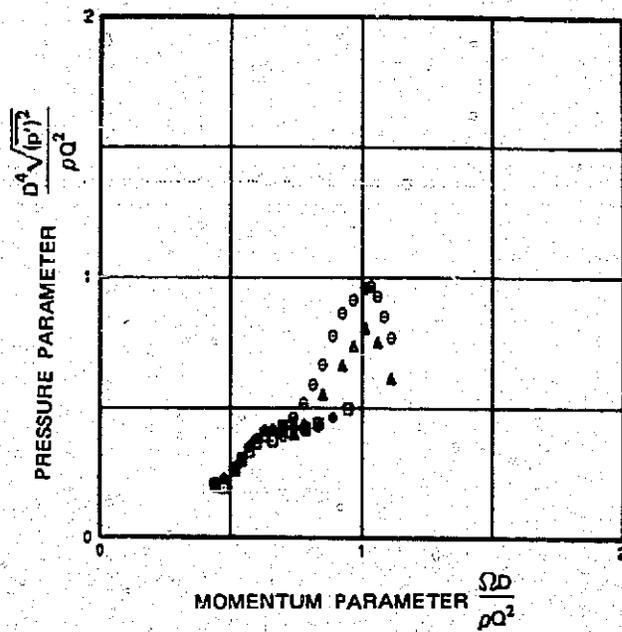
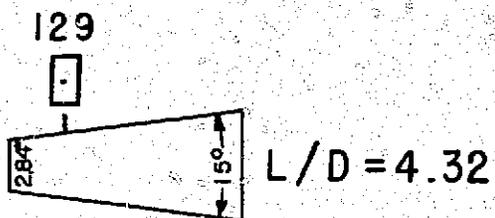
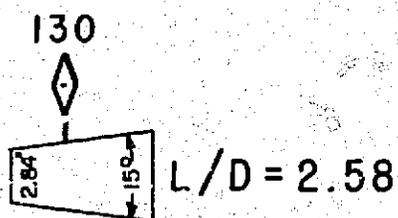
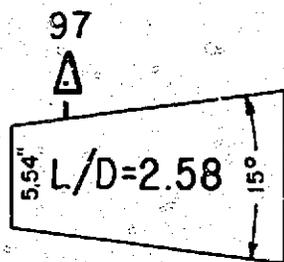
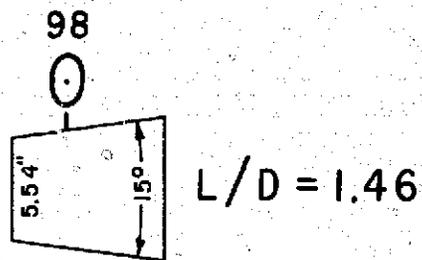


Figure 6. Surging characteristics of 15° truncated cones.

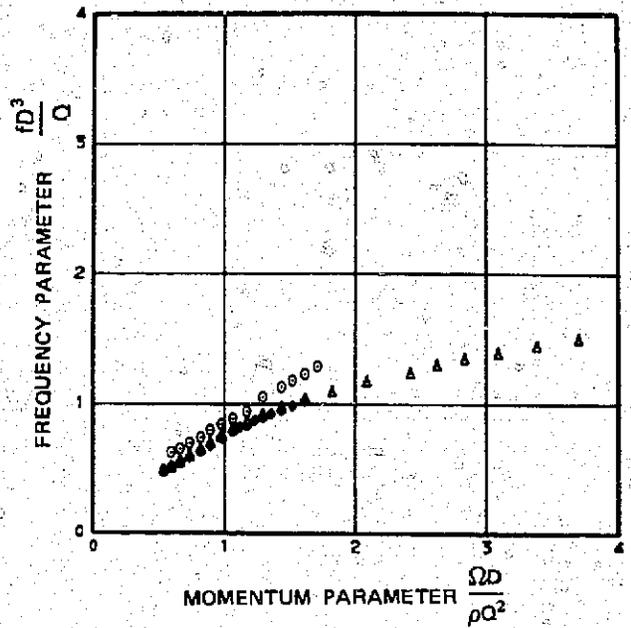
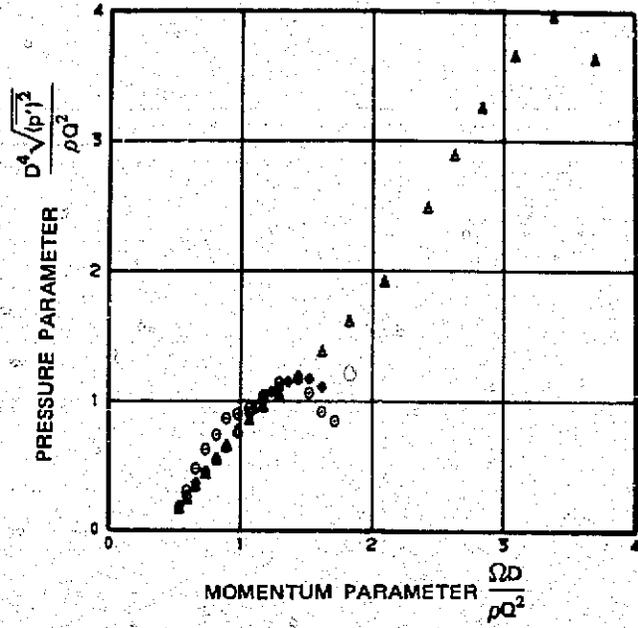
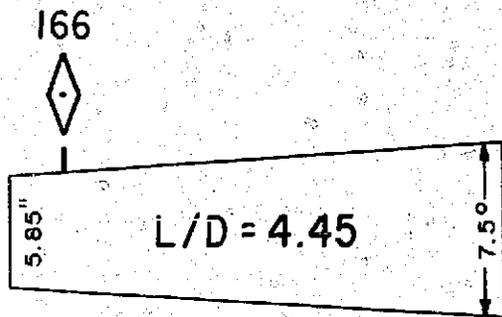
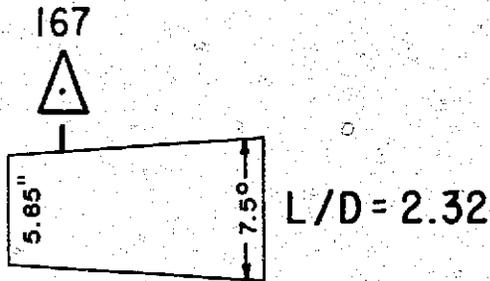
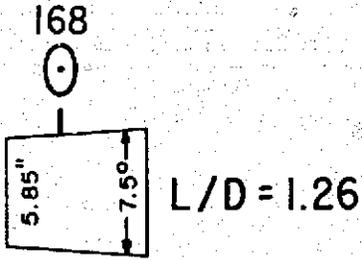


Figure 7. Surging characteristics of 7.5° cones.

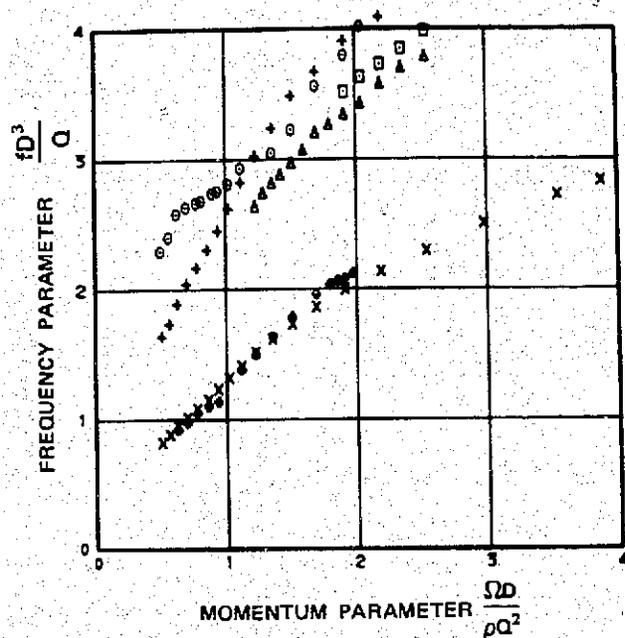
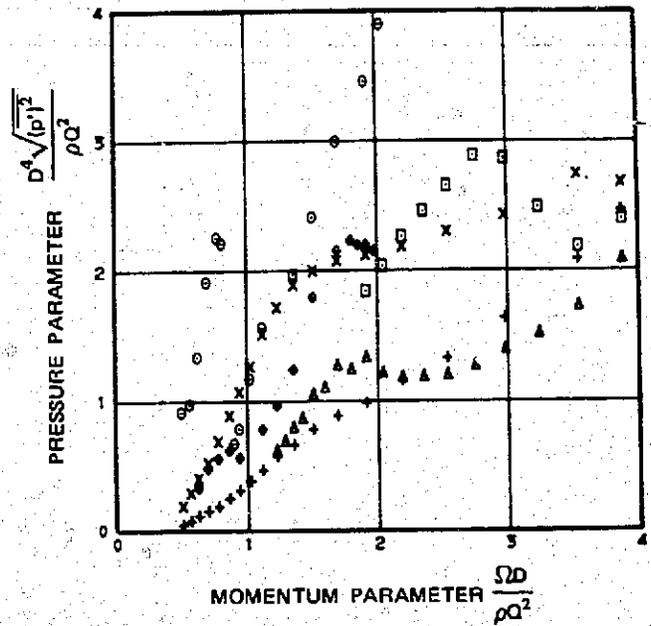
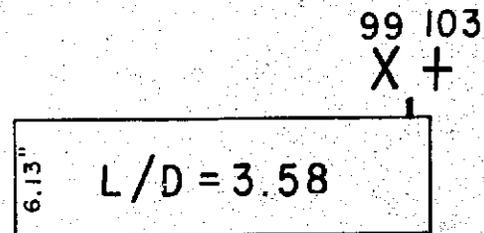
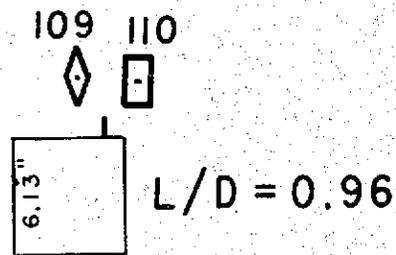
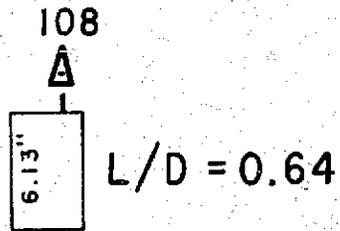
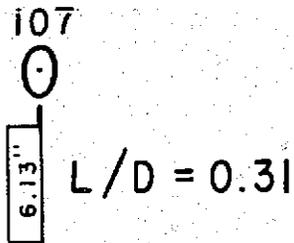


Figure 8. Surging characteristics of circular cylinders.

