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**Colonization of Steelhead (*Oncorhynchus mykiss*) in a Natal Stream After Barrier Removal**

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## 16 Abstract

17 Colonization of vacant habitats is an important process to support the long term  
18 persistence of populations and species. Barrier removal projects provide opportunities to study  
19 the colonization process to identify the source, abundance, spatial extent and rate of colonization.  
20 Salmonid fishes provide interesting experimental opportunities where colonization can be  
21 studied under the influence of artificial breeding programs and life history polymorphisms. We  
22 used a before after experimental design to follow the colonization process of anadromous  
23 *Oncorhynchus mykiss* in a natal stream after the modification or removal of numerous stream  
24 passage barriers. Passive integrated transponder tags and stationary interrogation stations were  
25 used with population genetic sampling to determine the source, extent and success of the barrier  
26 removal projects. Adult anadromous *O. mykiss* migrated into the study area the first spawning  
27 season after passage was established. Hatchery *O. mykiss*, although comprising more than 80%  
28 of the adult returns to the basin, did not appear to influence the early colonization process in the  
29 study area. Parr outmigration increased during the first four years after barrier removal from the  
30 upper sites in the basin, and population genetic measures significantly changed in the lower two  
31 monitoring sites in the basin. Colonization and expansion of anadromous *O. mykiss* was a  
32 slower process than expected with adult anadromous *O. mykiss* expanding into the upper basin  
33 sites 3 to 4 years after barrier removal.

34

35 Key Words: colonization, barrier removal, stream restoration, artificial propagation, fish  
36 passage, effectiveness monitoring

## 37 Introduction

38           Colonization of unoccupied habitat is an ecological process that is important to the long  
39 term persistence of species. Two conceptual theories are often used to explain the demographic  
40 processes related to range expansion and migration. The metapopulation theory suggests that  
41 populations are mediated by localized extinction and colonization processes. Local populations  
42 support each other in a source-sink dynamic (Hanski and Gilpin 1996, Rieman and Dunham  
43 2000). The member-vagrant theory suggests that members of a population have local  
44 adaptations that provide a selective advantage over vagrants from other populations (Garant et al.  
45 2000, Primmer et al. 2006). Both theories have some empirical support suggesting that  
46 environmental stability may mediate the population demographic process (Garant et al. 2000).  
47 Yet, understanding the underlying demographic process becomes important when predicting the  
48 response of a population or species to a management action such as barrier removal.

49           Direct removal or damage to habitat threatens 50% of species in the United States  
50 (Richter et al. 1997). Water diversions are cited as having the greatest adverse effect on aquatic  
51 fauna in California (Moyle and Williams 1990). Passage barriers such as dams or culverts limit  
52 access of fish species into historically accessible natal habitats isolating populations (Neville et  
53 al. 2006). In Washington State over 7,700 km of historical salmon habitat are inaccessible to  
54 migratory fishes because of impassable culverts or road crossings (Roni et al. 2002). As  
55 numerous species of fish have declined over the last several decades, extensive efforts have been  
56 made to remove or modify these barriers to allow passage of target fish species (Bernhardt et al.  
57 2005). These management actions are aimed at re-connecting unoccupied habitats to re-establish  
58 populations of threatened or endangered species that collectively will increase production. Few  
59 studies have collected data during the colonization process of fish in stream environments

60 (Bernhardt et al. 2005), and oftentimes are opportunistic after unpredicted catastrophic events  
61 like volcanic eruptions or the release of toxic chemicals (Leider 1989, Demairias et al. 1993).

62 Barrier removal projects create opportunities to study the colonization process using  
63 before-after treatment experimental design (Kiffney et al. 2009, Anderson et al. 2010). The rate  
64 of colonization and the need for artificial stocking of desirable populations and/or stocks are  
65 typical management questions. The rate of colonization will be dependent on the dispersal  
66 capability of the species as well as distance and density of the unoccupied habitat to candidate  
67 source populations (Gaggiotti et al. 2004). Barrier removal projects implemented in streams  
68 with populations of target species downstream of the structure are documented to rapidly  
69 colonize with volunteers when passage is restored (Kiffney et al. 2009, Anderson et al. 2010).

70 Trout and salmon are typically target species due to their threatened and endangered  
71 status in the U.S. (McClure et al. 2003). Salmonid systems which are largely driven by spawners  
72 homing to natal streams do not appear to support exploration of new environments necessary for  
73 population expansion and colonization. Several species of trout or salmon have multiple life  
74 history strategies co-occurring in the natal streams, such as resident (stream-rearing), fluvial  
75 (river-rearing) and anadromous (ocean-rearing) (Behnke 1992). These various life history  
76 strategies are known to provide demographic and genetic support to species in variable or  
77 unstable environments and inter-breeding between these life history types is widely documented  
78 (Araki et al. 2007, Parker et al. 2001, Docker and Heath 2003, Weigel et al. in review). Barrier  
79 removal is oftentimes targeted toward increasing population distribution and abundance of the  
80 anadromous life history due to extensive impacts from harvest, hydropower, and variable ocean  
81 conditions (McClure et al. 2003).

