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**Effectiveness of a redesigned water diversion using rock vortex weirs
to enhance longitudinal connectivity for small salmonids**

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32

Abstract

33 For nearly 100 years, water diversions have affected fish passage in Beaver Creek, a
34 tributary of the lower Methow River in north-central Washington State. From 2000-
35 2004, four dam-style water diversions were replaced with a series of rock vortex weirs.
36 These were designed to allow fish passage while maintaining the ability to divert water
37 into irrigation canals. We observed the new appearance of three species (juvenile
38 Chinook salmon *Oncorhynchus tshawytscha*, juvenile coho salmon *O. kisutch*, and
39 mountain whitefish *Prosopium williamsoni*) upstream of the rock vortex weirs indicating
40 successful restoration of longitudinal connectivity. We used passive integrated
41 transponder (PIT) tags and instream PIT tag interrogators during 2004-2007 to evaluate
42 upstream passage of small salmonids (< 240 mm FL) through one of these series of rock
43 vortex weirs. We documented 109 upstream passage events by small salmonids through
44 the series of rock vortex weirs, most of which (81%) were rainbow trout/juvenile
45 steelhead *O. mykiss*. Small rainbow trout/steelhead ranging from 86 – 238 mm were able
46 to pass upstream through the rock vortex weir, though a delay in fish passage at
47 discharges below $0.32 \text{ m}^3\text{s}^{-1}$ was detected when compared to a nearby control section.

48

49

Introduction

50 The use of water diversions to irrigate crops and raise livestock continues to be a
51 common practice for farmers and ranchers in the western United States. However, some
52 of these diversions can act as barriers that limit the movement, distribution, and
53 abundance of fish within and between watersheds (Bednarek 2001; Connolly and Sauter
54 2008). They can also affect the composition of fish communities (Bednarek 2001) and
55 reduce genetic variability of fish populations (Neville et al. 2006). The most recognized
56 impact of instream fish barriers on fish movement in the Pacific Northwest is the
57 blockage of adult salmonid access to their historical spawning areas. However, even
58 when adults can pass upstream, these structures can severely restrict upstream passage of
59 juvenile salmonids (Curry et al. 1997; Erkinaro et al. 1998). This restriction can limit or
60 block access to critical rearing areas (Scrivener et al. 1993), to refugia from predation
61 (Harvey 1991), and to colonization of fish populations following disturbances

62 (Detenbeck et al. 1992). Habitat fragmentation resulting from blocked passage can
63 increase risk of extirpation of fish populations (Winston et al. 1991).
64
65 Direction and timing of fish movement can be difficult to assess with use of most
66 common tagging methods (Bunt et al. 1999). Ficke and Myrick (2009) noted the limited
67 number of techniques for effectively monitoring small-bodied fish in natural stream
68 conditions. Typical tagging methods, such as Floy tags (Belford and Gould 1989),
69 visible implant elastomer (VIE) tags (Schmetterling et al. 2002; Ficke and Myrick 2009),
70 acrylic paint injection (Warren and Pardew 1998), and radio telemetry (Bunt et al. 1999;
71 Ovidio and Philippart 2002) have serious limitation for determining fish direction and
72 timing. Floy tags, VIE tags, and acrylic injection techniques could not provide
73 information regarding specific travel times through the rock vortex weirs (RVW) unless
74 traps were continuously operated upstream and downstream of the diversion. The use of
75 radio telemetry can provide travel-time data; however, the number and size of fish can be
76 limited due to the size, cost, and lifespan of the tags. Passive integrated transponder
77 (PIT) tags and fixed instream interrogation systems can be used to determine direction
78 and the exact time of fish movement (Connolly et al. 2008), to relate the time of
79 movement to near instantaneous stream flow conditions (Bryant et al. 2009), and to tag
80 large numbers of fish for a relatively low cost. For these reasons, the use of PIT tags has
81 shown a lot of potential for these types of studies.
82
83 Passive integrated transponder tags and instream interrogators have been successfully
84 used to study natural-style passage structures using large (> 250 mm) migratory fish
85 (Aarestrup et al. 2003; Calles and Greenberg 2007), but few studies have looked at small
86 fish that may or may not have migratory tendencies. Fish passage through RVW has
87 received little attention in laboratory and field studies (Ruttenberg 2007). Structures such
88 as RVW are built in a “close-to-natural style” that resembles natural river rapids
89 (FAO/DVWK 2002). These types of structures offer an alternative to more traditional
90 passage structures, and can potentially create a more aesthetic look to the landscape
91 (Jungwirth 1996). Some advantages of these natural-style structures are the variety of
92 flows and depths for movement of different species and sizes of fish, as well as the

93 habitat they create (Aarestrup et al. 2003). Previous evaluations of these types of
94 structures have revealed mixed results (Aarestrup et al. 2003; Calles and Greenberg 2005,
95 2007), creating the need for more informative studies (Roni et al. 2002). Before the role
96 of RVW for instream restoration increases, their effectiveness needs to be assessed to
97 justify large expenditures and to prevent replicating flawed designs. The objectives of
98 this study were to: 1) assess the effectiveness of a series of RVW to pass upstream
99 passage of small fish, and 2) assess role of stream discharge and fish length on speed and
100 timing of fish moving through the series of RVW.

