

Central Valley Project Improvement Act – Program Review

CVPIA Program Review

Restoration Fund Roundtable

March 16, 2006



U.S. Department of the Interior
Bureau of Reclamation

U.S. Fish and Wildlife
Service



Presentation & Discussion

- **CVPIA Program Review Overview**
 - History and Background
 - OMB Program Assessment Rating Tool (PART) Review
 - CVPIA Program Activity Review
- **OMB PART Review**
 - Purpose
 - Important Definitions
 - Schedule
 - Questions & Discussion
- **CVPIA Program Activity Review**
 - Background
 - Purpose Statement
 - Activities and Schedule
 - Participation
- **Working Group Panel Discussion**
 - Questions & Discussion

Central Valley Project Improvement Act – Program Review

CVPIA Program Review Overview

Allan Oto, Reclamation

John Engbring, Fish & Wildlife Service



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History and Background

Program Planning and Implementation

- **CVPIA Enacted – 1992**
 - Established CVPIA Restoration Fund
- **Program Planning – 1992 to 2000**
 - Programmatic EIS and Record of Decision
- **Implementation – 1993 to present**
 - 38 Environmental Restoration Program Activities

History and Background

Program Performance Reviews & Assessments

- **Reclamation and Service**

- Inspector General Audit – March 1998
- 10-year Report – October 2002
- Annual Reports to Congress
- Public Meetings on Annual Work Plans

- **Others**

- Semi-annual Briefings to Restoration Fund Roundtable
- CVPWA Assessment – 2003-2005
- Environmental Defense – December 2005

Statement of Assignment

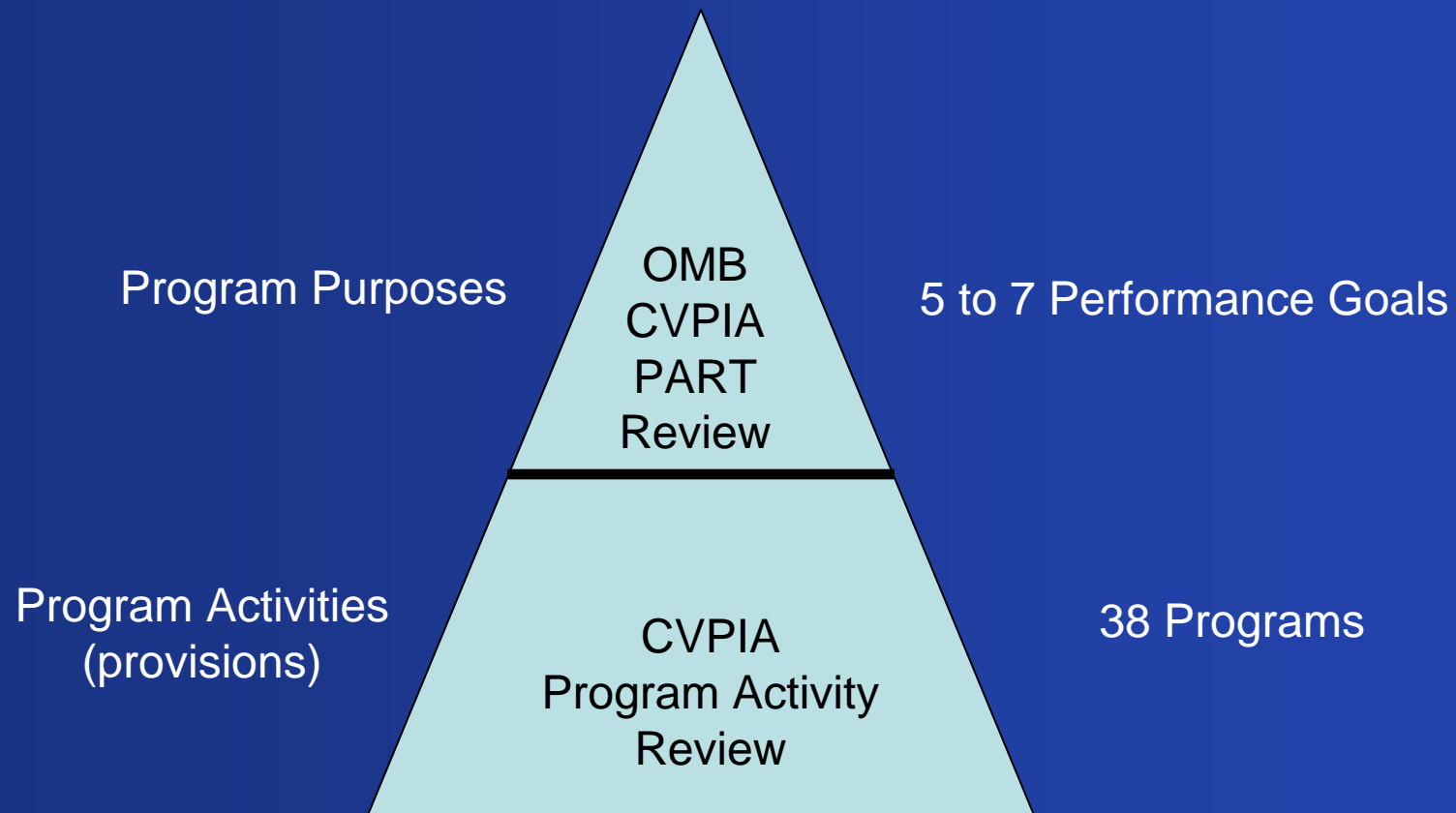
Office of Management and Budget and the Department of the Interior requested two simultaneous performance reviews:

1. OMB: Reclamation, in coordination with the Service, will complete the Program Assessment Rating Tool (PART) review
 - Examine the purpose and performance of CVPIA Program at the highest level, focusing on 5 to 7 performance goals and measures
 - Included in federal budget planning for FY2008
2. Interior: Reclamation and the Service will conduct a “parallel process” to evaluate CVPIA program progress and completion:
 - Develop a definition of complete at the programmatic level for CVPIA and for each individual program activity
 - Develop objective criteria to assess the progress and effectiveness of individual programs
 - Work closely with tribes, agencies, the Restoration Fund Roundtable, and other interested parties to ensure that their information needs and concerns are recognized

Terminology & Definitions

- **Preliminary Definitions:**
 - Program
 - The overall effort to implement the provisions of CVPIA
 - Program Activities
 - The individual provisions of CVPIA (~38) that are being implemented by “Program Managers” at Reclamation and USFWS
 - Actions
 - Planning, implementation, and/or monitoring tasks that are deemed necessary to accomplish an established outcome or output.

Two Performance Reviews



OMB PART Review

- **Central to the Bush Administration's Budget and Performance Integration Initiative**
- **Systematic method of assessing program performance across the Federal government**
- **5th year of implementation with 100% of Federal programs assessed by year's end**
- **An Agency ↔ OMB Partnership**

CVPIA Program Activity Review

- **More detailed review of environmental restoration provisions**
 - Sections 3406 and 3408
- **Assess the status of each program activity**
- **Confirm and refine program activity performance goals**
- **Clarify the agencies' interpretation and application of Section 3407 regarding program completion**
- **Establish ongoing program activity performance review procedures**

Schedule

PART Review

- April 14: 1st official PART draft due to OMB
- February 2007: PART Review published with President's FY2008 budget

Program Activity Review

- July: Report on Results of CVPIA Program Activity Review

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OMB PART Review

Program Assessment Rating Tool

Susan Hoffman, Reclamation



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PART Review Goals

- **Relate budget and performance to agency's strategic mission and program purposes**
- **Focus on results for the intended beneficiaries**
- **Provide information that helps make budget decisions**
- **Provide clear measures for annual and long-term performance**

PART Review

- **Program Assessment and Rating Tool (PART)**
 - Office of Management and Budget (OMB) review of Federal programs
- **A set of 25 common questions that evaluates program performance in four areas:**
 - I. Program Purpose and Design
 - II. Strategic Planning
 - III. Program Management
 - IV. Program Results
- **Answers require clear explanations and rigorous supporting evidence**
- **Information submitted to OMB for review & comment**

PART Review Sections

I. Program Purpose and Design

- Clarity of purpose
- Soundness of design

II. Strategic Planning

- Valid long-term & annual performance measures
- Established targets & timeframe

III. Program Management

- Effective management including financial accountability
- Active management including course corrections

IV. Program Results

- Actual progress in achieving performance goals
- Supporting evidence & documentation