82           Artificial propagation also directly impacts migration and reproductive success of trout  
83 and salmon (Araki et al. 2007, McLean et al. 2004a, McLean et al. 2004b, Miller et al. 2004).  
84 These hatchery produced fish provide an over-abundant source population to colonize  
85 unoccupied habitats. Yet, hatchery salmon and steelhead are documented to have lower relative  
86 reproductive success in the natural stream environments (Araki et al. 2007, Miller et al. 2004,  
87 McLean et al. 2004b). Therefore, hatchery fish may not be a desirable source population for the  
88 colonization of newly opened habitats, and their role or impacts to the colonization process are  
89 not well-understood. Hatchery trout and salmon are documented to have higher rates of straying  
90 (Quinn 1993). The demographic effect of hatchery fish on the colonization process due to these  
91 high abundances and larger straying rates could reduce or eliminate the contributions from  
92 naturally produced trout or salmon. Yet, this demographic advantage is likely countered by the  
93 reduced fitness that could even result in unintended genetic or fitness effects on the colonizing  
94 population.

95           Genetic data are often used to monitor the effect of colonization as it identifies inter-  
96 breeding groups (or local populations) and source population (Gaggiotti et al. 2003, Demairias et  
97 al. 1993, Garant et al. 2000, Bartron and Scribner 2004). Studies have indicated that populations  
98 of *O. mykiss* are generally stable (no significant differences in population genetic measures) over  
99 short time periods ranging from several months to 5 years (Heath et al. 2002, Narum et al. 2004,  
100 Narum et al. 2006, Neilsen et al. 2009). Variation in these short term sampling efforts can arise  
101 from sampling bias and/or demographic effects. Over longer time periods (>20 years), temporal  
102 variation has been found to explain about 2% of molecular variation in *O. mykiss* populations, an  
103 amount similar to the variation among these populations (Beacham et al. 1999, Heath et al.

104 2002). These long term studies are generally influenced by genetic drift and changes in habitat  
105 condition, hatchery and harvest practices over these time frames.

106 Barrier removal in combination with the co-existing life history strategies and hatchery  
107 populations of *O. mykiss* creates an experiment where colonization can be examined while the  
108 resident *O. mykiss* populations are providing demographic stability. In this study, we use genetic  
109 data to determine if the anadromous population of *O. mykiss* was successfully established after  
110 the modification of several small irrigation dams in Beaver Creek, a natal tributary to the  
111 Methow River, Washington. We are particularly interested in the colonization process in *O.*  
112 *mykiss* because it has complex and co-occurring life history strategies combined with large  
113 hatchery effects. Trout were allowed to naturally colonize the unoccupied habitat. Individual  
114 migrations and movements were monitored with passive integrated transponder tags (PIT tags)  
115 and readers. The objective of our study is to: 1) identify the source and abundance of colonizers  
116 (anadromous, hatchery or fluvial) during the first four years after barrier removal; 2) identify if  
117 and where in the basin detectable genetic responses occurred; and 3) identify if a population of  
118 anadromous *O. mykiss* was successfully established in Beaver Creek.

#### 119 Study Area

120 The Methow Basin is located on the east side of the Cascade Mountain Range in  
121 north-central Washington. The Methow River is a tributary of the Columbia River located about  
122 843 km upstream from the estuary. Beaver Creek is a 3<sup>rd</sup> order natal tributary located on the east  
123 side of the Methow Basin and flows west into the Methow River 57 km upstream from the  
124 mouth (Fig 1). The Beaver Creek basin is 290 km<sup>2</sup> with basin elevations that range from 463 to  
125 1,890 m and stream flows that ranged from 0.05 to 4.7 m<sup>3</sup>/s during the study (Martens and  
126 Connolly 2010). The 100-year flood on Beaver Creek is estimated at 13.5 m<sup>3</sup>/s (Ruttenberg et al.

127 2009). The upper portion of the basin is managed forest land administered by state or federal  
128 agencies. The lower portion of the basin is irrigated, privately-owned farm or ranch land.

129 Access for fish into Beaver Creek was disconnected due to water withdrawal and  
130 associated structures for more than 100 years (Martens and Connolly 2010). Resident *O. mykiss*  
131 were present throughout the Beaver Creek basin prior to implementing the barrier removal  
132 projects. Anadromous *O. mykiss* (steelhead) and Chinook salmon were present downstream  
133 from the lowest diversion dam (Martens and Connolly 2010). From 2000 to 2004, seven small  
134 irrigation diversion dams (1.0 to 2.0 m high) were modified to Rosgen vortex weirs that allow  
135 fish passage (Martens and Connolly 2010, Ruttenberg et al. 2009). The most downstream  
136 irrigation diversion was a 2.0 m high concrete diversion dam that was modified to allow fish  
137 passage after the fall 2004. Access for migratory *O. mykiss* trout was restored to Beaver Creek  
138 for the spring 2005 spawning season.