101

102

Study Area

103 Our study was conducted in Beaver Creek, a tributary of the lower Methow River in
104 northcentral Washington State, USA (Figure 2). The Methow River is a fifth order
105 stream that drains into the Columbia River at river kilometer (rkm) 843. Beaver Creek is
106 a third order stream that drains westward into the Methow River at rkm 57 just south of
107 Twisp, WA. The watershed area is 179 km² (USFS 2004) and ranges in elevation from
108 463 to 1,890 m. Discharge in Beaver Creek was typically highest in May and June, and
109 then declined to base levels during August - October. During July 2004 and September
110 2007, the lowest daily median discharge was 0.05 m³s⁻¹ in September 2005, and the
111 highest daily median discharge was 4.70 m³s⁻¹ in May 2006 (Ruttenberg 2007).

112

113 Prior to restoration, various artificial and natural barriers existed in the Beaver Creek
114 watershed for more than 100 years. One of these barriers was a small concrete dam,
115 while the other diversion barriers were structures made from a mixture of materials such
116 as wood, rocks, and plastic sheeting. The concrete diversion dam was modified in 2004,
117 whereas three other upstream diversion dams were modified in 2003. At least two of
118 these diversions were considered barriers to upstream fish passage before installation of
119 the RVW (USBOR 2004a, 2005). The RVW in Beaver Creek were designed and
120 installed under the supervision of U.S. Bureau of Reclamation to meet fish passage
121 standards established by the National Marine Fisheries Service (NMFS 2000) and
122 Washington Department of Fish and Wildlife (WDFW 2000).

123

124 Modifications to the water diversions in Beaver Creek included installing a series of
125 RVW at a given site (USBOR 2004a, 2004b, 2004c, 2005). These RVW were made of
126 large boulders to increase the stream elevation so that it matched the height of the
127 original diversion. A typical RVW was pointed upstream with the “legs” angling
128 downstream from 15 to 30 degrees relative to the stream bank (Figure 1). Footer stones
129 were installed along rock layers and weir stones were positioned above them. Rock
130 vortex weirs were designed in hopes to allow passage of water and biota around and
131 between the rocks at normal flows, creating a variety of flow velocities and depths to
132 accommodate fish passage (SMRC 2008). The RVW typically created scour pools
133 downstream of the weirs, which had the potential to provide rearing habitat and a jump
134 pool for fish. While RVW are not new (Roni et al 2002), their effectiveness for allowing
135 upstream passage of small fish was largely unknown and is likely to vary among sites.
136

137 Before the construction of the Lower Stokes water diversion (LSW), rainbow
138 trout/steelhead *Oncorhynchus mykiss*, eastern brook trout *Salvelinus fontinalis*, and
139 shorthead sculpin *Cottus confusus* could be found just upstream of the LSW.
140 Downstream of the LSW, anadromous salmonids (primarily steelhead, but also Chinook
141 salmon *O. tshawytscha* and coho salmon *O. kisutch*), non-anadromous salmonids
142 (rainbow trout, westslope cutthroat trout *O. clarki*, bull trout *S. confluentus*, mountain
143 whitefish *Prosopium williamsoni* and eastern brook trout), and non-salmonids (shorthead
144 sculpin, longnosed dace *Rhinichthys cataractae*, bridgelip sucker *Catostomus*
145 *columbianus*, and smallmouth bass *Micropterus dolomieu*) were present (Martens and
146 Connolly 2008).

147

148

Methods

149 Fish were collected using a two-way fish trap at rkm 1 (Figure 2) and backpack
150 electrofishers. In order to track movements, most fish 65 mm or longer were tagged with
151 a 12.5 mm PIT tag (full duplex, 134.2 kHz). Electrofishing was conducted at the lower
152 sampling area (rkm 1), upstream and downstream of the LSW, and in the upper
153 watershed. We intensively sampled a 600 m section of stream immediately upstream of
154 the LSW multiple times in each year of the study (2004-2007) to PIT tag fish, recapture