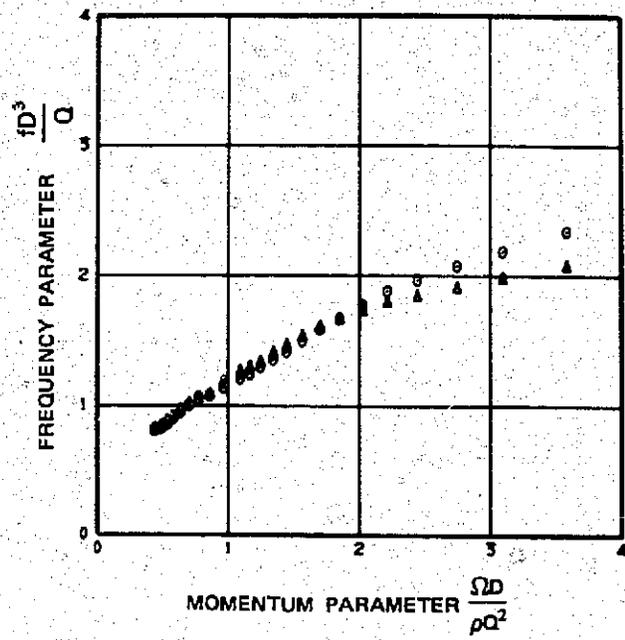
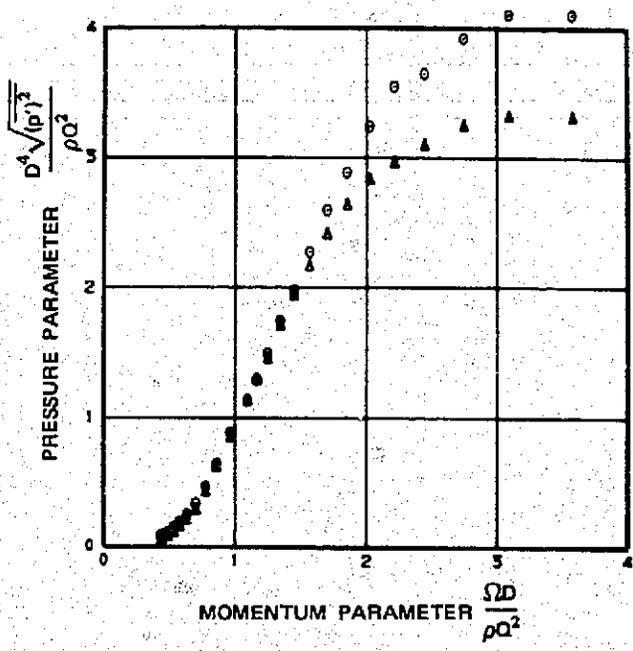
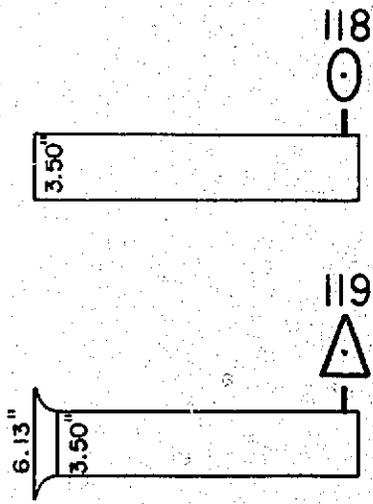


Figure 9. Influence of a bellmouth entrance on the surging characteristics of long, straight cylinders.

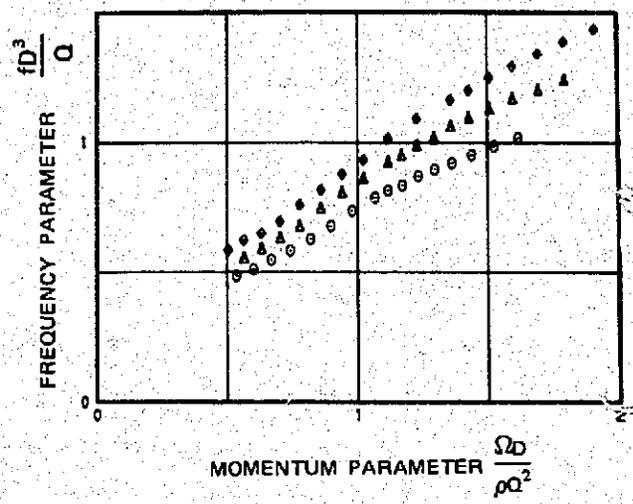
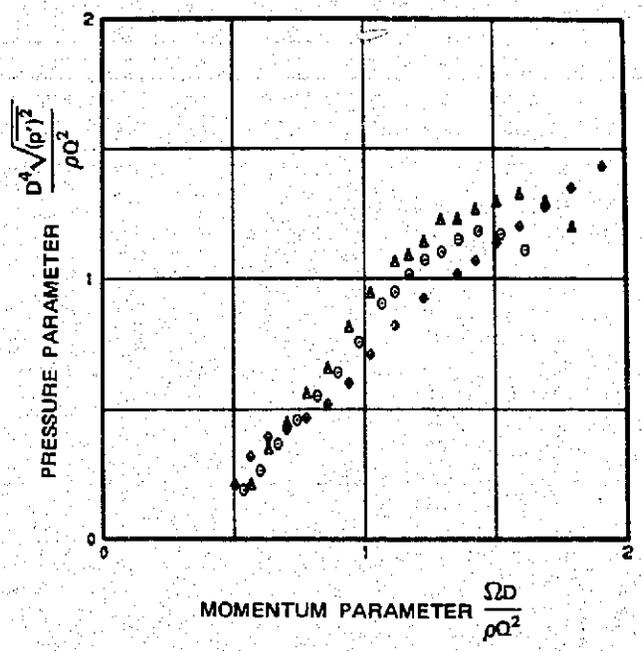
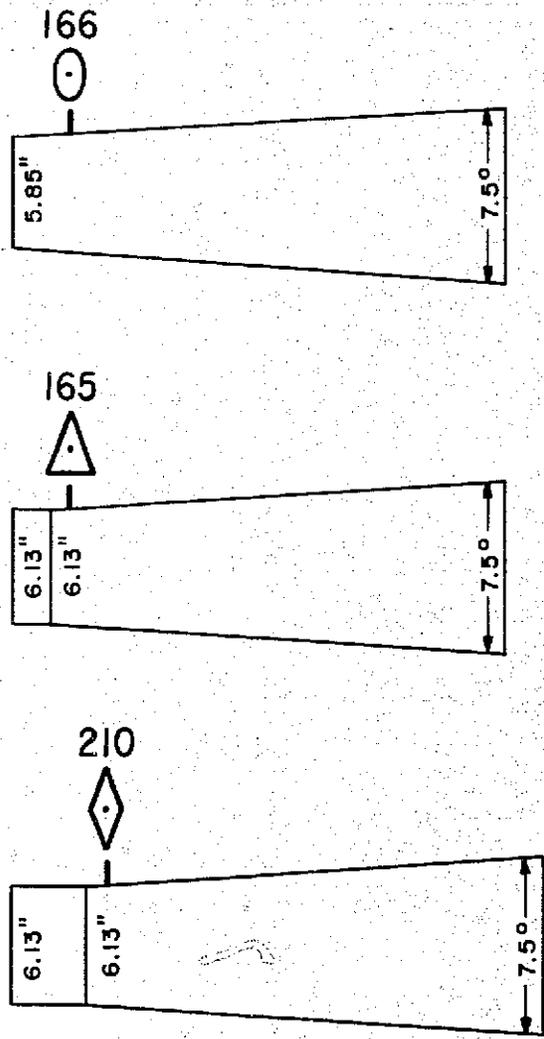


Figure 10. Influence of short, circular cylinders at the throat of a long 7.5° cone.

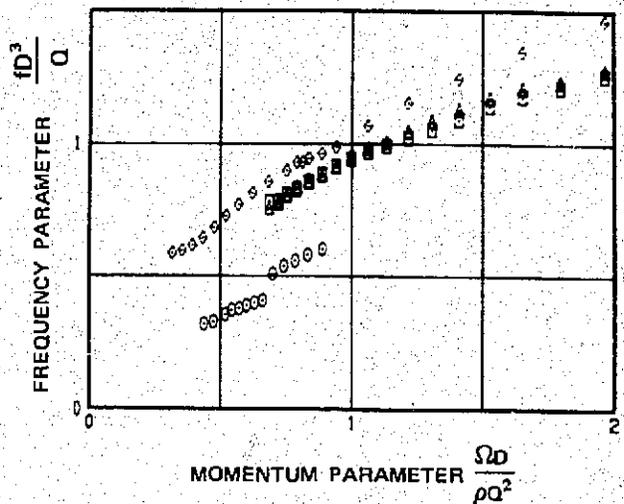
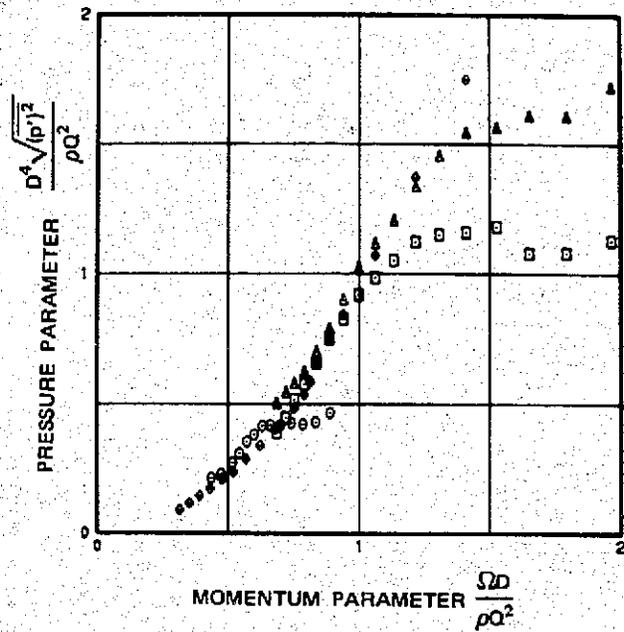
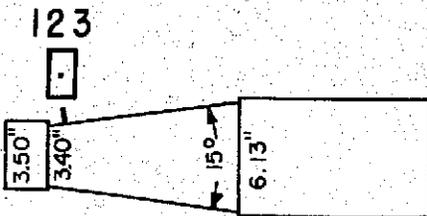
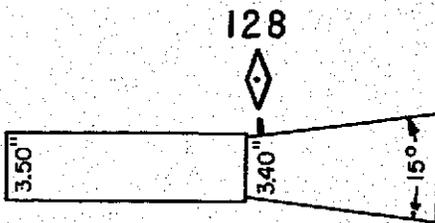
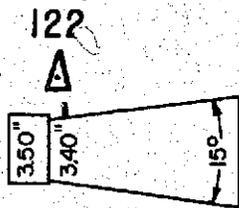
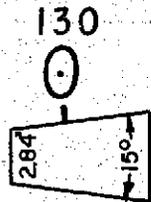


Figure 11. Surging characteristics of 15° cones in combination with circular cylinders.