PART Performance Goals

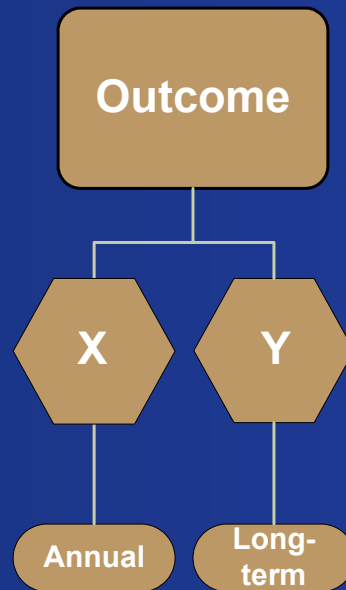
Are stated as:

Performance Measures

Targets

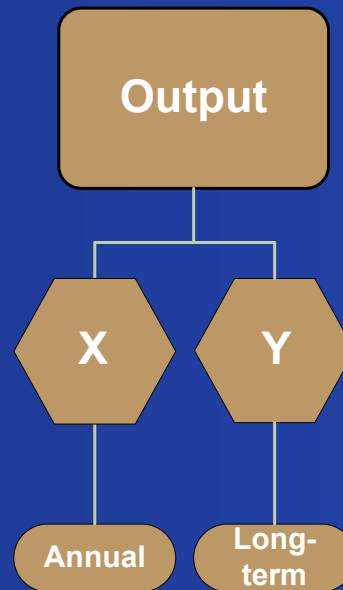
- Ambitious
- Achievable
- Quantifiable from reliable baseline

Timeframes



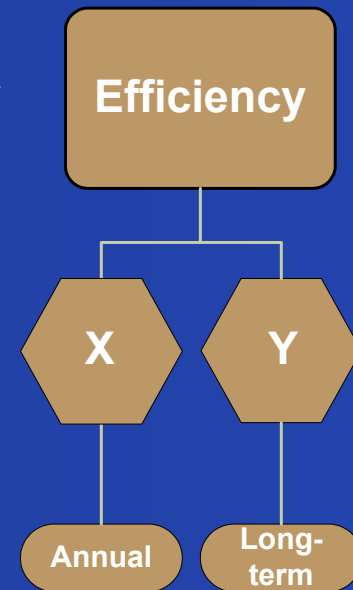
What is the program's goal or purpose?

and/or



What does the program do to achieve its goal or purpose?

and/or



What is the program's ability to implement its activities and achieve results relative to resources?

Schedule

- ***April 14***
 - 1st official PART draft due to OMB
- ***June 23***
 - Lock-down of PART database with OMB access only
- ***August 25***
 - All agency appeals resolved
- ***February 2007***
 - PART published with President's FY2008 budget

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CVPIA Program Activity Review