155 previously PIT-tagged fish, and look for the presence of new species above the LSW.
156 Surveys were conducted in the spring, summer, and fall.
157
158 A fish trap was deployed at rkm 1, and was used to collect and tag upstream moving fish
159 below the RSW. The two-way fish trap was operated from 22 October through 22
160 December (60 d) in 2004, from 20 March through 5 December (253 d) in 2005, from 13
161 February through 27 April and 28 June through 27 November (220 d) in 2006, and from
162 24 February through 30 March and 25 May through 30 September (219 d) in 2007. The
163 trap was checked a minimum of once a day. Trap operations were typically
164 compromised by high flows during the fall and early spring. The trap was pulled in
165 winters due to ice accumulations. Fish trapping operations started in late fall 2004 and
166 ran into fall 2007.
167
168 We maintained and operated one multi-antenna and multiplexing PIT tag interrogation
169 system and one single-antenna PIT tag interrogation system (Figure 2). The multi-
170 antenna PIT tag interrogation system or upper interrogator (UI) was deployed 30 m
171 upstream of the LSW. The UI consisted of a FS 1001M Digital Angel multiplexing PIT
172 tag transceiver, six custom-made antennas, and a DC power source. The six antennas
173 were arranged longitudinally in three arrays, with two antennas per array, which allowed
174 us to determine direction of fish movement, to enhance efficiency of detection, and to
175 insure coverage of the entire wetted width of the stream during the majority of summer
176 flow levels. At the upstream most array (array A), we installed a 1.8-m x 0.9-m antenna
177 (number 1) on river left and a 3.1-m x 0.9-m antenna (number 2) on river right. At the
178 middle array (array B) we installed two 3.1-m x 0.9-m antennas (numbers 3 and 4), and
179 for the downstream array (array C), we installed two 1.8-m x 0.9-m antennas (numbers 5
180 and 6). Arrays A and C were installed in a pass-by configuration, while array B was
181 installed in a hybrid configuration, as described by Connolly et al. (2008). Array A was
182 8.2 m upstream from array B, and array B was 14.6 m upstream from array C. The total
183 distance from array A and array C was 22.8 m. This interrogator had detection
184 efficiencies exceeding 96% during high flow periods and approached 100% during low
185 flow periods (Connolly et al. 2008). Downstream from the UI, a single-antenna PIT tag

186 interrogator (LI) was installed just downstream of the LSW at rkm 4 in Beaver Creek
187 during fall 2005 (Figure 2). The single-antenna, PIT tag interrogation system consisted
188 of a 2001F-ISO Digital Angel PIT tag transceiver, a 12-volt battery, and a small (1.2 m x
189 0.6 m) antenna.

190

191 To assess discharge, a minitroll pressure transducer (In Situ Corporation, Fort Collins,
192 Colorado) was deployed 5 m upstream of the LSW. The pressure transducer recorded
193 water depths at 20-minute intervals. These readings along with instream flow
194 calculations were used to develop a rating curve to estimate stream discharge at the
195 diversion weirs (Ruttenberg 2007). Water depths were collected from July 2004 through
196 May 2006 (when high flows washed out the pressure transducer) by the University of
197 Idaho. The U. S. Geological Survey (USGS) reinstalled the pressure transducer in March
198 2007 and recorded stream levels through December 2007.

199

200 Upstream movement for LSW was determined by fish detected at the UI, but for our
201 analysis, we limited the data to fish detected at both the LI and UI. The timing of
202 upstream passage was matched with the discharge readings taken just upstream of the
203 LSW. Due to limited presence and PIT tagging of other species of fish in Beaver Creek,
204 we focused our length and movement analysis on *O. mykiss*.

205

206 Because *O. mykiss* were not physically recaptured upstream of the LSW, individual fish
207 lengths at time of passing were not available. To evaluate the size of fish passing the
208 LSW, we adjusted the length of fish based on the fish's length at tagging and growth of
209 recaptured fish. We used PIT tag recapture data collected during three common sampling
210 periods (spring, summer, and fall) from two locations (from fish trap or electrofishing
211 near rkm 1; electrofishing near the LSW between rkm 3 to 5). The number of days from
212 tagging until a fish passage event was then separated into growth periods (March-May,
213 June-August, and September-February). If a fish was detected to be in both Lower
214 Stokes and fish trap areas, we used the average daily growth for each area and each
215 growth period to adjust fork length at time of passage. If a fish was tagged and thought
216 to remain in the Lower Stokes area, we used the average daily growth for the Lower

217 Stokes area to adjust fork length. Finally, we multiplied the number of days in each
218 growth period by the appropriate average daily growth rate and added the total growth to
219 the original fork length. We refer to this new length as “adjusted fork length” (AFL).

220

221 We compared *O. mykiss* moving from the LI to the UI (treatment section) to *O. mykiss*
222 moving from one array to another (Array C-B, B-A or C-A) of the UI (control sections).
223 If a fish was detected at each array, we only used the distance from array C to array A.
224 We evaluated the distribution of passage time of *O. mykiss* for normality and found it to
225 be positively skewed; therefore, we log-transformed the data. To account for differences
226 in length between the treatment and control sections (distance of the treatment section
227 was 141 m, distance between Array C to B was 14.6 m, between Array B to A was 8.2 m,
228 and between Array C to A was 22.8 m), we used the ratio of distance over time. We
229 separated our fish passage data for treatment and control sections into four categories
230 (low discharge and slow-moving fish, high discharge and slow-moving fish, low
231 discharge and fast-moving fish, and high discharge and fast-moving fish). Discharge was
232 separated into high and low categories on the first occasion that the discharge level
233 doubled from the previous discharge rate (0.31 to $0.64 \text{ m}^3 \text{ s}^{-1}$) of fish passing the RVW.
234 Fast and slow moving fish were separated based on one standard deviation over the mean
235 ($\text{mean} + \text{SD} = 2.2 \text{ m/min}$) of fish passing through the RVW. The treatment and control
236 data sets were then used to run a Chi-square analysis to compare movement rates between
237 two discharge rates (low discharge $0.15 - 0.31 \text{ m}^3 \text{ s}^{-1}$, high discharge $0.64 - 2.93 \text{ m}^3 \text{ s}^{-1}$).
238 Finally, we ran a linear regression to evaluate whether passage time was size dependant.

