

RECLAMATION

Managing Water in the West

CVPIA Program Evaluation

Stakeholder Working Group

Meeting #5

February 7, 2006



U.S. Department of the Interior
Bureau of Reclamation

Agenda

- **Review Last Week's Action Items**
 - Environmental/Fishing Participation
 - Purpose Statement
 - Scope of "Parallel Process"
 - Definitions
- **Review Matrix for Program Activity Assessment**
 - Matrix Structure
 - Assessment Priorities
 - Getting Started
- **Next Steps**
 - Completing Matrix
 - Performance Goal Definitions

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Ground Rules

- **Participate Fully**
 - Ask for what you need from the meeting process and participants
 - No cell phones, pagers, voicemail, etc.
- **Honor Time Constraints & Deadlines**
 - Use agendas to stay organized
 - Keep comments and discussion concise
 - Stay focused on the topic – Use the parking lot for other issues
- **Respect Each Other**
 - Listen carefully to other team members
 - Respond to ideas and issues, not individuals
- **Support Constructive Discussion**
 - Drop the history at the door
 - Suggest improvements and solutions
 - Build on others' ideas – Use “and” instead of “but”
- **Support Responsible External Communication**
 - Inform and involve your managers in this process (and be cognizant of others' constraints)
 - Be consistent (seek agreement on messages as a group)
 - Represent the group positively (don't take storm clouds external)
 - Bring issues and challenges to this group first

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Purpose

Statement of Assignment

- 1) **Reclamation and USFWS will conduct a “parallel process” to evaluate CVPIA program progress and completion:**
 - 1) Develop a definition of complete at the programmatic level for CVPIA and for each individual program activity
 - 2) Develop objective criteria to assess the progress and effectiveness of individual programs
 - 3) Work closely with the CVP Water and Power Contractors and the Restoration Roundtable to ensure that their information needs and concerns are recognized through this process
- 2) **To the extent practicable, this “parallel Process” will be coordinated with the Reclamation and USFWS PART* review of the CVPIA Program, in partnership with OMB.**
 - Examine the purpose and performance of CVPIA Program at the highest level, focusing on 5 to 7 performance goals and measures -- OMB Guidance
- 3) **Campbell, Jeff, and Bernice will draft a purpose statement for the parallel process, including the desired outcomes by July 1.**

* Program Assessment Rating Tool

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Scope of “Parallel Process” Evaluation

- **Working Group will evaluate all program activities eligible for funding from the Restoration Fund**
 - **Section 3407(a)**
 - **Not less than 67 percent of the Restoration Fund are to carry out the habitat restoration, improvement and acquisition (from willing sellers) provisions of the title.**
 - **Not more than 33 percent of the Restoration Fund are to carry out the provisions of paragraphs 3406(b)(4)-(6), (10)-(18) and (20)-(22) of this title.**

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Terminology & Definitions

- **Programs & Activities**

- **Preliminary Definitions:**

- **Program**

- The overall effort to implement the provisions of CVPIA

- **Program Activities**

- The individual provisions of CVPIA (>33) that are being implemented by “Program Managers” at Reclamation and USFWS

- **Actions**

- Planning, implementation, and/or monitoring tasks that are deemed necessary to accomplish an established outcome.
 - » Typically, the program activity will define the outcome or guide the development of the outcome, and thus will require the development of supporting actions.
 - » However, some program activities may exclusively be an action or specific set of actions.

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Completion “Binning” Exercise

Areas of Agreement

- **Complete**

- *b(6) Shasta TCD*
- *b(17) ACID*
- *b(19) Reservoir Storage*
- *b(22) Ag Waterfowl*
- *d(6) Private Wetlands*
- *(e) Supporting Investigations*
- *(f) Fisheries Impact Report*

- **Not Complete**

- *b(3) Refuge Water Level 4*
- *b(4) Tracy Pumping Plant*
- *b(10) Red Bluff*
- *b(18) Striped Bass Fishery*
- *c(1) San Joaquin Comprehensive Plan*
- *d(5) Refuge Wheeling*
- *d(5) Refuge Facility Construction*
- *3408(h) Land Retirement*