Shana Kaplan, Reclamation

Dale Garrison, Fish & Wildlife Service



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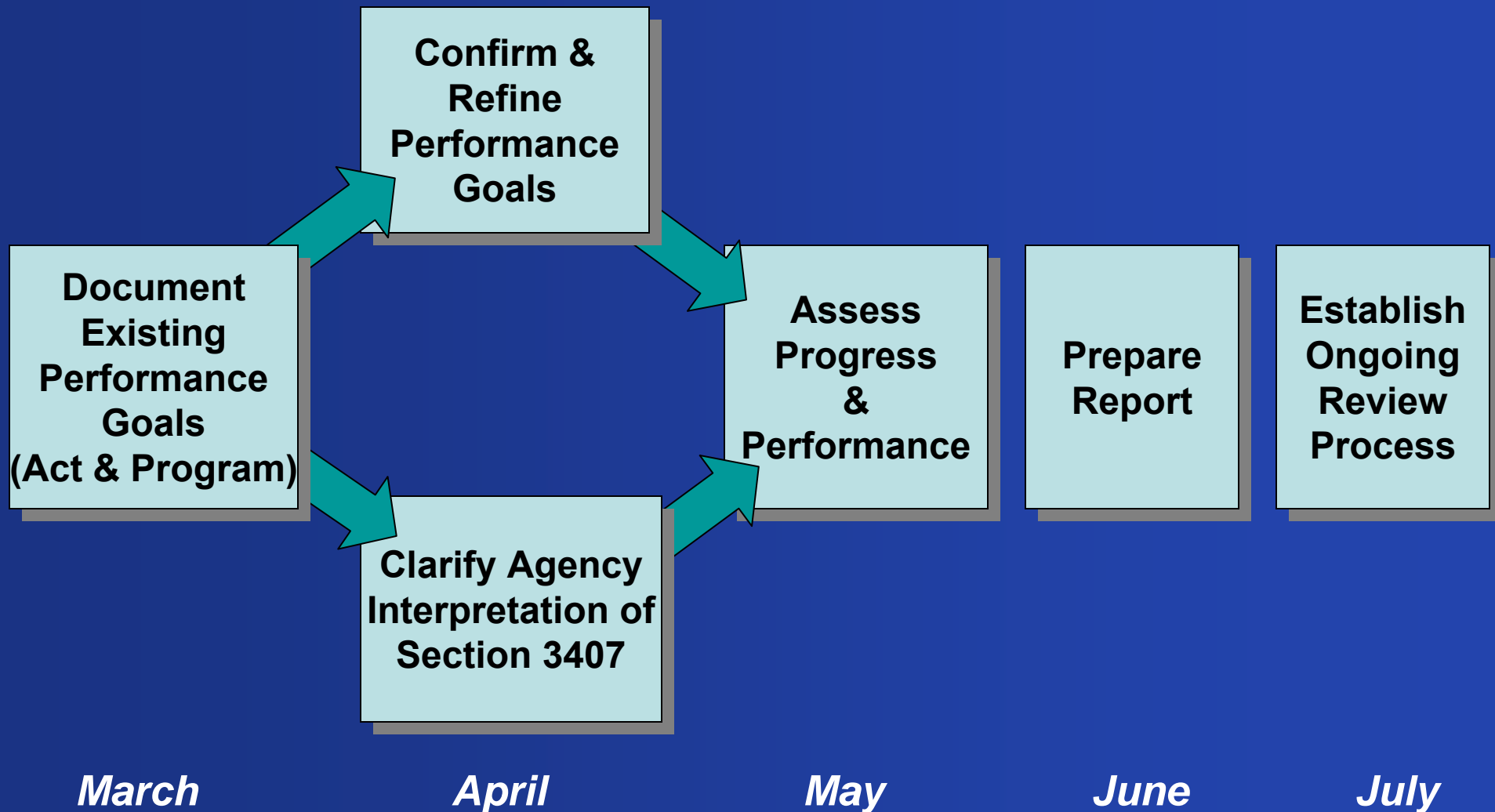
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Scope of Review

- **Environmental restoration provisions of Sections 3406 and 3408**
 - 38 Program Activities

CVPIA Program Activity Review



Participation & Responsibilities

- **Working Group Meetings and Discussions for Program Activity Review are:**
 - Open, public, and transparent
 - Inclusive, participatory, and representative of all interests
 - Agencies
 - Tribes
 - Water and Power Contractors
 - Environmental Interests
 - Other Interested Parties
 - Requires a commitment of time and energy to weekly meetings through July

Draft Purpose Statement

- The purpose of the Central Valley Project Improvement Act (Act) Program Activity Review is to define completion criteria, clarify performance goals, and assess the status and progress of the Section 3406 and 3408 provisions of the Act.
 - **The Bureau of Reclamation (Reclamation) and Fish & Wildlife Service (Service) will conduct this Program Review with other federal, tribal, and state agencies, stakeholders, and other interested parties.**
- Reclamation and the Service will prepare a document presenting the perspectives and conclusions of the Program Activity Review by July 2006.
 - **The document will show the status and progress achieved in implementing the Act and recommendations for guiding future decisions in the development of program activities.**

Outreach & Communication for Program Activity Review

- **Restoration Fund Roundtable**
 - Forum for the agencies to discuss the CVPIA Program and priorities with stakeholders and interested parties
 - Agencies will report on progress approximately every other month
- **CVPIA Program Activity Review Working Group**
 - Detailed discussions of program assessment and performance measures for each program activity
 - Meetings approximately weekly
- **Briefings and Public Outreach**
 - Briefings and public outreach to provide progress reports and public review
- **Specific Program Activity Outreach**
 - Use existing mechanisms to review specific program activities with interested parties

Working Group Activities to Date

- **Reviewing list of Program Activities**
 - Documenting Existing Performance Goals
 - As defined in the Act
 - As defined by the Agency/Programs
 - Using Excel Spreadsheet Matrix
- **Example: b(2) Dedicated Project Yield**
 - **Description:** “...dedicate and manage annually 800,000 acre-feet of Central Valley Project yield for the primary purpose of implementing the fish, wildlife, and habitat restoration purposes and measures authorized by this title.”
 - **Metric:** Acre-feet of yield
 - **Targets:** 800,000 Acre-feet
 - **Timeframes:** Upon enactment, annually

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Working Group Panel

Questions and Discussion



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