239

240

Results

241

242 We PIT tagged a total of 6,596 juvenile steelhead/resident rainbow trout, Chinook
243 salmon, coho salmon, bull trout, brook trout, mountain whitefish, and bridgelip sucker.
244 Of these, 5,172 were small ($< 240 \text{ mm}$) *O. mykiss* with 3,699 captured, tagged, and
245 released downstream the RVW and LI. Following the modification of the downstream-
246 most water diversion (Fort Thurlow), new species collected by electrofishing or detected
247 upstream of the LSW, included juvenile Chinook salmon ($n = 24$), juvenile coho salmon

248 (n = 2), and mountain whitefish (n = 1). Five small *O. mykiss* and one brook trout that
249 were tagged and released below the LSW were recaptured through electrofishing just
250 upstream of the UI. From 2005 through 2007, we recorded 109 upstream fish passage
251 events of small salmonids at the UI, including: 88 *O. mykiss*, 20 brook trout, and 1 coho
252 salmon. The smallest documented upstream mover (a 77 mm *O. mykiss* when tagged at
253 the fish trap) was detected upstream of the RVW at the UI less than two months after it
254 was tagged.

255

256 A total of 60 of the 88 upstream passage events of *O. mykiss* were detected at the LI and
257 subsequently detected at the UI. These *O. mykiss* movements through the LSW ranged
258 from 28 min to 85 d. Most of these fish moved through the LSW in the spring and
259 summer, with little to no movement occurring during the fall and winter months (Figure
260 3). Small *O. mykiss* ranging from 86-238 mm (AFL) were detected moving through the
261 LSW (LI to UI) within one hour of first detection at the LI, at discharges as low as 0.15
262 m³s⁻¹. Since deployment of the LI in fall 2005, we did not record discharge under 0.15
263 m³s⁻¹. Corresponding flow records were available for 46 of the 60 *O. mykiss* that were
264 detected at both LI and UI. These 46 passage events with flow records were used in our
265 comparison of treatment and control sections.

266

267 From October 2005 to September 2007, the LI detected 107 small *O. mykiss*, 98 of which
268 had been tagged near this interrogator (within 20 meters). We detected 13 small *O.*
269 *mykiss* at the UI that were originally tagged at fish trap (rkm 1), which constituted a
270 movement upstream > 3 km. Of these 13 *O. mykiss* that moved, 9 (70%) were previously
271 detected at the LI. These nine fish detected at the LI that were subsequently detected at
272 the UI range in size (77-208 mm FL) and took 28 min to 85 days to pass through the
273 LSW.

274

275 There were more slow-moving fish at both high and low discharge moving over the
276 treatment section compared to the control section (Figure 4). Fish passing the treatment
277 section moved slower ($X^2 = 3.9781$, $P = 0.046$) when moving at low discharge versus high
278 discharge, but no such difference ($X^2 = 0.023$, $P = 0.880$) was found for fish moving

279 through the control section. There was no evidence for size-dependence in movement
280 rate through the LSW at either low discharge ($r^2=0.049$, $P = 0.564$) or high discharge (r^2
281 $= 0.003$, $P = 0.774$).

282

283

Discussion

284 After modification of the LSW, we found three additional species of fish above it:
285 juvenile Chinook salmon, juvenile coho salmon, and mountain whitefish. While the
286 number of fish we observed from formerly excluded species were relatively low (< 30),
287 their numbers are likely to increase in the future. Access to new rearing area for these
288 juvenile salmonids will hopefully lead to a sustained process of colonization. Anderson
289 et al. (2008) speculated that juvenile salmonids using nonnatal streams may increase
290 colonization if they return as adults to their rearing sites rather than their emergent sites.
291 In addition, enhanced tributary access may provide additional benefits to juvenile
292 salmonids compared to rearing confined to the mainstem river. Murray and Rosenau
293 (1989) observed that juvenile Chinook that moved into nonnatal tributaries experienced
294 increased growth compared to fish rearing in a mainstem river, while Ebersole et al.
295 (2006) reported that juvenile coho had improved growth and survival over winter in
296 tributaries compared to those in a mainstem river.