#### 139 Hatchery Releases

140 The Grand Coulee Fish Maintenance Project mitigated for the construction of Grand  
141 Coulee Dam during the 1930s. Hatchery activities intended to replace lost production of  
142 anadromous salmon and steelhead from tributaries upstream blocked by the dam. The  
143 Wenatchee, Entiat, Methow and Okanogan subbasins are located downstream of Grand Coulee  
144 Dam and are utilized to rear and release salmon and steelhead for this extensive hatchery  
145 mitigation program. The State of Washington also manages a hatchery program to mitigate for  
146 other hydropower facilities on the Columbia River.

147 The stock for all these hatcheries originated from collections on the Columbia River at  
148 Rock Island Dam, downstream of Wenatchee, WA. This brood was established from the  
149 returning adults to this dam assumed to be migrating to the major tributaries upstream, such as

150 the Wenatchee, Entiat, Methow, Okanagan and tributaries upstream of Grand Coulee Dam  
151 (Chapman et al. 1994). This brood was later used to establish local broods in each of the basins.  
152 Therefore, the hatchery stock is often considered to be a genetically homogenized brood that  
153 would have little local genetic attributes or adaptations. In recent years, the Methow and the  
154 Wenatchee hatchery broods have been managed as demographically independent stocks.

155         Currently hatchery releases into the Methow from both the state and federal programs are  
156 to release 450,000 – 550,000 *O. mykiss* smolts per year. *O. mykiss* are spawned and reared at  
157 Wells Hatchery on the Columbia River downstream from the mouth of the Methow River.  
158 Current practices include intentional breeding between hatchery and naturally produced adults,  
159 and progeny from these crosses are primarily released in the Methow River basin (C. Snow,  
160 WDFW, personal communication). Hatchery *O. mykiss* are released as age 1 smolts in the upper  
161 Methow and Chewuch rivers upstream from the town of Winthrop, WA. All hatchery origin *O.*  
162 *mykiss* were marked with an internal tag (such as PIT tag), external tag (such as elastomer tag)  
163 and/or fin clip.

#### 164 Adult returns

165         Hatchery produced steelhead comprise more than 80% of the returning adults to Wells  
166 Dam, the nearest adult counting location to the Methow Basin. The low counts of wild adult  
167 summer steelhead led to the National Marine Fisheries Service concluding that the hatchery  
168 stock is critical to recovery of the species and included the hatchery stock as protected under the  
169 Endangered Species Act (McClure et al. 2003). Between 1999 and 2010, the wild steelhead  
170 returns range from 5 to 18% of the run (Fig 2). During our study (2005-2008), wild steelhead  
171 returns range from 9% in 2005 to 18% in 2008 (C. Snow, WDFW unpublished data).

#### 172 Methods

173 Fish Collections and Movements

174           Adult *O. mykiss* were captured in Beaver Creek using a picket weir installed 1.3 km  
175 upstream from the mouth (Fig. 1). This location was chosen for access and stream channel  
176 topography allowing the successful operation of the equipment. This fish trap was two  
177 directional capturing trout moving upstream or downstream. The trap was operated from March  
178 20 to May 9, May 14 to December 5, 2005, February 13-May 1, June 27-November 27, 2006,  
179 February 24 to March 30, May 25 to November 29, 2007 and February 24 to May 3, July 11 to  
180 July 30, September 2 to December 10, 2008. The date, direction of movement, fork length  
181 (mm), weight (g), sex and wild or hatchery origin were recorded for adult trout. The hatchery *O.*  
182 *mykiss* were collected from the Wells Hatchery (brood years 2005 and 2006) hatchery x hatchery  
183 crosses provided by the WDFW.

184           Juvenile *O. mykiss* were sampled at 6 sites located on Beaver Creek (Fig. 1). One site  
185 was downstream the lowest diversion dam (ds dam), 2 sites were located between the various  
186 diversion dam modifications (UBR1, UBR2) and 3 sites (CMP, UBR4, SFB) were upstream  
187 from the diversion dam modifications (Fig. 1). Sites were selected to represent the stream  
188 habitats longitudinally in the basin and located where access was permitted from private land  
189 owners. Juvenile sites were sampled to represent the population genetics spatially across the  
190 Beaver Creek basin and tributaries. Before barrier treatment collections were made during the  
191 fall of 2004 or the summer 2005 sampling age 1+ juvenile *O. mykiss* present at collection sites in  
192 the stream. After barrier treatment collections were made during the summer or fall 2008 and  
193 2009 sampling age 1+ juvenile *O. mykiss* present at collection sites in the stream. The 4 to 5  
194 year time between the before and after treatment collections is about 1 generation.