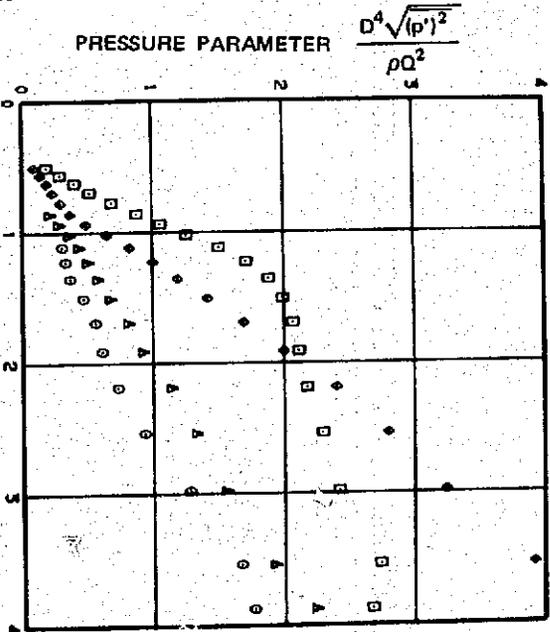
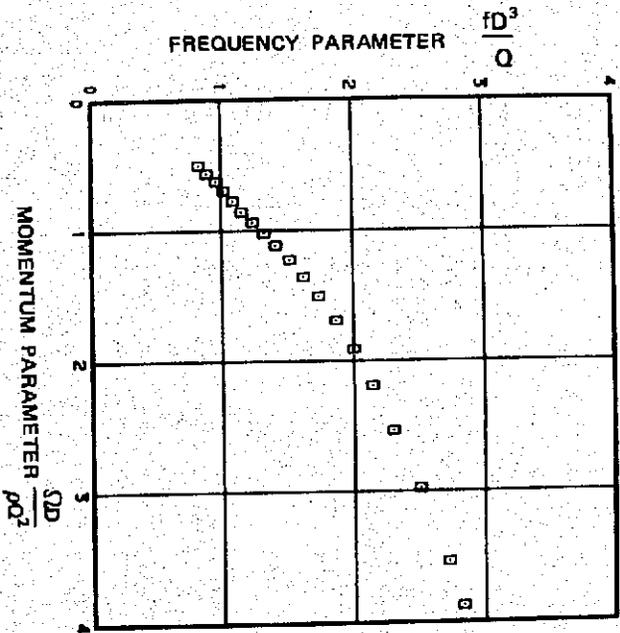
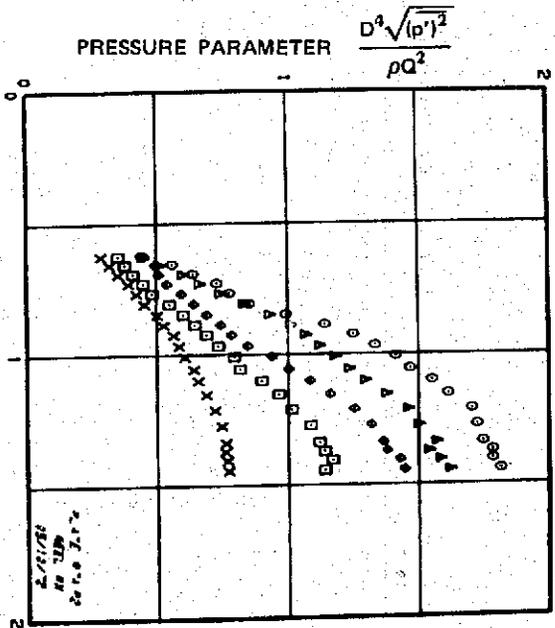
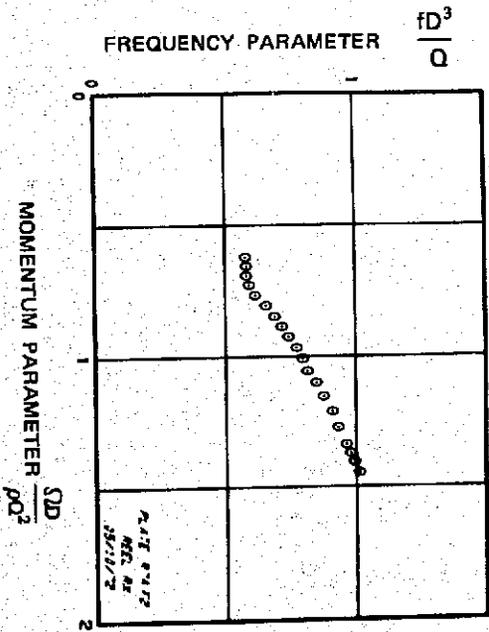
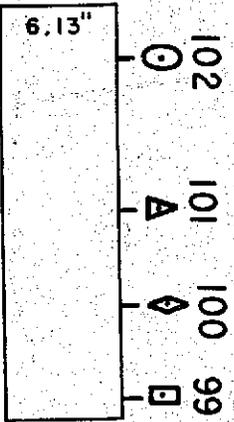
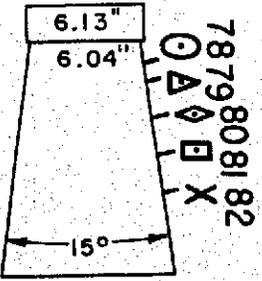


Figure 12. Variation of surge amplitude with respect to distance from inlet.

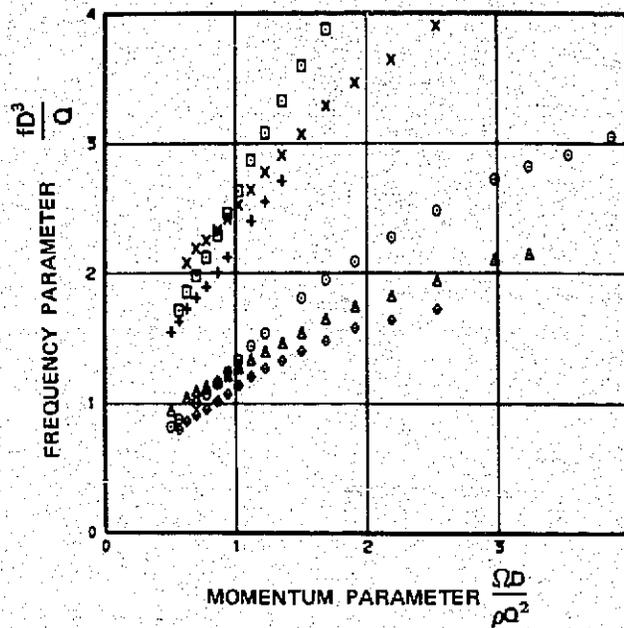
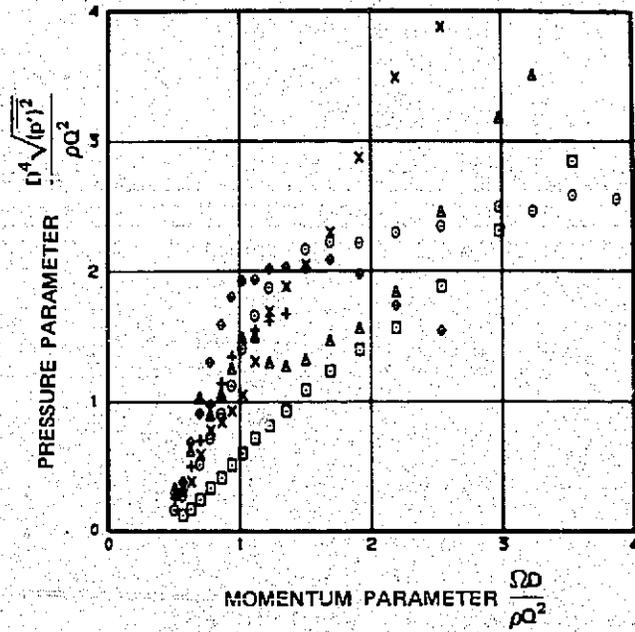
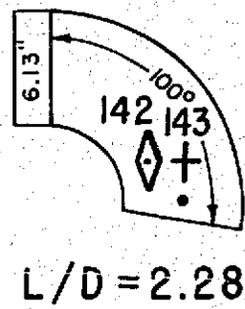
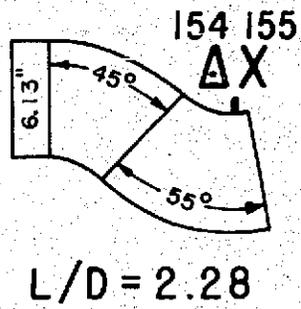
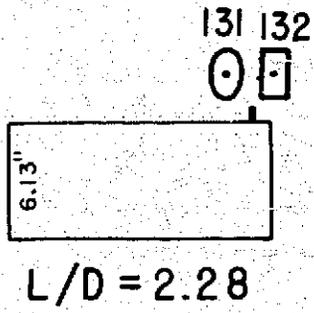
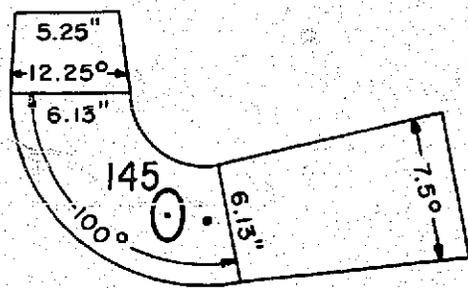
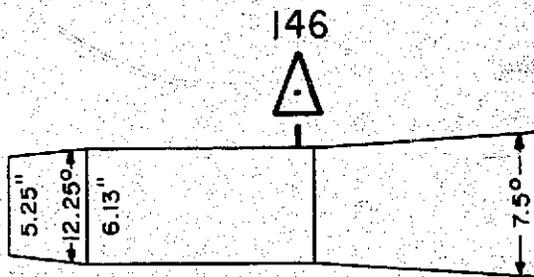


Figure 13. Effect of bends on surging characteristics.



L/D = 5.30



L/D = 5.30

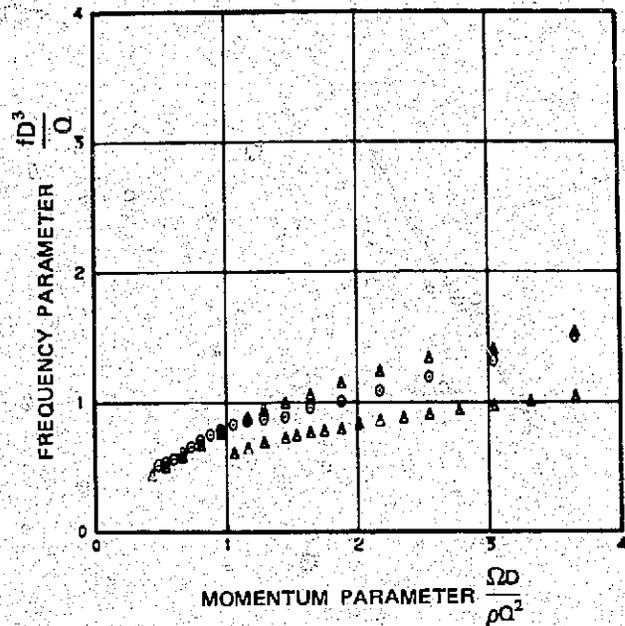
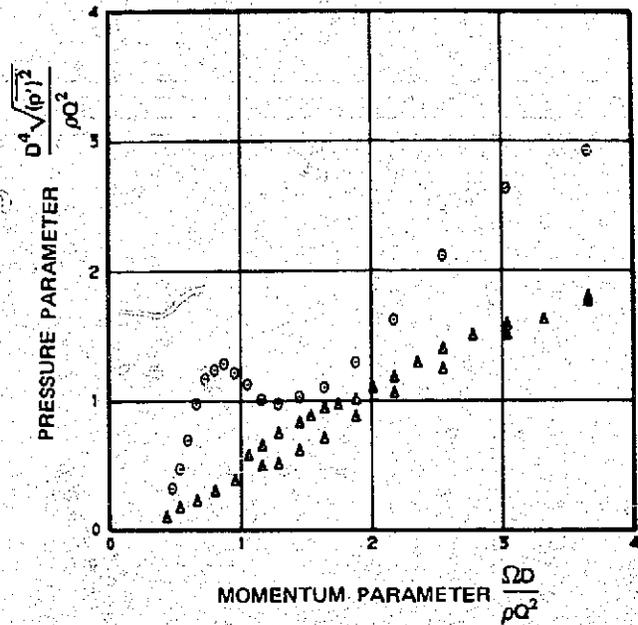


Figure 14. Effect on surging characteristics of replacement of Grand Coulee pump-turbine draft tube elbow with a straight cylinder of equal length.