297

298 We successfully monitored over 100 small fish moving upstream and past a series of
299 RVW at our LSW site. Small *O. mykiss* ranging from 86-238 mm (AFL) were able to
300 move through the LSW within 1 hr, but some took much longer (up to 98 days). The
301 increase in the number of species and the recorded movements of small *O. mykiss*
302 through the LSW indicated the RVW was effective at passing small fish upstream.
303 However, the modification appeared just as effective in allowing small-sized fish of an
304 introduced salmonid species, brook trout, to pass upstream.

305

306 Small fish were able to move through the RVW at low discharges, as documented by a *O.*
307 *mykiss* as small as 77 mm passing the LSW when discharges were at their lowest
308 recorded level. Fish passing upstream through a treatment section at low discharge took
309 a longer time compared to those passing upstream through a control section. These

310 stream sections did differ in character. The control was more representative of a low
311 gradient pool-riffle complex while the treatment section was more representative of a
312 high gradient pool-riffle complex. Ovidio and Philippart (2002) found that areas
313 downstream of blockages provided good habitat for several species of fish, and Jungwirth
314 (1996) observed that fish in pools created by natural-style passage structures were found
315 in the same pool for months after initial sampling. The range in travel time (28 min to
316 over 98 d) through the LSW may be due to pools created downstream of each RVW
317 providing good habitat for the fish and providing less motivation for instream movement.

318

319 We could not identify the number of fish that may have unsuccessfully attempted to pass
320 upstream and over the LSW. However, all nine *O. mykiss* (77-208 mm FL) that
321 expressed definitive upstream movement (fish that moved > 3 km) from the fish trap to
322 LI were also detected upstream of the LSW at UI. In addition, there were no indications
323 that fish were unsuccessful in their attempts to pass upstream and over the LSW (i.e., fish
324 moving upstream from the fish trap and detected at LI, but not detected at the UI).
325 Because the proportion of fish detected moving upstream was reasonably high (70%) at
326 the LI, we would expect that if fish were unsuccessful in their attempts to pass the
327 RVWs, there would have been some individuals detected at the LI moving back
328 downstream. We did not observe any fish move back downstream. None the less, our
329 design was likely better at recording success rather than failure of passage through the
330 series of RVW.

331

332 It is difficult to decipher failure because small *O. mykiss* in our study could not be
333 assumed to have a definitive motivation to move upstream, unlike upstream movement of
334 adult steelhead near spawning time or downstream movement of steelhead smolts.
335 Cargill (1980) reported that wild rainbow trout in small streams had no significant
336 upstream or downstream movement after 2.5 years. Furthermore, Helfrich and Kendall
337 (1982) found that hatchery released rainbow trout in a mountain stream showed mostly
338 local movements within 1 km of their stocking locations and that most of the fish moved
339 downstream. While Leider et al. (1986) provided some evidence of upstream movement
340 of presmolt steelhead up to 2 km, most parr emigrated downstream. McMichael and

341 Pearsons (2001) observed residual hatchery steelhead moved over 12 km upstream. The
342 relatively low number of *O. mykiss* tagged at the fish trap (> 3 km downstream) that were
343 detected (13) and recaptured (5) at or above the RVW indicate that small *O. mykiss*
344 lacked motivation to move large distances upstream in Beaver Creek.

345

346 Water use in eastern Oregon and Washington has increased due to large areas of land
347 made more useful for agriculture through irrigation (Wissmar et al. 1994). Farmers and
348 ranchers have come to rely on this water to grow crops and raise cattle. Unfortunately,
349 increase in irrigation using water diversions has often been at the expense of threatened
350 and endangered aquatic species. Habitat enhancement measures such as RVW have been
351 widely implemented to reduce human impacts, but the effectiveness of RVW for fish had
352 not been well documented (Roni et al. 2002) due to lack of funding and appropriate
353 methodologies to conduct definitive studies. Our work demonstrates an effective method
354 for testing these enhancement measures and showed that RVW were effective at passing
355 small fish upstream. Modification of a century old barrier helped to restore longitudinal
356 connectivity of depressed populations of salmonids, but also for brook trout, an
357 introduced species.