195 Juvenile *O. mykiss* were collected using a backpack electrofisher (Smith Root Inc. LR-  
196 24). Trout were measured to the nearest mm fork length and weighed to the nearest 0.01g using  
197 a digital scale (Ohaus, Scout Pro SP 400). Juvenile and adult trout were scanned for PIT tags  
198 and coded wire tags and any other external tags (such as fin clips, elastomer tags, etc.). If the  
199 trout did not have a PIT tag, a tag was inserted in the dorsal sinus cavity for adult trout or the  
200 body cavity for juvenile trout >65 mm (12.5mm tag, full duplex 134.2 kHz). A tissue sample  
201 was removed from the caudal fin of juvenile and adult trout and stored in 95% non-denatured  
202 ethanol.

203 Trout movements were monitored using a network of stationary PIT tag interrogation  
204 stations in Beaver Creek (Fig. 1) and at dams and passage facilities on the mainstem Columbia  
205 River. One multi-antenna, multiplex PIT tag interrogation station and two single antenna PIT tag  
206 interrogation stations were operated in Beaver Creek (similar to those described in Connolly et  
207 al. 2008, Martens and Connolly 2010). Briefly, the multiplex unit was operated with a Digital  
208 Angel Model FS-1001 transceiver connected to 6 custom made antennas and a DC power source.  
209 The antennas were arranged in 3 pairwise configurations across the stream bed providing  
210 complete coverage at most stream flows. This configuration collects information that can be  
211 used to determine direction of movement and efficiency of detection. The single antenna  
212 interrogation stations were operated using a 2001F-iso Digital Angel PIT-tag reader powered by  
213 a 12-volt battery connected to a single custom made antenna. The lowest single antenna PIT tag  
214 interrogation station was operated from September 2004 to December 2008. The multiplex  
215 interrogation station was operated from July 20, 2004 to present. The upper single antenna PIT  
216 tag interrogation station was operated from August 1, 2004 to November 12, 2008.

217 Migratory life history (anadromous or fluvial) of the adult trout was identified using PIT  
218 tags. Fluvial *O. mykiss* trout left Beaver Creek and were not read at any of the Columbia River  
219 facilities. Some of these fish returned in successive years. Anadromous *O. mykiss* trout were  
220 read on the mainstem Columbia River during upstream and/or downstream migration. Hatchery  
221 origin trout were identified from PIT or coded wire tags, fin clips or other marks.

## 222 Lab methods

223 Sixteen microsatellite markers were used to identify individuals. Thirteen of these  
224 markers are standardized across the Columbia River basin which allows for data sharing across  
225 labs (Stevenson et al. 2009). DNA was isolated from fin clips preserved in ethanol using  
226 QIAGEN DNeasy tissue extraction kits following standard manufacturer's protocols. Sixteen  
227 microsatellite loci were amplified using the polymerase chain reaction (PCR) in three multiplex  
228 reactions using Qiagen Multiplex PCR Master Mix on Applied Biosystems GeneAmp PCR  
229 System 9700 thermal cyclers in 96 well plates. PCR products were run on an Applied  
230 Biosystems 3730 genetic analyzer using LIZ600 (Applied Biosystems) as an internal standard.  
231 Peaks were scored using GeneMapper version 3.7 software (Applied Biosystems, Foster City,  
232 California), and labeled following the Stevan Phelps Allele Nomenclature (SPAN) convention  
233 (Stephenson et al. 2009). Forward primers were fluorescently labeled (Applied Biosystems).  
234 Primer sets used were Ogo4 (Olsen et al. 1998), Oke4 (Buchholz et al. 1999), Oki23 (Smith et al.  
235 1998), Omy1001 and Omy1011 (Spies et al. 2005), Omy7 (Stephenson et al. 2009), Oneu14  
236 (Scribner et al. 1996), One102 (Olsen et al 2000), Ots100 (Nelson & Beacham 1999), Ots3m  
237 (Greig & Banks 1999), Ots4 (Banks et al 1999), Ssa289 (McConnell et al. 1995), Ssa407 and  
238 Ssa408 (Cairney et al. 2000), Omm1036 and Omm1046 (Rexroad et al 2002).

239 Amplification (PCR) reactions consisted of 5 ul reactions containing 2.5 ul Qiagen  
240 Multiplex PCR Master Mix, five or six primer sets and water, added to 2ul of extract dried down  
241 in a 96 well plate. Cycling conditions included initial denaturation of 15 min at 95°C, followed  
242 by 28 cycles of 30 s at 94°C, 90 s at 51°C (Multiplex A) or 57°C (Multiplex B and Multiplex C),  
243 and 60 s at 72°C, followed by a final cycle of 30 min at 60°C. Multiplex A contained Oki23,  
244 Oke4, Oneu14, Ssa289, Ssa408; Multiplex B contained Ots4, Omy7, Ogo4, One102, Omm1046,  
245 Ssa407; Multiplex C contained Ots100, Omy1011, Omy1001, Ots3m, Omm1036.