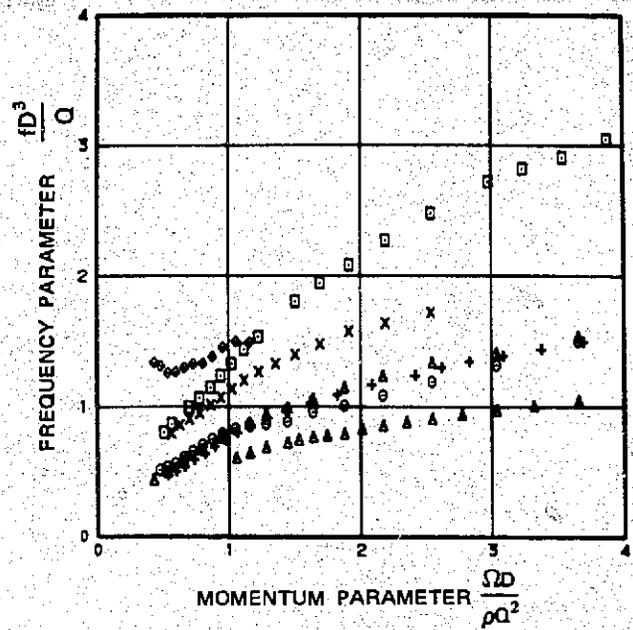
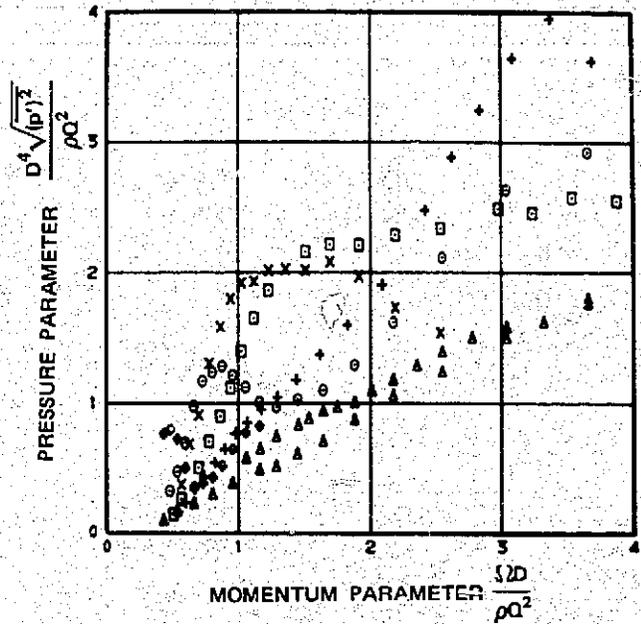
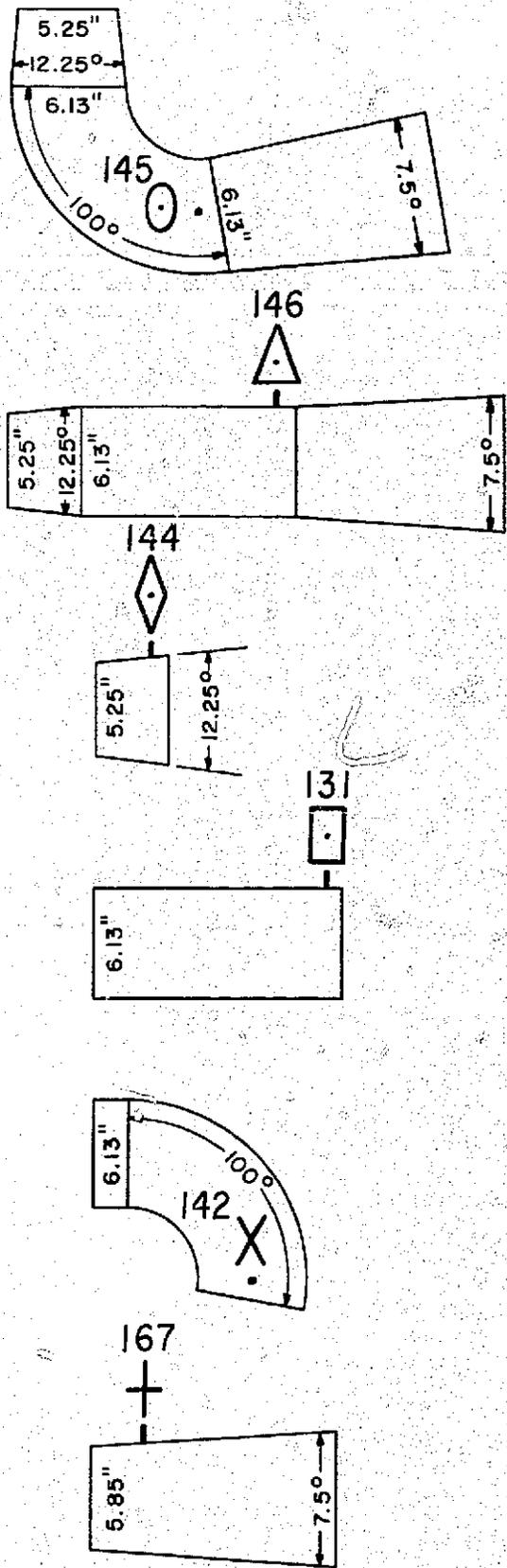


Figure 15. Comparison of surging characteristics of two draft tubes and their geometric components.

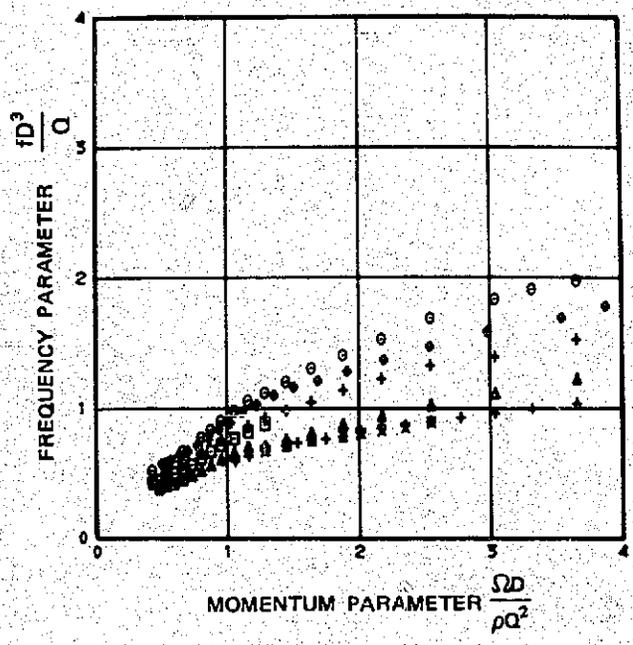
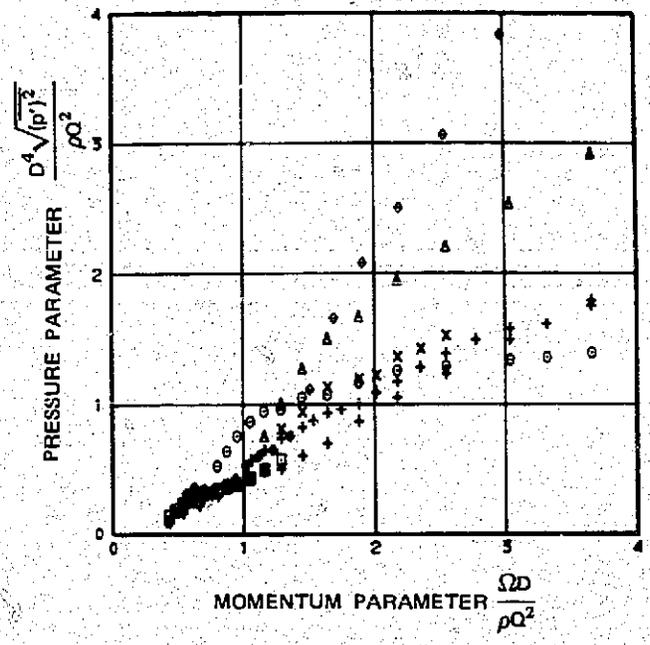
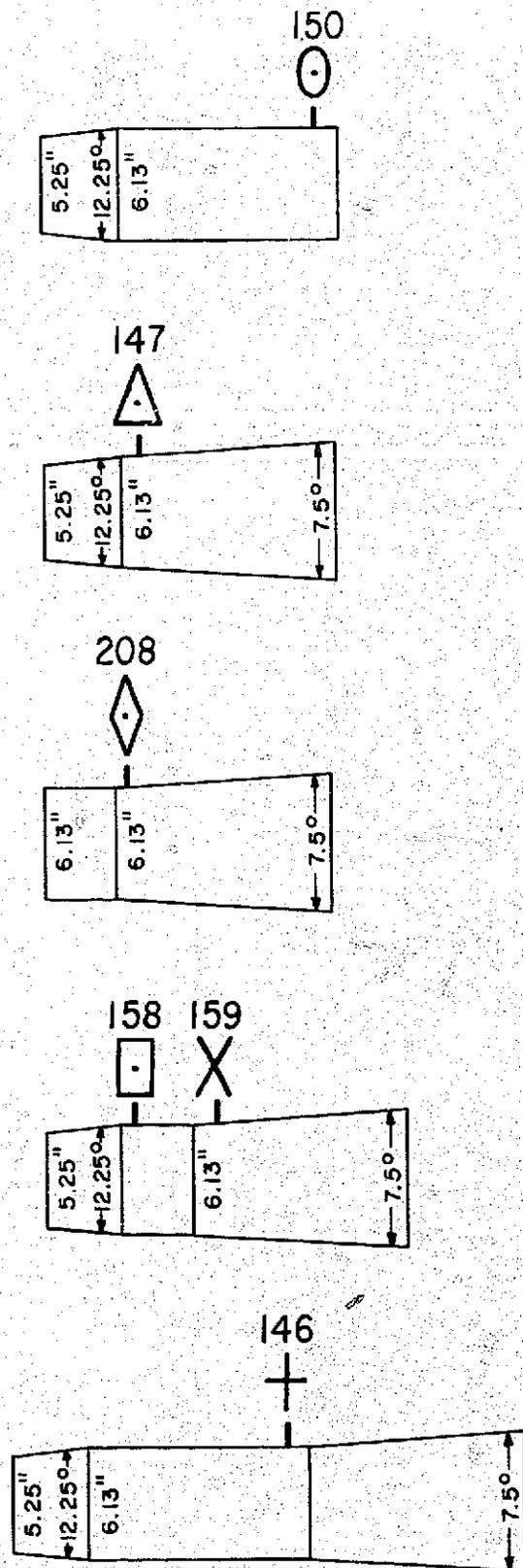
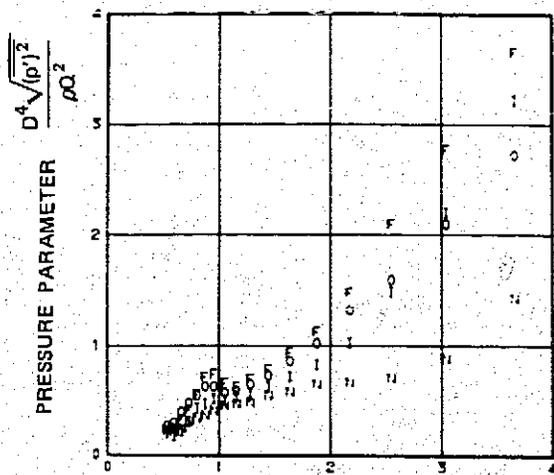
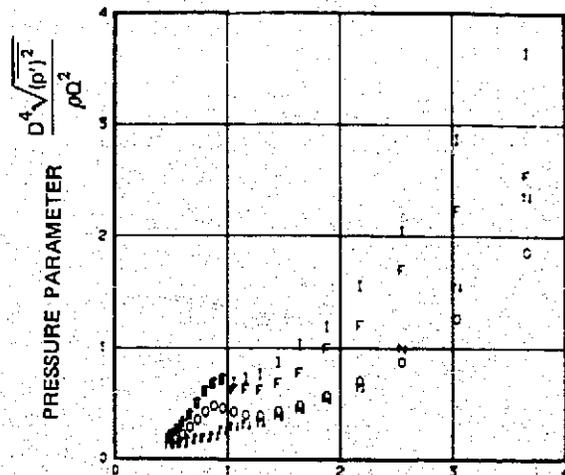


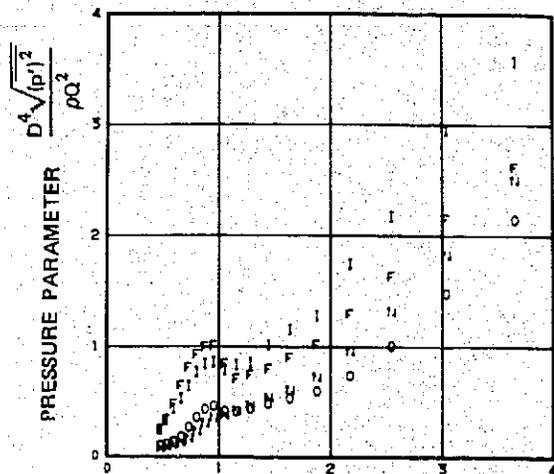
Figure 16. Comparison of surging characteristics of cone and cylinder combinations.