358

359

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List of Figures

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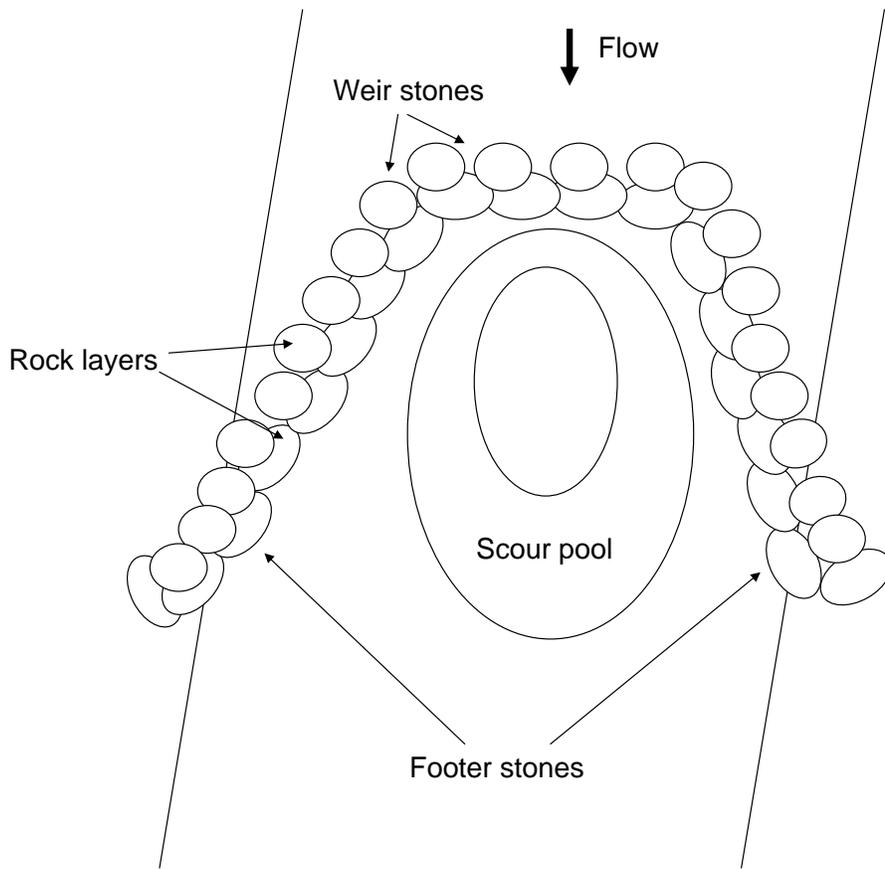
Figure 1. Design of a typical rock vortex weir.

Figure 2. Sites for locations of PIT tag interrogators, fish trap, and series of rock vortex weirs in Beaver Creek. The upper interrogator (UI) was a multiplexing system with six antennas, while the lower interrogator (LI) was a single antenna system.

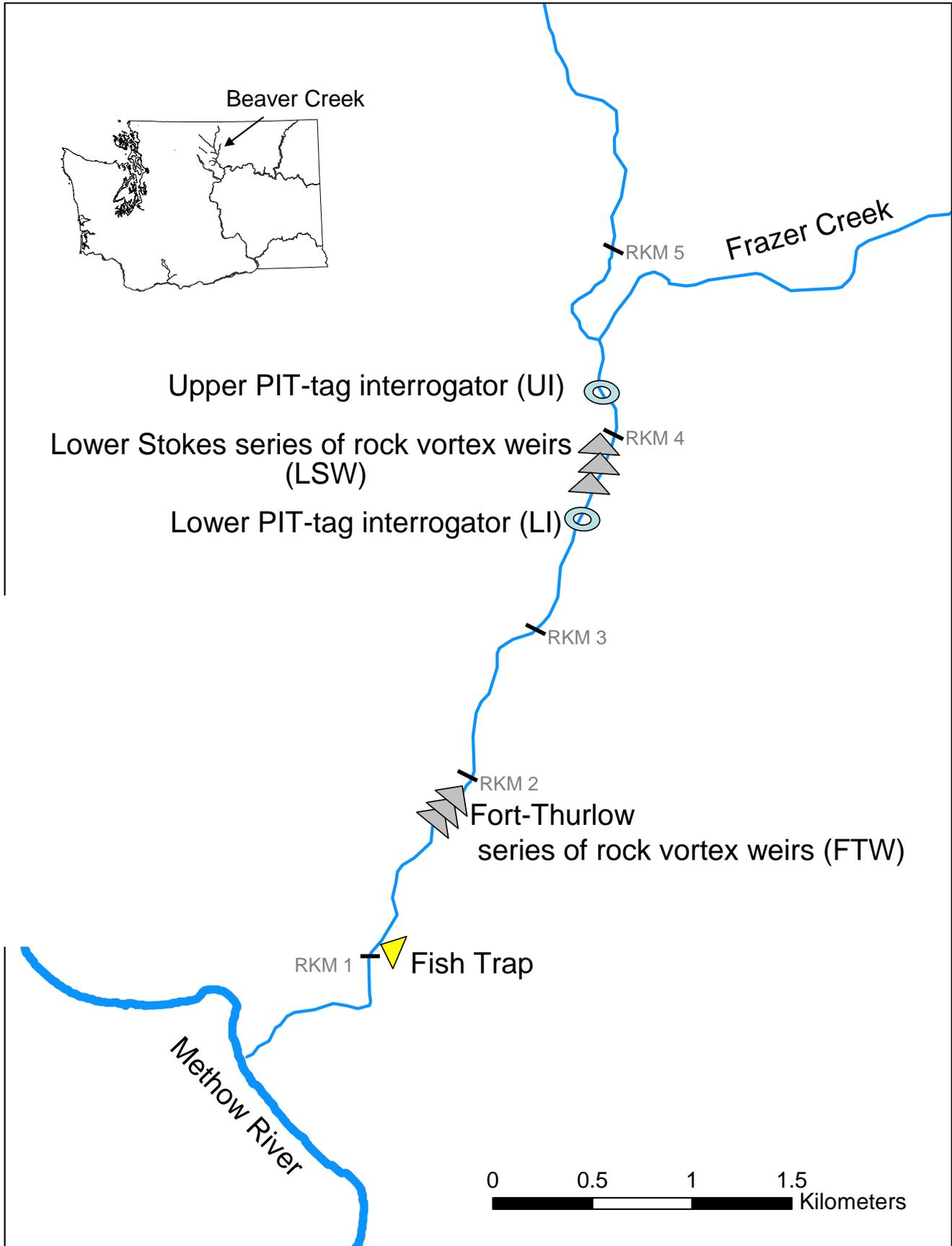
Figure 3. The amount of time for juvenile *O. mykiss* (86-238 mm adjusted fork length) to move upstream through the Lower Stokes series of rock vortex weirs. No fish were observed to move through the rock vortex weir in the winter.