246 Amplification products were diluted (usually with 10ul DNA grade water) and one ul of  
247 each dilution added to 10 ul of LIZ/formamide solution (30ul LIZ600 to 1ml formamide) in a 96  
248 well half-skirted PCR plate compatible with AB3730 genetic analyzer. A few samples from each  
249 plate were run on the AB3730 to test fluorescent strength of peaks. Adjustments were then made  
250 to sample dilution and volume, along with voltage and time settings for sample injection on the  
251 AB3730, to achieve optimum strength fluorescent peaks for the actual full plate run of 96  
252 samples. Completed 3730 runs were analyzed automatically using Genemapper, followed by  
253 manual analysis of all peaks to verify correct peak calling. All homozygous results were checked  
254 for small allele dropout and large allele dropout. Peaks were also visually checked for  
255 conformity to expected profiles. Peaks were scored following the Stevan Phelps Allele  
256 Nomenclature (SPAN) convention (Stephenson et al. 2009). Lab error rates for the 13  
257 standardized loci are <2% (Stephenson et al. 2009). Duplicate samples run for our study indicate  
258 lab error rates <1%.

## 259 Statistical Analysis

260 The before after analysis relies on the assumption that temporal genetic diversity is  
261 stable, so that a detectable response can be attributed to the treatment. To test the temporal

262 stability of the genetic diversity and variation, we used pair wise comparisons between  
263 consecutive years. Therefore, pair wise comparisons between the before after samples were used  
264 to detect changes due to the instream treatments whereas pair wise comparisons between  
265 consecutive years was used to test the frequency of statistical significance due to non-treatment  
266 related factors (such as finite sampling). Multiple tests were also used as much as possible to  
267 confirm the significance of the before after comparisons detected at the sites.

268         Prior to statistical tests, full siblings were identified and removed from the data set using  
269 MLRelate (Kalinowski 2006). Exact tests of Hardy Weinberg Equilibrium and linkage  
270 disequilibrium were performed using GENEPOP version 4.0.10. Expected heterozygosity was  
271 calculated using GENEPOP version 4.0.10 (Raymond and Rousset 1995). Unbiased estimates of  
272 allelic richness and private alleles were calculated using HP-RARE (Kalinowski 2005). Exact  
273 tests for  $F_{st}$  were performed using ARLEQUIN v3.5 (Excoffier and Lischer 2010). All  
274 comparisons were adjusted for multiple comparisons using a Bonferroni correction (Rice 1989).

275         The proportion of hatchery admixture was estimated for each *O. mykiss* collected at each  
276 site and year in the sample with known hatchery steelhead from Wells Hatchery (HxH crosses  
277 brood years 2005 and 2006, n=99) using STRUCTURE version 2.3.3 (Pritchard et al. 2000).  
278 The two hatchery brood years were not statistically different and were combined for our analysis.  
279 STRUCTURE is a Bayesian based model that clusters individuals according to allelic  
280 frequencies minimizing Hardy Weinberg and linkage disequilibrium. The model allows for  
281 admixture between population groups. The admixture model was run in STRUCTURE using  
282 10,000 iterations for burn in and 100,000 iterations using a Markov Chain Monte Carlo  
283 resampling algorithm as described in Prichard et al. (2000). All other settings were run using  
284 default values.

## 285 Results

286 Difficulties running the weir during spring stream flows resulted in inconsistencies  
287 between capture efficiencies and counts across the years of our study. Fluvial *O. mykiss* were  
288 particularly numerous during 2006 with nearly 3 times the number of adult migrants than the  
289 other years of our study (Fig. 3). Over the 4 years of our study, 34 individual fluvial rainbow  
290 trout >200mm were documented during the spawning run in Beaver Creek. Males were the  
291 largest proportion of this life history type comprising 76% of these trout; females and unknown  
292 determinations were 6% and 18%, respectively. The fluvial *O. mykiss* were documented entering  
293 Beaver Creek up to 3 consecutive years during our study with 32% of the individuals entering  
294 the creek multiple years.

295 Capture efficiency was high for adults during 2005 and 2006 with only two individuals in  
296 2005 and one individual in 2006 known to be missed in our sample. However, the weir was not  
297 run for the entire spawning seasons during 2007 and 2008 reducing the ability to count the wild  
298 anadromous *O. mykiss* entering the stream during these years (Fig. 3). Numerous hatchery *O.*  
299 *mykiss* were read at the Beaver Creek interrogation stations during these years, and the counts  
300 based on PIT tags would be biased toward hatchery trout.