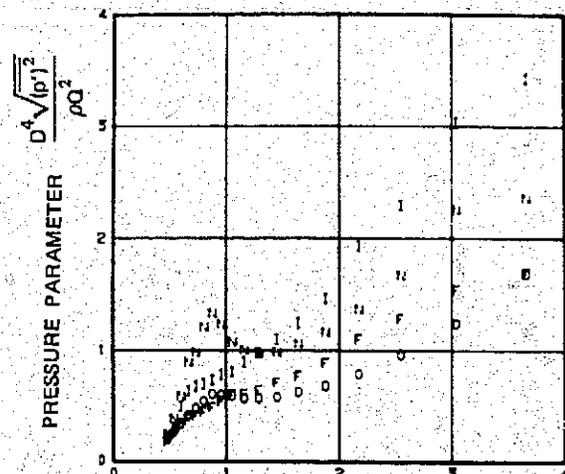
a. L/D = 48 from entrance.



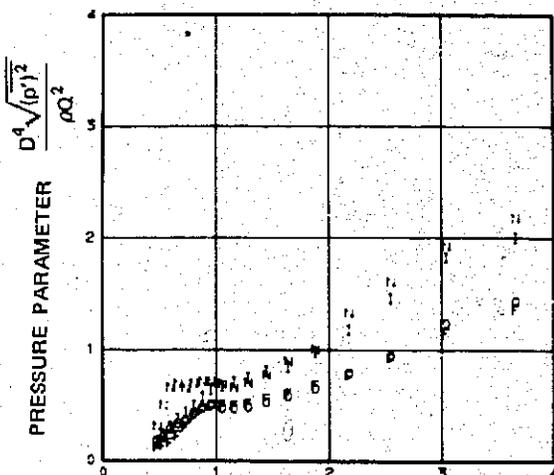
b. L/D = 93 from entrance.



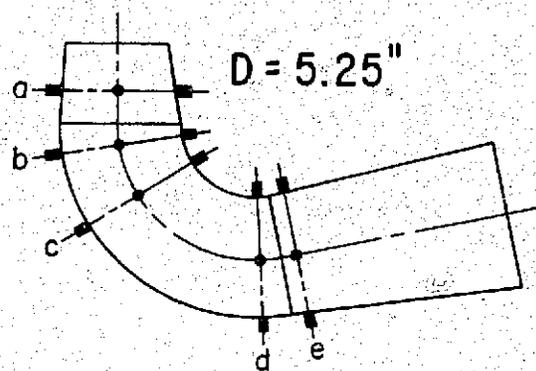
c. L/D = 1.50 from entrance.



d. L/D = 2.84 from entrance.

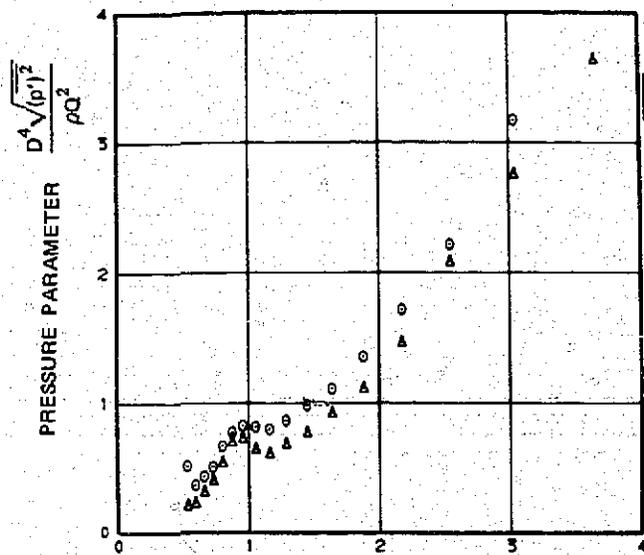


e. L/D = 3.22 from entrance.

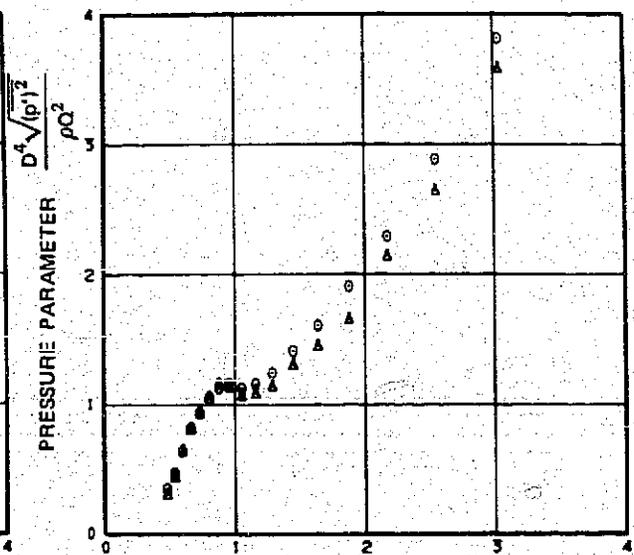


GRAND COULEE P/T DRAFT TUBE

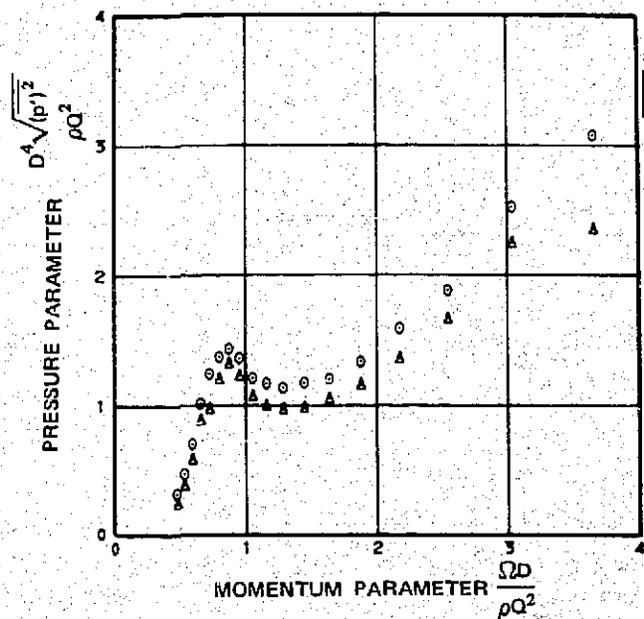
Figure 17. Pressure parameter variation with respect to location in the Grand Coulee pump-turbine draft tube. Plotted letter symbols used to define location: O = outside of bend; I = inside of bend; N = near side; F = far side. Coordinates are defined by center of symbol. Indicated distance from entrance is along centerline.



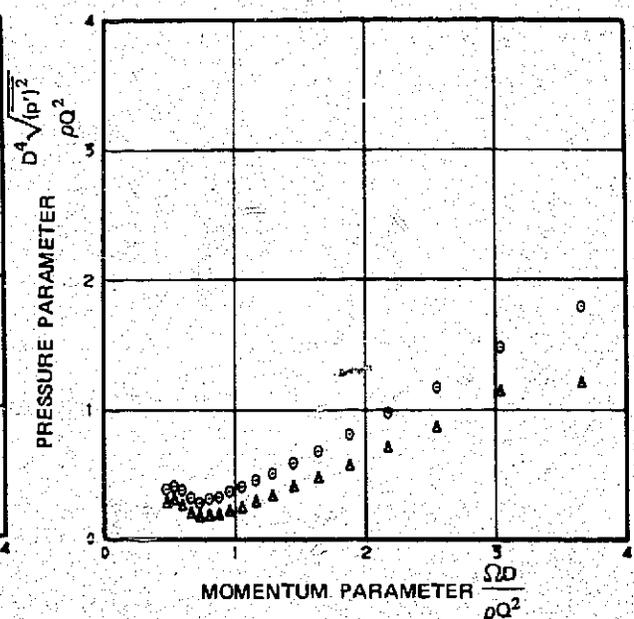
a. L/D = 0.48 from entrance pressures on near side.



b. L/D = 1.89 from entrance, pressures on inside of bend.

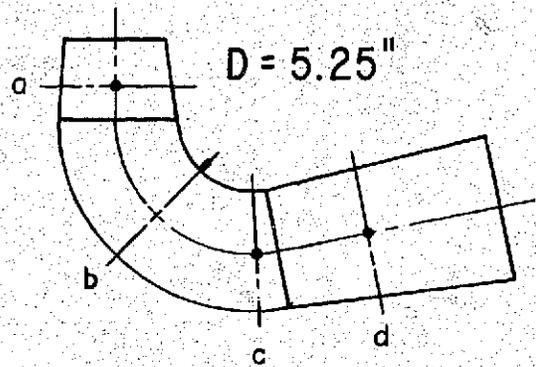


c. L/D = 2.84 from entrance pressures on far side.



d. L/D = 3.22 from entrance pressures on far side.

- No frequency filter
- △ Filter band pass = 60 to 160 hz



GRAND COULEE P/T DRAFT TUBE

Figure 18. Comparison of filtered and unfiltered pressure parameter values for the Grand Coulee pump-turbine draft tube.

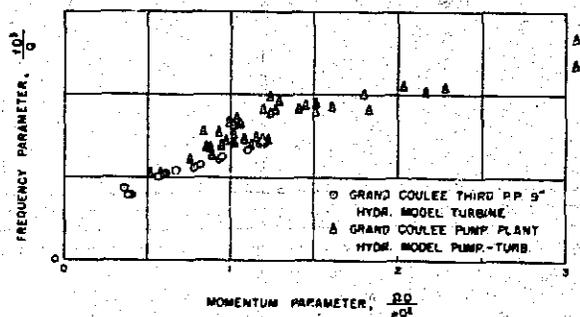


Figure 19. Comparison of frequency parameter for Grand Coulee Third Powerplant turbine and Grand Coulee Pumping Plant pump-turbine hydraulic models.

Pressure pulsation data were obtained at approximately the same location, near the draft tube inlet, in both tests. Results of the two tests are shown in Figure 20. The frequency parameter comparison is quite satisfactory. Pressure parameter values do not compare nearly as well. Since powerplant cavitation factor,  $\delta$ , is a function of the vapor pressure of water, the air model could not be used to explore the effects of  $\delta$  on pressure amplitude. The best comparison is obtained (as it should) from the hydraulic model tests with the highest value of  $\delta$  ( $\delta = 0.43$ ). Taking into account the varying test equipment and techniques used in the two tests, the overall comparison of results is quite encouraging.

The favorable comparison of results of the air model with the turbine model verifies a major assumption made in applying the results of the dimensional analysis to experimental studies. That is, that regardless of the manner in which angular momentum is introduced into the flow, the resulting dimensionless surging characteristics in geometrically similar draft tubes will be the same for a particular value of  $\Omega D_3 / \rho Q^2$ . This says nothing about the accuracy of determining the correct value of  $\Omega D_3 / \rho Q^2$ . Computing the momentum parameter for a complex flow such as that passing through the runner is subject to many uncertainties, and simplifying assumptions obviously must be made. The methods used to determine the value of the momentum parameter in the two studies are not as exact as could be desired, and possibly can be improved in future experiments and analyses.