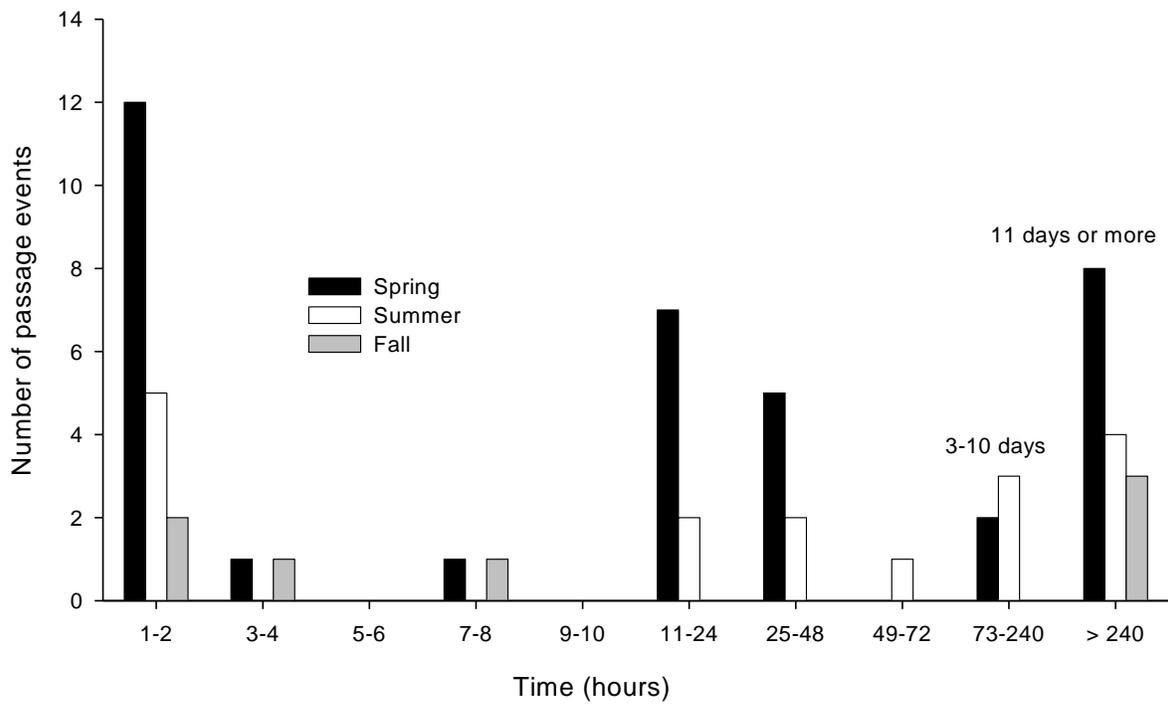
Figure 4. Upstream fish passage events for fast- and slow- moving juvenile *O. mykiss* at low and high flows over a set of PIT tag antennas (A) and a rock vortex weir (B).