301 PIT tags from adult *O. mykiss* in the stream migrated higher into the basin during the later  
302 two years of the study indicating that trout were still expanding into the upper basin (Fig. 4). PIT  
303 tags from parr in Beaver Creek indicate an increase in outmigration during these years in the  
304 upper basin. However, PIT tag outmigration from the middle reach (UBR1) remained relatively  
305 constant indicating that juvenile *O. mykiss* were expressing an anadromous life history from this  
306 reach prior to barrier treatment (Fig. 5). In 2007, parr tagged in Beaver Creek during the study  
307 began to return as adults (n=1 2007, n=3 2008, n=4 2009) indicating a local population of

308 anadromous *O. mykiss* was established and homing back to the stream. Between 2007 and 2011,  
309 38 adult *O. mykiss* that were tagged as parr in Beaver Creek were detected migrating upstream.  
310 Most (68%) of these adults were last detected on the Columbia River or at the mouth of the  
311 Methow River. Eight adults (21%) were detected in Beaver Creek and 4 (33%) were detected in  
312 other tributaries (Twisp and upper Methow rivers). One-third of the adults that were detected  
313 entering natal tributaries in the Methow Basin were known strays into other streams.

314         The total number of alleles detected at each locus ranged from 7 to 28. Tests of Hardy  
315 Weinberg Equilibrium and linkage disequilibrium did not detect significant departures in the  
316 juvenile samples from the sites on Beaver Creek. Tests on the Wells Hatchery samples did not  
317 detect any significant departures from Hardy Weinberg Equilibrium but did detect linkage  
318 disequilibrium at 6 pairs of loci. There was no pattern to these pairs of loci and this  
319 disequilibrium could be a result of non-random mating or mixing of populations in the hatchery  
320 breeding practices.

321         The genetic diversity parameters indicated some changes in the before-after comparisons  
322 with the temporal tests remaining stable for expected heterozygosity and allelic richness. Private  
323 alleles did vary across the comparisons (Table 1). The proportion of hatchery admixture showed  
324 confounding results with some sites increasing and some decreasing before-after the barrier  
325 removal. The comparisons of proportion of hatchery admixture among consecutive years were  
326 consistent except for the South Fork Beaver Creek (SFB) comparison. The campground site  
327 downstream from the mouth of the South Fork Beaver Creek showed an increase of proportion  
328 of hatchery admixture when comparing the 2005 to 2009 samples. Pair wise Wilcoxon rank tests  
329 before and after comparisons and temporal comparisons of the proportion of hatchery admixture

330 were not significant ( $p > 0.05$ ) except for the comparison between 2005 and 2008 SFB site  
331 ( $p = 0.02$ ).

332 Comparisons of genetic differentiation (Fst and allele frequency) showed significant  
333 differences at the two most downstream sites in the basin (Table 1). Both of these variables  
334 show significant differences indicating consistency across these measurements supporting the  
335 conclusion that population genetics have changed at these sites after barrier removal.  
336 Interestingly, the site downstream from the dams showed significant change even though it was  
337 accessible prior to the barrier removal treatments. The genetic differentiation tests at UBR4  
338 (2004 and 2008) were significant, but was not significant for the comparison between 2004 and  
339 2009. It is possible that this significance could be a result of finite sampling or non-random  
340 mating or collections. All of the temporal tests on the consecutive years did not show any  
341 significant tests for Fst or allelic frequencies (Table 1).

#### 342 Discussion

343 Adult *O. mykiss* entered Beaver Creek during the first spawning season after barrier  
344 removal. Hatchery *O. mykiss* were a small proportion of these colonizing adults despite high  
345 abundances due to local fishery management programs. Anadromous parr tagged in Beaver  
346 Creek returned to the study area as adults in 2008 indicating that an anadromous population was  
347 established in the newly opened habitat. Comparisons of population genetic parameters before  
348 and after barrier treatment indicate significant changes in the lowest two monitoring sites in the  
349 basin (downstream from the dams and UBR1). The other sites did not show significant changes.  
350 Hatchery admixture was not significantly different in the before and after comparisons.  
351 Temporal tests of the population genetic parameters found no significant differences between  
352 pair wise comparisons over consecutive years.

353           Adult anadromous *O. mykiss* did not increase during the first 4 years after barrier  
354 removal. Counts of wild and hatchery anadromous *O. mykiss* declined from 2005 to 2007 and  
355 then increased slightly. This followed the trend of adult counts into Wells Dam. Fluvial rainbow  
356 trout were a variable portion of the run and inter-breed with the anadromous *O. mykiss* (Weigel  
357 et al. in review). Although Anderson et al. (2010) found rapid colonization and steadily  
358 increasing abundances of coho salmon (*O. kisutch*) during the first 3 years after passage was  
359 restored at a dam, Demarias et al. (1993) found that re-colonization occurred much slower in the  
360 Virgin River chub (*Gila seminuda*) after an accidental release of rotenone, a fish poison. After  
361 29 months, the population genetic attributes of the Virgin River chub had returned to the pre-  
362 poison conditions at the site closer to the unaffected (source) population (30km), but was  
363 significantly different at a more distant site (>60km away) indicating that this population was  
364 still disconnected. The rate of colonization is mediated by the abundance, distance and  
365 connectivity to source populations; therefore, different species and locations may vary in  
366 response to connectivity projects.