The greatest improvement in increasing the accuracy of computing the momentum parameter would be a more accurate determination of the angular momentum of the flow through the wicket gates. Presently this is done by means of a graphical analysis of the flow direction. Measurements of wicket gate flow performed on hydraulic models would furnish valuable

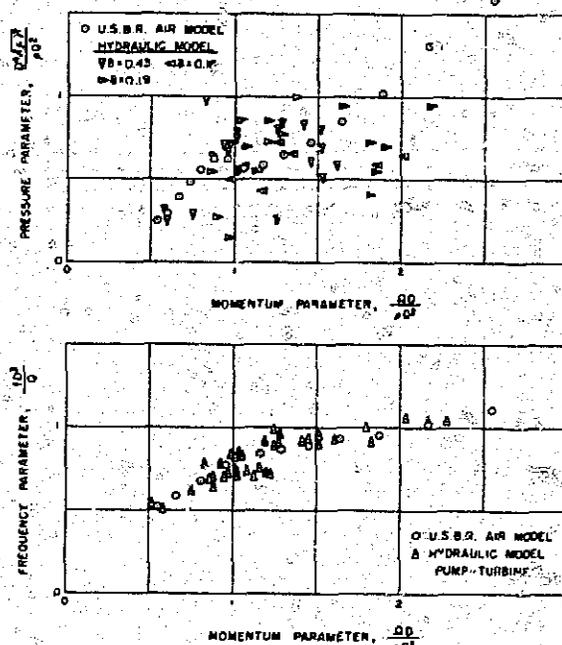


Figure 20. Comparison of frequency and pressure parameters for Grand Coulee pump-turbine draft tube computed from air model and hydraulic scale model pump-turbine.

information. Conceivably the flow through two adjacent gates also varies with respect to location, caused by unsymmetrical flow from the spiral case. To obtain accurate values of the momentum parameter of the flow leaving the wicket gates, a complete circumferential integration may have to be performed at several gate settings.

Accurate measurement of discharge is also important in computing the momentum parameter of turbines. A discharge measurement error of 2 percent can cause errors of about plus or minus 0.06 to plus or minus 0.11 in the computed value of the momentum parameter. The corresponding relative error can approach 20 percent at the lower end of the momentum parameter surging range.

## APPLICATION TO TURBINES

The air model tests and the two hydraulic turbine model tests conclusively demonstrate that for a given draft tube shape and at sufficiently high Reynolds numbers, there is correlation between the momentum parameter and the frequency and pressure parameters:

$$\frac{fD_3^3}{Q} = \phi_3 \left( \frac{\Omega D_3}{\rho Q^2} \right)$$

$$\frac{D_3^4 \sqrt{(p')^2}}{\rho Q^2} = \phi_4 \left( \frac{\Omega D_3}{\rho Q^2} \right)$$

The momentum parameter for a turbine can be computed from Equation (10):

$$\frac{\Omega_2 D_3^3}{\rho Q^2} = \frac{D_3 R \sin \alpha}{BNS} = \frac{550 P_{11} D_3}{2\sqrt{2g} L Q_{11}^2 \phi D_2} \quad (10)$$

which requires a knowledge of the wicket gate geometry and turbine performance characteristics.

An example follows for the evaluation of Equation (10) for the Grand Coulee Pumping Plant pump-turbine Units 7 and 8.

To determine the values of the wicket gate geometric variables, two adjacent wicket gates are laid out (using a scale template) on a drawing at several gate positions from closed to full open, as in Figure 1. At each gate setting, the values of R and S are obtained by scaling. The flow direction is assumed to be normal to the smallest opening between the gates, S. The angle  $\alpha$  is then measured between the flow direction and a radial line through the center of the opening. Values of R, S, and  $\alpha$  can then be plotted as functions of gate angle (or some other defined base, such as percent of full gate) and a smooth curve constructed for each variable (Figure 21). Since the number of wicket gates N, their height B, and the draft tube diameter  $D_3$  are constant and known, the quantity  $D_3 R \sin \alpha / BNS$  can easily be computed for any gate setting. The result is the value of momentum parameter of the flow as it enters the turbine runner.

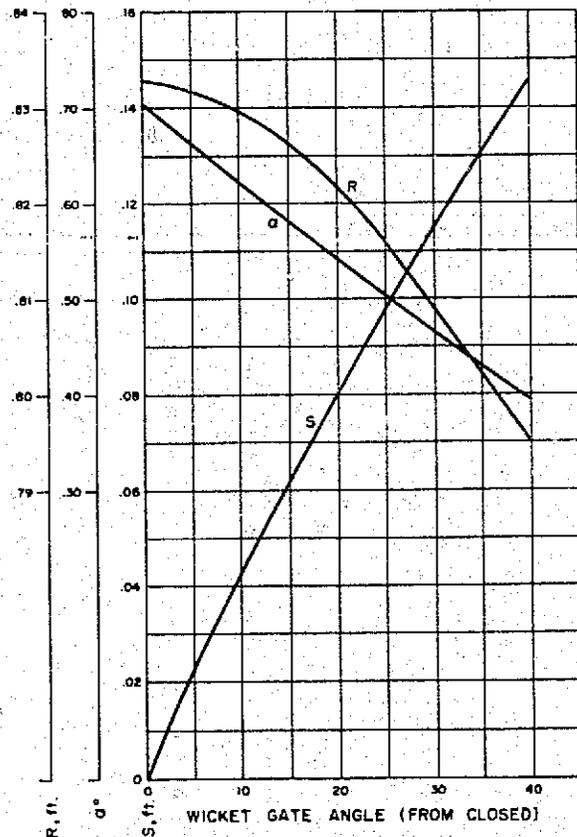


Figure 21. Wicket gate geometric characteristics for Grand Coulee Pumping Plant pump-turbine Units P/G-7 and P/G-8) 1:9.5 scale hydraulic model studies.

The second term of Equation (10) evaluates the amount the momentum parameter is changed as the flow passes through the runner. Performance data obtained on the model (or prototype) turbine are sufficient to compute this term. All the necessary data required to compute the momentum parameter are tabulated in Table 1 for two test points.

Table 1

Run No.	Gate position from closed $\alpha$	Gate characteristics			Turbine performance data			
		R ft	S ft	$\alpha$ $^{\circ}$	H ft	Q cfs	P hp	n rpm
30	30.0	0.809	0.1148	46.6	112.8	16.48	147.1	775
69	11.8	0.828	0.0492	60.2	134.5	9.48	103.1	1,285
Constants								
		$D_2$ ft	$D_3$ ft	B ft	N	g ft/sec <sup>2</sup>	$\rho$ lb-sec <sup>2</sup> /ft <sup>4</sup>	
		0.984	0.984	0.1967	20	32.2	1.94	

For Run 30, using Equations (8), (9), and (7)

$$P_{11} = \frac{P}{D_2^2 H^{3/2}} = \frac{147.1}{(.984)^2 (112.8)^{3/2}} = 0.127$$

$$Q_{11} = \frac{Q}{D_2^2 H^{1/2}} = \frac{16.48}{(.984)^2 (112.8)^{1/2}} = 1.603$$

$$\phi = \frac{\pi D_3 n}{60 \sqrt{2gH}} = \frac{3.14(.984)(775)}{60 \sqrt{2(32.2)(112.8)}} = 0.468$$

The first term of Equation (10)

$$\frac{D_3 R \sin \alpha}{BNS} = \frac{(.984)(.809)(\sin 46.6)}{(.1967)(20)(.1148)} = 1.28$$

and the second term of Equation (10)

$$\frac{550 P_{11} D_3}{2 \sqrt{2g} \rho Q_{11}^2 \phi D_2} = \frac{550(.127)(.984)}{2(8.025)(1.94)(1.603)^2(.469)(.984)} = 1.86$$

or

$$\frac{\Omega D_3}{\rho Q^2} = 1.28 - 1.86 = -0.58$$

The minus sign indicates that the swirl direction of the flow entering the draft tube is opposite the rotation of the runner.

Similarly, for Run 69

$$\frac{\Omega D_3}{\rho Q^2} = 1.27$$

Here the swirl of the draft tube flow is in the same direction as the runner rotation.

Figure 22 shows the oscillograms of the pressure pulsations. The frequency of the draft tube surge is indicated on each recording. Corresponding values of the frequency parameter are tabulated in Table 2.

The pressure pulsation rms amplitude was obtained from isolines of pressure fluctuation expressed as percent of total head, drawn on a  $Q_{11}$  versus  $n_{11}$  ( $n_{11} = nD_2/H^{1/2}$ ) plot. The values, as well as the resulting values of the pressure parameter, are given in Table 2.

The frequency and pressure parameter values determined above can be used to predict the surge frequency and pressure amplitude for the homologous prototype turbine having a geometrically similar draft tube. For the same value of the momentum parameter in model and prototype, i.e., when

$$\left(\frac{\Omega D_3}{\rho Q^2}\right)_m = \left(\frac{\Omega D_3}{\rho Q^2}\right)_p$$

Table 2

Run No.	f hz	D <sub>3</sub> ft	Q cfs	fD <sub>3</sub> <sup>3</sup> / Q	√(P') <sup>2</sup> psf	D <sub>3</sub> <sup>4</sup> √(P') <sup>2</sup> / ρQ <sup>2</sup>
30	8.8	0.984	16.48	0.51	176	0.31
69	9.4	0.984	9.48	0.94	142	0.77

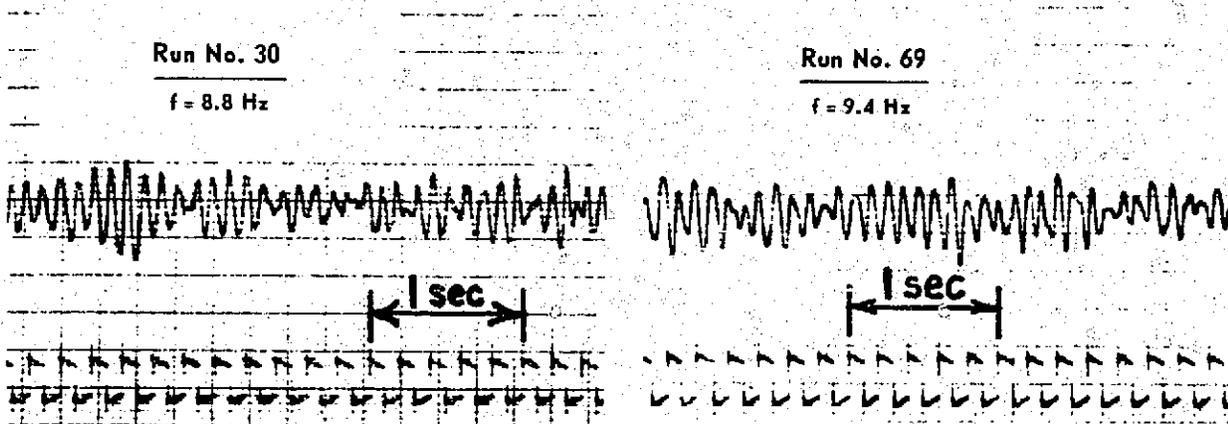


Figure 22. Oscillograms of pressure pulsations in Grand Coulee pump-turbine hydraulic model draft tube throat.

(where the subscripts m and p represent model and prototype, respectively) it follows that

$$\left(\frac{fD_3^3}{Q}\right)_m = \left(\frac{fD_3^3}{Q}\right)_p$$

and

$$\left(\frac{D_3^4 \sqrt{(p')^2}}{\rho Q^2}\right)_m = \left(\frac{D_3^4 \sqrt{(p')^2}}{\rho Q^2}\right)_p$$

The prototype frequency then can be determined from

$$f_p = \left(\frac{fD_3^3}{Q}\right)_m \left(\frac{Q}{D_3^3}\right)_p \quad (11)$$

and the prototype pressure pulsation rms amplitude from

$$\left(\sqrt{(p')^2}\right)_p = \left(\frac{D_3^4 \sqrt{(p')^2}}{\rho Q^2}\right)_m \left(\frac{\rho Q^2}{D_3^4}\right)_p \quad (12)$$

The frequency and pressure amplitude of the prototype surge for Run 69 ( $\delta = 0.19$ ) are computed below. The prototype draft tube diameter,  $D_3$  is given as 9.35 feet. Prototype discharge can be computed by using Equation (9), where  $D_2$  in this case equals  $D_3$ . Prototype net head can be computed from the definition  $n_{11} = nD_2/H^{1/2}$ . The value of  $n_{11}$  for Run 69 is given as 109.1 in the model test results. The prototype unit will be operated at 200 rpm, and therefore the corresponding  $H$  for Run 69 is 294 feet. Then from Equation (9)

$$Q_p = Q_{11} D_2 H^{1/2}$$

$$Q_p = (.844)(9.35)^2 (293)^{1/2}$$

$$Q_p = 1263 \text{ cfs}$$

Using Equation (11)

$$f_p = (.94) \frac{(1,263)}{(9.35)^3}$$

$$f_p = 1.45 \text{ hz}$$

Using Equation (12)

$$\left(\sqrt{(p')^2}\right)_p = (.77) \frac{1.94 (1,263)^2}{(9.35)^4} = 312 \text{ lb/ft}^2$$

$$\left(\sqrt{(p')^2}\right)_p = 5.0 \text{ ft water}$$

The above values appear reasonable, but can be verified only after the units are installed, and prototype performance and draft tube surge data obtained.