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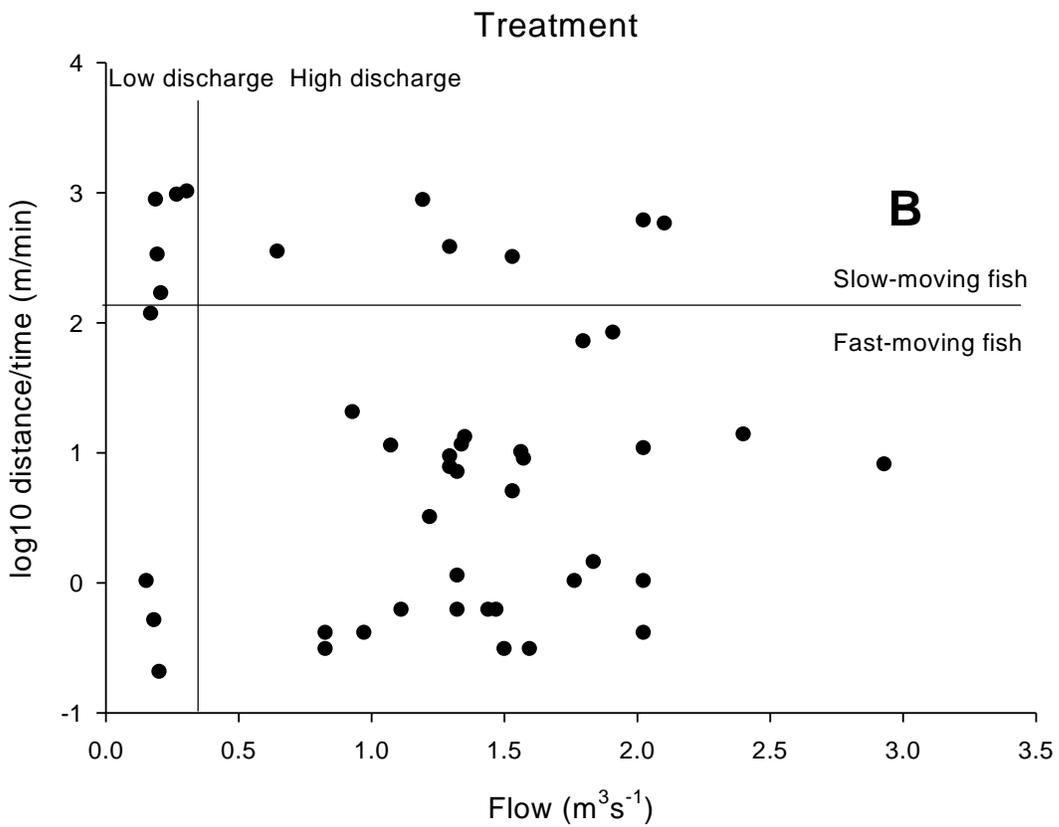
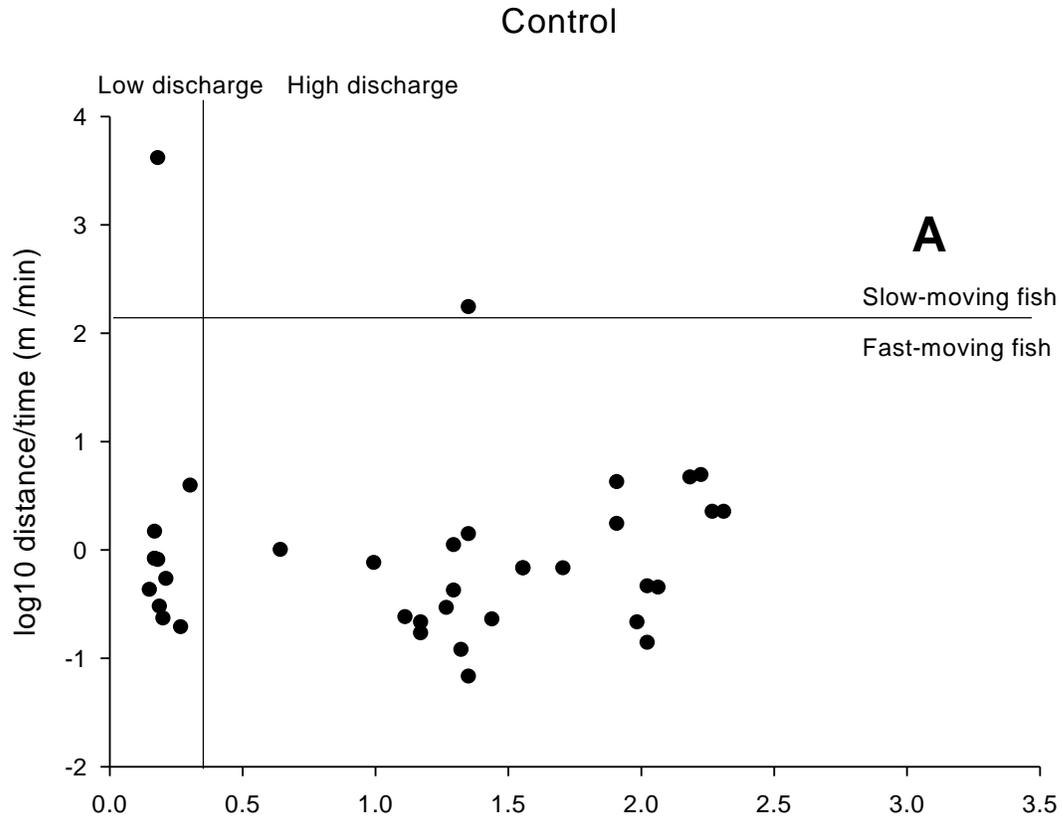


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