367           Few hatchery *O. mykiss* entered Beaver Creek despite high proportions of hatchery trout  
368 in the returns to the basin. Leider (1989) also found different proportions of hatchery *O. mykiss*  
369 between a hatchery counting site lower in the basin and a natal tributary. Hatchery fish may  
370 have a preference to return to release locations or the hatchery site with a smaller proportion  
371 straying into the newly opened habitat. In addition, other survival differences may affect the  
372 proportion of hatchery fish between the ladder at Wells Dam and the natal tributaries, such as  
373 selective harvest. Hatchery admixture did not significantly change in our before – after pairwise  
374 comparisons. In addition, only one juvenile parr from Beaver Creek was found to be spawned by

375 a hatchery *O. mykiss* indicating very low reproductive contribution from this population (Weigel  
376 et al. in review).

377         Several parr tagged in Beaver Creek returned as adults in 2007 through 2011 indicating  
378 that an anadromous population is established in the newly opened habitat. Some straying of  
379 these returning adult *O. mykiss* occurred during the study and 66% of these adults returned to the  
380 natal area. All the strays were detected in tributaries upstream from Beaver Creek. *O. mykiss*  
381 were found to stray into tributaries upstream from the natal tributary after the volcanic eruption  
382 on Mt. St. Helens, WA (Leider 1989). Additional adult *O. mykiss* tagged as parr in Beaver Creek  
383 were last detected migrating upstream on the Columbia River or the mouth of the Methow River.  
384 These adults were not detected again entering a natal tributary, and the fate of these adults is  
385 unknown. These trout either died, entered another natal stream undetected or returned to Beaver  
386 Creek downstream from the lowest tag interrogator. The adult *O. mykiss* from Beaver Creek had  
387 a substantially higher rate of straying (33%) than documented in other studies (7.7%) (Hendry et  
388 al. 2004).

389         The temporal stability of the population genetic measures is important to identify when  
390 attempting to detect a treatment effect. Population genetic measures can vary due to genetic  
391 drift, finite population sizes and finite sampling. Therefore, some tests could show significant  
392 differences and be unrelated to the treatment. Similar to other studies, our populations were  
393 generally temporally stable over short term comparisons. Similar tests ranging from collections  
394 <1 to 5 years apart found that only 1 out of 21 comparisons was significantly different (Narum et  
395 al. 2004, Narum et al. 2006, Heath et al. 2000, Neilsen et al. 2009). Therefore, we expect a less  
396 than 5% rate of significant temporal tests due to random or unmeasured effects.

397           The lower two sites show significant differences in allele frequency and  $F_{st}$  values, but  
398 not in proportion of hatchery admixture. We did not expect to see a change in the lowest site  
399 downstream from the dams because this site was accessible to *O. mykiss* prior to the barrier  
400 treatments. Interestingly, there was also a reduction in the proportion of hatchery admixture at  
401 this site after barrier removal, another unexpected result. This shift in genetic parameters may be  
402 due to individual trout moving downstream from upstream sites for rearing or possibly due to the  
403 mixing of the anadromous population with the resident populations that were residing upstream  
404 from the diversion dams. Hatchery *O. mykiss* did not appear to contribute to the colonization of  
405 the study area; therefore, this reduction in hatchery admixture could be due to the source of the  
406 successful (non-hatchery) colonizers. The first site upstream from the diversion dam treatments  
407 (UBR1) had the greatest shift in  $F_{st}$  and allele frequencies which were significantly different  
408 before and after treatment. This site had only a slight, not significant increase in the proportion  
409 of hatchery admixture indicating little hatchery influence at this site.

410           The sites further upstream did not show changes in population genetics when comparing  
411 before and after treatment samples. Tag data indicate that few spawners made it to these upper  
412 reaches of the basin during the first 4 years after barrier removal. Although outmigration  
413 increased from tags released at these sites during the study indicating an increase in anadromy,  
414 removal of the related individuals from the analysis will require more adults to spawn in these  
415 reaches of stream before genetic response will be detectable. The UBR4 site showed a  
416 significant change in  $F_{st}$  and allele frequencies when comparing the 2004 to 2008 samples, but  
417 this comparison was not significant when comparing the 2004 to 2009 samples. Since the  
418 pairwise comparisons were not similar across the different years, we considered that the  
419 significant comparison did not indicate clear genetic changes due to the treatment. Similarly, the

420 SFB site had an increase in allelic richness and private alleles when comparing the 2005 to 2008  
421 samples, but not when comparing the 2005 to 2009 samples. These shifts in population genetic  
422 measures could be the result of genetic drift from finite population size of breeders, non-random  
423 mating, finite sampling, or result from a few new migrants in 2008 that did not migrate into this  
424 area in 2009.