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Completion “Binning” Exercise Need Further Discussion

- *b(1) AFRP*
- *b(1) other Other CVP Impacts, SJRRR*
- *b(2) Dedicated Project Yield*
- *b(3) Water Acquisition*
- *b(5) Contra Costa*
- *b(7) Flow Standards*
- *b(8) Short Pulses*
- *b(9) Flow Fluctuation Study*
- *b(11) Coleman*
- *b(12) Clear Creek*
- *b(13) Spawning Gravel*
- *b(14) Delta Cross Channel*
- *b(15) Old River Barrier*
- *b(16) CAMP*
- *b(20) GCID*
- *b(21) AFSP*
- *b(23) Trinity River*
- *c(2) Stanislaus River*
- *d(5) San Joaquin Basin Action Plan*
- *(g) Eco/Water Ops Model*
- *3408(i) Water Conservation*
- *3408(j) Water Augmentation*

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Review & Synthesize Completion Factors

- **Potential Factors for Grouping**
 - Output Type: Action, Program, Report, etc.
 - Broad Acceptance: Yes/No
 - Outcome Type: Structural, Operations, Biological/Habitat
- **Initial Factors Affecting Completion**
 - Purpose, objective, or goals defined
 - Actions defined
 - Investigation/report completed
 - Structure operating and/or operations modified
 - Funding source for ongoing activities
 - Sunset date
- **Other Factors**
 - Completion date specified

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Work Plan Tasks & Schedule

Suggested Major Activities

Initial “Binning” for Completeness

Group & Prioritize Program Activities

Assess Program Activities

Define Performance Goals

Evaluate Progress/Completion

Work Plan for Completion

ID Process after 7/1

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Wrap-up

- **Action Items**
- **Next Meetings**
- **Thursday Agenda**
 - Complete Matrix Exercise
 - Review Completion Factors
- **Meeting Review (+ Δ)**

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Extra Slides

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Terminology & Definitions

- **PART Definitions**

- **Performance Goal** – Sets a target level of performance over time expressed as a tangible, measurable objective, against which actual achievement can be measured
- A **performance goal** includes:
 - **Performance Measure** (next slide)
 - **Targets** – Quantifiable or measurable characteristic that tells how well a program must accomplish a performance measure
 - **Timeframe** – The anticipated timeframe for achieving the performance measure (can be annual or long-term)
- A **performance goal** should generally be related to program purposes and goals

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Terminology & Definitions

- **PART Definitions**

- ***Performance Measure*** – Indicators, statistics, or metrics to gauge program performance
- There are two relevant types of ***performance measures***:
 - ***Output Measures*** – Internal activities of a program
 - ***Outcome Measures*** – External events or conditions that result from the program for the beneficiaries or the public
- PART encourages/emphasizes ***outcome measures***

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Terminology & Definitions

- **Example Performance Goal Using PART Definitions**
 - *Performance Goal*: Increased sustainable populations of anadromous fish
 - *Performance Measure*: Anadromous fish populations (specify geography, counting method, etc.)
 - *Target*: Population doubled compared to baseline
 - *Timeframe*: TBD
- **Example Types of Performance Goals for CVPIA**
 - Fish
 - Habitat
 - Water Operations
 - Investigation & Monitoring

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Performance Goals & Measures

- *Suggested approach:*
 - Define performance goals and measures for CVPIA “outputs” to measure “administrative” completion
 - Define performance goals and measures for CVPIA “outcomes” to measure accomplishment of the purpose/intent of CVPIA
 - Explore the relationship and linkages between these two types of performance goals as we proceed

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Terminology & Definitions

- **Program Completion**

- Language from (CVPIA Section 3407)

“That upon the completion of the fish, wildlife, and habitat mitigation and restoration actions mandated under section 3406 of this title, the Secretary shall reduce the sums described in paragraph (c) (2) of this section to \$35,000,000 per year (October 1992 price levels) and shall reduce the annual mitigation and restoration payment ceiling established under this subsection to \$15,000,000 (October 1992 price levels) on a three-year rolling average basis.”

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