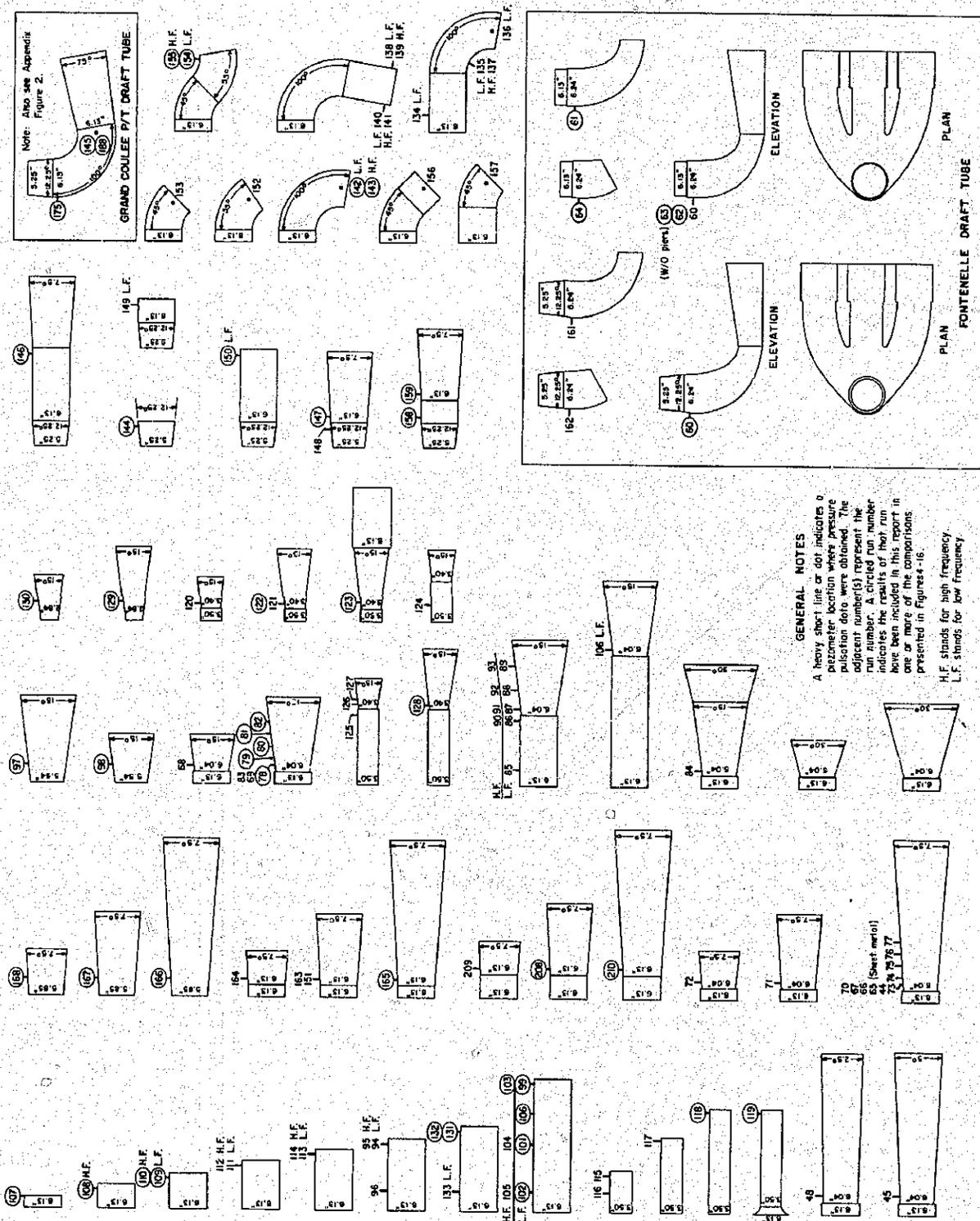
It is not necessary to use specific model test points to compute prototype frequencies and pressure amplitudes. The characteristic frequency and pressure parameter curves for a particular draft tube can be established using a simplified model or any turbine-prototype or model. For the specific unit in question, sufficient data must be available to compute the momentum parameter (using Equation (10)) for the particular operating point where frequency and amplitude are to be computed. The corresponding values of the frequency and pressure parameters are obtained from the surge characteristic curves. The frequency and pressure amplitude are then computed as in the above example. Some other method of determining prototype discharge may be used.

## REFERENCES

1. Falvey, H. T., "Draft Tube Surges—A Review of Present Knowledge and an Annotated Bibliography," Report No. REC-ERC-71-42, U.S. Bureau of Reclamation, Denver, Colorado, December 1971
2. Cassidy, J. J., "Experimental Study and Analysis of Draft-Tube Surging," Report No. REC-OCE-69-5, U.S. Bureau of Reclamation, Denver, Colorado, October 1969
3. Cassidy, J. J., and Falvey, H. T., "Observations of Unsteady Flow Arising After Vortex Breakdown," *Journal of Fluid Mechanics*, Vol. 41, Part 4, pp 727-736, 1970
4. Falvey, H. T. and Cassidy, J. J., "Frequency and Amplitude of Pressure Surges Generated by Swirling Flow," *Transactions of Symposium Stockholm 1970, International Association for Hydraulic Research, Part 1, Paper E1, Stockholm, Sweden, 1970*
5. Rheingans, W. J., "Power Swings in Hydroelectric Power Plants," *Transactions, American Society of Mechanical Engineers*, Vol. 62, pp 171-184, 1940

**APPENDIX**

**DRAFT TUBE SHAPES TESTED WITH THE AIR MODEL**



**GENERAL NOTES**  
 A heavy short line or dot indicates a piezometer location where pressure pulsation data were obtained. The adjacent number(s) represent the run number. A circled run number indicates the results of that run have been included in this report in one or more of the comparisons presented in Figures 4-16.  
 H.F. stands for high frequency  
 L.F. stands for low frequency

Figure 1. Draft tube shapes for which surge data were obtained with the air model.

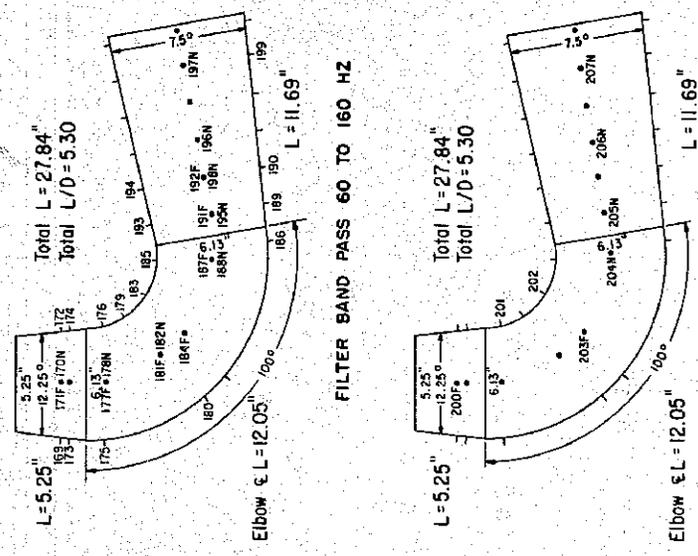


Figure 2. Locations on the Grand Coulee Pumping Plant pump-turbine draft tube where surge data were obtained with the air model.

### ABSTRACT

Laboratory experiments, using a simplified air model, were conducted to obtain the correlation between draft tube shape and the draft tube surge characteristics—the range of occurrence, frequencies, and pressure amplitudes of the surges. Dimensionless parameters were used to compare results. The draft tube shape was found to have significant influence on the surging characteristics. Surge measurements obtained from two hydraulic turbine model studies are also compared using the dimensionless parameters. Hydraulic and air model studies of the same draft tube produced satisfactory correlation. The information presented can be used to predict the surge characteristics of prototype and model turbines, or as an aid in draft tube design where surge reduction or resonance minimization are considered as design criteria. Examples are included to illustrate application of the laboratory results.

### ABSTRACT

Laboratory experiments, using a simplified air model, were conducted to obtain the correlation between draft tube shape and the draft tube surge characteristics—the range of occurrence, frequencies, and pressure amplitudes of the surges. Dimensionless parameters were used to compare results. The draft tube shape was found to have significant influence on the surging characteristics. Surge measurements obtained from two hydraulic turbine model studies are also compared using the dimensionless parameters. Hydraulic and air model studies of the same draft tube produced satisfactory correlation. The information presented can be used to predict the surge characteristics of prototype and model turbines, or as an aid in draft tube design where surge reduction or resonance minimization are considered as design criteria. Examples are included to illustrate application of the laboratory results.

### ABSTRACT

Laboratory experiments, using a simplified air model, were conducted to obtain the correlation between draft tube shape and the draft tube surge characteristics—the range of occurrence, frequencies, and pressure amplitudes of the surges. Dimensionless parameters were used to compare results. The draft tube shape was found to have significant influence on the surging characteristics. Surge measurements obtained from two hydraulic turbine model studies are also compared using the dimensionless parameters. Hydraulic and air model studies of the same draft tube produced satisfactory correlation. The information presented can be used to predict the surge characteristics of prototype and model turbines, or as an aid in draft tube design where surge reduction or resonance minimization are considered as design criteria. Examples are included to illustrate application of the laboratory results.

### ABSTRACT

Laboratory experiments, using a simplified air model, were conducted to obtain the correlation between draft tube shape and the draft tube surge characteristics—the range of occurrence, frequencies, and pressure amplitudes of the surges. Dimensionless parameters were used to compare results. The draft tube shape was found to have significant influence on the surging characteristics. Surge measurements obtained from two hydraulic turbine model studies are also compared using the dimensionless parameters. Hydraulic and air model studies of the same draft tube produced satisfactory correlation. The information presented can be used to predict the surge characteristics of prototype and model turbines, or as an aid in draft tube design where surge reduction or resonance minimization are considered as design criteria. Examples are included to illustrate application of the laboratory results.