425         Successful colonization requires that source populations are available that can provide  
426 colonizers into the newly opened habitat; connectivity between the source population(s) and the  
427 newly opened habitat and adequate habitat conditions to establish and support the colonizing  
428 species. The barrier removal resulted in connectivity in Beaver Creek allowing individuals to  
429 access the creek from other (source) populations. Adults that entered Beaver Creek successfully  
430 reproduced (Weigel et al. in review) and anadromous *O. mykiss* established a population in the  
431 basin. The sites in the lower reaches of Beaver Creek had significant changes in genetic  
432 differentiation when comparing before and after the barrier removal. Colonization and  
433 expansion of anadromous *O. mykiss* was a slower process than expected with adult anadromous  
434 *O. mykiss* expanding into the upper basin sites during the later years of the study.

435

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Table 1. Genetic variation for pair wise before-after treatment comparisons and temporal tests on consecutive years for sites in Beaver Creek sampled between 2004 and 2009. Sites are listed from the most downstream to the most upstream. Repeated pair wise tests were done to test repeatability of results. Parameter include: sample size (n), expected heterozygosity (H), average allelic richness (AR), private alleles (PA) and proportion hatchery admixture (%H), population differentiation (Fst) and allele frequency exact test (Pval).

	Before						After							
Site	year	n	H	AR	PA	%H	year	n	H	AR	PA	%H	Fst	Pval
Downstream dam	2005	28	0.80	7.1	0.42	40.0	2009	23	0.81	7.2	0.35	35.6	0.014*	0.001*
UBR1	2004	19	0.78	6.4	0.26	45.2	2008	28	0.82	7.2	0.22	47.6	0.021*	<0.001*
UBR1	2004	19	0.78	6.4	0.26	45.2	2009	26	0.82	7.0	0.29	47.0	0.027*	<0.001*
Campground	2005	36	0.76	6.3	0.04	6.0	2009	21	0.78	6.3	0.06	12.6	0.002	0.047
UBR4	2004	15	0.70	4.9	0.03	6.3	2008	28	0.69	5.2	0.05	3.2	0.011*	0.009*
UBR4	2004	15	0.70	4.9	0.03	6.3	2009	23	0.68	5.3	0.03	5.0	-0.002	0.558
SFB	2005	28	0.72	5.5	0.03	1.8	2008	33	0.77	6.0	0.09	8.3	0.004	0.121
SFB	2005	28	0.72	5.5	0.03	1.8	2009	21	0.73	5.5	0.04	4.0	0.002	0.276
<b>Temporal tests</b>														
UBR1	2008	28	0.82	7.2	0.22	47.6	2009	26	0.82	7.0	0.29	47.0	-0.003	0.253
UBR2	2008	29	0.80	6.7	0.11	9.8	2009	22	0.80	6.6	0.18	9.0	-0.004	0.880
UBR4	2008	28	0.69	5.2	0.05	3.2	2009	23	0.68	5.3	0.03	5.0	<- 0.001	0.147
SFB	2008	33	0.77	6.0	0.09	8.3	2009	21	0.73	5.5	0.04	4.0	0.005	0.568

\* indicates statistical significance after Bonferroni correction

## Figure Legends

Figure 1. Study location and sampling sites in Beaver Creek, Methow Basin, Washington.

Figure 2. Counts of wild and hatchery adult *O. mykiss* returns to Wells Dam, Columbia River, Washington (1999-2010). Data provided by Washington Department of Fisheries and Wildlife.

Figure 3. Adult *O. mykiss* counts into Beaver Creek 2005-2008. Counts include *O. mykiss* captured at the weir by population and life history (hatchery (H in weir), wild (W anad in weir) and fluvial (fluv in weir)) and tagged trout read at interrogation stations in Beaver Creek and not captured at weir (hatchery (anad tag) and fluvial (fluv tag)).

Figure 4. Number of adult *O. mykiss* trout counted at tag interrogation stations located at rkm 4 and rkm 12 migrating upstream during spawning season in Beaver Creek 2005-2008.

Figure 5. Number of parr outmigrants recorded migrating downstream past the tag interrogation stations in Beaver Creek. Parr were tagged at sites located upstream of the interrogation stations in the middle reach of Beaver Creek (UBR1) and upper Beaver Creek (UBR2, SFB, CMP and UBR4). The interrogation stations were installed during the summer and fall 2004. Therefore, the counts for 2004 are not complete enumeration of annual parr outmigrants.

Figure 6. STRUCTURE output for the 6 monitoring sites in Beaver Creek. The Wells Hatchery steelhead were used as a reference for the hatchery population (HxH crosses, brood years 2005 and 2006). Hatchery samples were provided by Washington Department of Fisheries and Wildlife.