REC-ERC-72-24

Palde, U J

**INFLUENCE OF DRAFT TUBE SHAPE ON SURGING CHARACTERISTICS OF REACTION TURBINES**

Bur Reclam Rep REC-ERC-72-24, Div Gen Res, September 1972. Bureau of Reclamation, Denver, 29 p, 22 fig, 2 tab, 5 ref, append

**DESCRIPTORS**—/ \*draft tubes/ turbines/ \*surges/ \*hydroelectric powerplants/ hydraulic machinery/ fluid mechanics/ dimensional analysis/ model tests/ fluid flow/ pressure/ frequency/ \*shape/ resonance/ test results/ hydraulic design/ \*reaction turbines/ hydraulic turbines/ noise (sound)/ hydraulic models/ vibration/ wicket gates/ hydraulic similitude

**IDENTIFIERS**—/ hydraulic pressure tests/ Fontenelle Dam, Wyo/ Grand Coulee Powerplant, Wash/ water pressure tests

REC-ERC-72-24

Palde, U J

**INFLUENCE OF DRAFT TUBE SHAPE ON SURGING CHARACTERISTICS OF REACTION TURBINES**

Bur Reclam Rep REC-ERC-72-24, Div Gen Res, September 1972. Bureau of Reclamation, Denver, 29 p, 22 fig, 2 tab, 5 ref, append

**DESCRIPTORS**—/ \*draft tubes/ turbines/ \*surges/ \*hydroelectric powerplants/ hydraulic machinery/ fluid mechanics/ dimensional analysis/ model tests/ fluid flow/ pressure/ frequency/ \*shape/ resonance/ test results/ hydraulic design/ \*reaction turbines/ hydraulic turbines/ noise (sound)/ hydraulic models/ vibration/ wicket gates/ hydraulic similitude

**IDENTIFIERS**—/ hydraulic pressure tests/ Fontenelle Dam, Wyo/ Grand Coulee Powerplant, Wash/ water pressure tests

REC-ERC-72-24

Palde, U J

**INFLUENCE OF DRAFT TUBE SHAPE ON SURGING CHARACTERISTICS OF REACTION TURBINES**

Bur Reclam Rep REC-ERC-72-24, Div Gen Res, September 1972. Bureau of Reclamation, Denver, 29 p, 22 fig, 2 tab, 5 ref, append

**DESCRIPTORS**—/ \*draft tubes/ turbines/ \*surges/ \*hydroelectric powerplants/ hydraulic machinery/ fluid mechanics/ dimensional analysis/ model tests/ fluid flow/ pressure/ frequency/ \*shape/ resonance/ test results/ hydraulic design/ \*reaction turbines/ hydraulic turbines/ noise (sound)/ hydraulic models/ vibration/ wicket gates/ hydraulic similitude

**IDENTIFIERS**—/ hydraulic pressure tests/ Fontenelle Dam, Wyo/ Grand Coulee Powerplant, Wash/ water pressure tests

REC-ERC-72-24

Palde, U J

**INFLUENCE OF DRAFT TUBE SHAPE ON SURGING CHARACTERISTICS OF REACTION TURBINES**

Bur Reclam Rep REC-ERC-72-24, Div Gen Res, September 1972. Bureau of Reclamation, Denver, 29 p, 22 fig, 2 tab, 5 ref, append

**DESCRIPTORS**—/ \*draft tubes/ turbines/ \*surges/ \*hydroelectric powerplants/ hydraulic machinery/ fluid mechanics/ dimensional analysis/ model tests/ fluid flow/ pressure/ frequency/ \*shape/ resonance/ test results/ hydraulic design/ \*reaction turbines/ hydraulic turbines/ noise (sound)/ hydraulic models/ vibration/ wicket gates/ hydraulic similitude

**IDENTIFIERS**—/ hydraulic pressure tests/ Fontenelle Dam, Wyo/ Grand Coulee Powerplant, Wash/ water pressure tests

### CONVERSION FACTORS—BRITISH TO METRIC UNITS OF MEASUREMENT

The following conversion factors adopted by the Bureau of Reclamation are those published by the American Society for Testing and Materials (ASTM Metric Practice Guide, E 380-68) except that additional factors (\*) commonly used in the Bureau have been added. Further discussion of definitions of quantities and units is given in the ASTM Metric Practice Guide.

The metric units and conversion factors adopted by the ASTM are based on the "International System of Units" (designated SI for Systeme International d'Unites), fixed by the International Committee for Weights and Measures; this system is also known as the Giorgi or MKSA (meter-kilogram (mass)-second-ampere) system. This system has been adopted by the International Organization for Standardization in ISO Recommendation R-31.

The metric technical unit of force is the kilogram-force; this is the force which, when applied to a body having a mass of 1 kg, gives it an acceleration of 9.80665 m/sec/sec, the standard acceleration of free fall toward the earth's center for sea level at 45 deg latitude. The metric unit of force in SI units is the newton (N), which is defined as that force which, when applied to a body having a mass of 1 kg, gives it an acceleration of 1 m/sec/sec. These units must be distinguished from the (inconstant) local weight of a body having a mass of 1 kg, that is, the weight of a body is that force with which a body is attracted to the earth and is equal to the mass of a body multiplied by the acceleration due to gravity. However, because it is general practice to use "pound" rather than the technically correct term "pound-force," the term "kilogram" (or derived mass unit) has been used in this guide instead of "kilogram-force" in expressing the conversion factors for forces. The newton unit of force will find increasing use, and is essential in SI units.

Where approximate or nominal English units are used to express a value or range of values, the converted metric units in parentheses are also approximate or nominal. Where precise English units are used, the converted metric units are expressed as equally significant values.

Table 1

#### QUANTITIES AND UNITS OF SPACE

Multiply	By	To obtain
LENGTH		
Mil	25.4 (exactly)	Micron
Inches	25.4 (exactly)	Millimeters
Inches	2.54 (exactly)*	Centimeters
Feet	30.48 (exactly)	Centimeters
Feet	0.3048 (exactly)*	Meters
Feet	0.0003048 (exactly)*	Kilometers
Yards	0.9144 (exactly)	Meters
Miles (statute)	1,609.344 (exactly)*	Meters
Miles	1.609344 (exactly)	Kilometers
AREA		
Square inches	6.4516 (exactly)	Square centimeters
Square feet	*929.03	Square centimeters
Square feet	0.092903	Square meters
Square yards	0.836127	Square meters
Acres	*0.40469	Hectares
Acres	*4,046.9	Square meters
Acres	*0.0040469	Square kilometers
Square miles	2.58999	Square kilometers
VOLUME		
Cubic inches*	16.3871	Cubic centimeters
Cubic feet	0.0283168	Cubic meters
Cubic yards	0.764555	Cubic meters
CAPACITY		
Fluid ounces (U.S.)	29.5737	Cubic centimeters
Fluid ounces (U.S.)	29.5729	Milliliters
Liquid pints (U.S.)	0.473179	Cubic decimeters
Liquid pints (U.S.)	0.473166	Liters
Quarts (U.S.)	*946.358	Cubic centimeters
Quarts (U.S.)	*0.946331	Liters
Gallons (U.S.)	*3,785.43	Cubic centimeters
Gallons (U.S.)	3.78543	Cubic decimeters
Gallons (U.S.)	3.78533	Liters
Gallons (U.S.)	*0.00378543	Cubic meters
Gallons (U.K.)	4.54609	Cubic decimeters
Gallons (U.K.)	4.54588	Liters
Cubic feet	28.3160	Liters
Cubic yards	*764.55	Liters
Acre-feet	*1,233.5	Cubic meters
Acre-feet	*1,233,500	Liters

Table II

## QUANTITIES AND UNITS OF MECHANICS

Multiply	By	To obtain
MASS		
Grains (17,000 lb)	64,79891 (exactly)	Milligrams
Troy ounces (480 grains)	31.1035	Grams
Pounds (avo)	28.3495	Grams
Pounds (avo)	0.45359237 (exactly)	Kilograms
Short tons (2,000 lb)	907.185	Kilograms
Short tons (2,000 lb)	0.907185	Metric tons
Long tons (2,240 lb)	1,016.05	Kilograms
FORCE/AREA		
Pounds per square inch	0.070307	Kilograms per square centimeter
Pounds per square inch	0.689476	Newtons per square centimeter
Pounds per square foot	4.88243	Kilograms per square meter
Pounds per square foot	47.8803	Newtons per square meter
MASS/VOLUME (DENSITY)		
Ounces per cubic inch	1.72999	Grams per cubic centimeter
Pounds per cubic foot	16.0185	Kilograms per cubic meter
Pounds per cubic foot	0.0160185	Grams per cubic centimeter
Tons (long) per cubic yard	1.32894	Grams per cubic centimeter
MASS/CAPACITY		
Ounces per gallon (U.S.)	7.4893	Grams per liter
Ounces per gallon (U.K.)	6.2362	Grams per liter
Pounds per gallon (U.S.)	119.829	Grams per liter
Pounds per gallon (U.K.)	99.779	Grams per liter
BENDING MOMENT OR TORQUE		
Inch-pounds	0.011521	Meter-kilograms
Inch-pounds	1.12985 x 10 <sup>6</sup>	Centimeter-dynes
Foot-pounds	0.138255	Meter-kilograms
Foot-pounds	1.35582 x 10 <sup>7</sup>	Centimeter-dynes
Foot-pounds per inch	5.4431	Centimeter-kilograms per centimeter
Ounce-inches	72.008	Gram-centimeters
VELOCITY		
Feet per second	30.48 (exactly)	Centimeters per second
Feet per second	0.3048 (exactly)	Meters per second
Feet per year	*0.965873 x 10 <sup>-6</sup>	Centimeters per second
Miles per hour	1.609344 (exactly)	Kilometers per hour
Miles per hour	0.44704 (exactly)	Meters per second
ACCELERATION		
Feet per second <sup>2</sup>	*0.3048	Meters per second <sup>2</sup>
FLOW		
Cubic feet per second	*0.028317	Cubic meters per second
Cubic feet per minute	0.4719	Liters per second
Gallons (U.S.) per minute	0.06309	Liters per second
FORCE*		
Pounds	*0.453592	Kilograms
Pounds	*4.4482	Newtons
Pounds	*4.4482 x 10 <sup>5</sup>	Dynes

Table II—Continued

Multiply	By	To obtain
WORK AND ENERGY		
British thermal units (Btu)	*0.252	Kilogram calories
British thermal units (Btu)	1,055.06	Joules
Btu per pound	2.326 (exactly)	Joules per gram
Foot-pounds	*1.35582	Joules
POWER		
Horsepower	746.700	Watts
Btu per hour	0.293071	Watts
Foot-pounds per second	1.35582	Watts
HEAT TRANSFER		
Btu in./hr. ft <sup>2</sup> degree F ik. thermal conductivity	1.442	Milliwatts/cm degree C
Btu in./hr. ft <sup>2</sup> degree F ik. thermal conductivity	0.1240	Kg cal/hr. m degree C
Btu ft/hr. ft <sup>2</sup> degree F. thermal conductivity	*1.4980	Kg cal m <sup>2</sup> /hr. m <sup>2</sup> degree C
Btu/hr. ft <sup>2</sup> degree F. thermal conductivity	0.568	Milliwatts/cm <sup>2</sup> degree C
Btu/hr. ft <sup>2</sup> degree F. thermal conductivity	4.882	Kg cal/hr. m <sup>2</sup> degree C
Degree F hr. ft <sup>2</sup> /Btu (R. thermal resistance)	1.761	Degree C cm <sup>2</sup> /milliwatt
Btu/lb degree F (c. heat capacity)	4.1868	J/g degree C
ft <sup>2</sup> /hr. thermal diffusivity	*1.000	Cal/gram degree C
ft <sup>2</sup> /hr. thermal diffusivity	0.2581	Cm <sup>2</sup> /sec
ft <sup>2</sup> /hr. thermal diffusivity	*0.0290	M <sup>2</sup> /hr
WATER VAPOR TRANSMISSION		
Grains/hr. ft <sup>2</sup> (water vapor) transmission	10.7	Grams/24 hr. m <sup>2</sup>
Perms (permance)	0.659	Metric perms
Perm-inches (permability)	1.67	Metric perm-centimeters
OTHER QUANTITIES AND UNITS		
Cubic feet per square foot per day (seepage)	*0.049	Liters per square meter per day
Pound-seconds per square foot (viscosity)	*4.8824	Kilogram second per square meter
Square feet per second (viscosity)	*0.092903	Square meters per second
Fahrenheit degrees (change)	5/9 exactly	Celsius or Kelvin degrees (change)
Volts per mil	0.03937	Kilovolts per millimeter
Lumens per square foot (foot-candles)	10.764	Lumens per square meter
Ohm-circular mils per foot	0.001662	Ohm-square millimeters per meter
Millifarads per cubic foot	*35.3147	Millifarads per cubic meter
Millilamps per square foot	*10.7639	Millilamps per square meter
Gallons per square yard	*4.527210	Liters per square meter
Pounds per inch	*0.17858	Kilograms per centimeter

GPO 835-108