

Central Valley Project Improvement Act – Program Review

CVPIA Program Activity Review

Stakeholder Working Group

March 6, 2006



U.S. Department of the Interior
Bureau of Reclamation

U.S. Fish and Wildlife
Service



Agenda

- **Introductions & Agenda Review**
- **Working Group Purpose**
 - Background, Goals & Outcomes
 - Schedule
 - Participation, Roles & Responsibilities
- **Working Group Activities to Date**
 - Ground Rules
 - Purpose Statement
 - Definitions
 - Matrix
- **Working Group Plan of Action**
- **Wrap-up**
 - Next Meetings
 - Review

Purpose

Statement of Assignment

- 1) Reclamation and USFWS will conduct a “parallel process” to evaluate CVPIA program progress and completion:
 - 1) **Develop a definition of complete at the programmatic level for CVPIA and for each individual program activity**
 - 2) **Develop objective criteria to assess the progress and effectiveness of individual programs**
 - 3) **Work closely with the stakeholders, interested parties, and the Restoration Roundtable to ensure that their information needs and concerns are recognized through this process**
- 2) To the extent practicable, this “Parallel Process” will be coordinated with the Reclamation and USFWS PART* review of the CVPIA Program, in partnership with OMB.
 - **Examine the purpose and performance of CVPIA Program at the highest level, focusing on 5 to 7 performance goals and measures -- OMB Guidance**
- 3) Campbell, Jeff, and Bernice will draft a purpose statement for the parallel process, including the desired outcomes by July 1.

* Program Assessment Rating Tool

PART Review

- **Program Assessment and Rating Tool (PART)**
 - Office of Management and Budget (OMB) review of federal programs
- **A set of 25 common questions that evaluates program performance in four areas:**
 - Program Purpose and Design (20%)
 - Strategic Planning (10%)
 - Program Management (20%)
 - Program Results (50%)
- **Answers require clear explanations and rigorous supporting evidence**
- **Reassessments can occur each year & especially when significant program changes take place**
- **Information submitted to OMB for review & comment**

Terminology & Definitions

- **Programs & Activities**

- Preliminary Definitions:

- Program

- The overall effort to implement the provisions of CVPIA

- Program Activities

- The individual provisions of CVPIA (~38) that are being implemented by “Program Managers” at Reclamation and USFWS

- Actions

- Planning, implementation, and/or monitoring tasks that are deemed necessary to accomplish an established outcome.

- » Typically, the program activity will define the outcome or guide the development of the outcome, and thus will require the development of supporting actions.

- » However, some program activities may exclusively be an action or specific set of actions.

CVPIA Program Activity Review

- **Review List of CVPIA Program Activities**
 - All program activities eligible for funding from the Restoration Fund
- **Assess the status of each program activity**
- **Confirm and refine program activity performance goals**
- **Clarify the agencies' interpretation and application of Section 3407 regarding program completion**
- **Establish ongoing program activity performance review procedures**

Schedule

PART Review

- April 14: 1st official PART draft due to OMB
- February 2007: PART Review published with President's FY2008 budget

Program Activity Review

- July: Report on Results of CVPIA Program Activity Review

Outreach & Communication for Program Activity Review

- **Restoration Fund Roundtable**
 - Forum for the agencies to discuss the CVPIA Program and priorities with stakeholders and interested parties
 - Agencies will report on progress approximately every other month
- **CVPIA Program Activity Review Working Group**
 - Detailed discussions of program assessment and performance measures for each program activity
 - Meetings approximately weekly
- **Briefings and Public Outreach**
 - Briefings and public outreach to provide progress reports and public review
- **Specific Program Activity Outreach**
 - Use existing mechanisms to review specific program activities with interested parties

Participation & Responsibilities

- **Working Group Meetings and Discussions for Program Activity Review are:**
 - Open, public, and transparent
 - Inclusive, participatory, and representative of all interests
 - Agencies
 - Water and Power Contractors
 - Environmental Interests
 - Tribes
 - Other Interested Parties
 - Requires a commitment of time and energy to weekly meetings through July

Ground Rules

- **Participate Fully**
 - Ask for what you need from the meeting process and participants
 - No cell phones, pagers, voicemail, etc.
- **Honor Time Constraints & Deadlines**
 - Use agendas to stay organized
 - Keep comments and discussion concise
 - Stay focused on the topic – Use the parking lot for other issues
- **Respect Each Other**
 - Listen carefully to other team members
 - Respond to ideas and issues, not individuals
- **Support Constructive Discussion**
 - Drop the history at the door
 - Suggest improvements and solutions
 - Build on others' ideas – Use “and” instead of “but”
- **Support Responsible External Communication**
 - Inform and involve your managers in this process (and be cognizant of others' constraints)
 - Be consistent (seek agreement on messages as a group)
 - Represent the group positively (don't take storm clouds external)
 - Bring issues and challenges to this group first

Draft Purpose Statement

- The purpose of the Central Valley Project Improvement Act (Act) Program Activity Review is to define completion criteria, clarify performance goals, and assess the status and progress of the Section 3406 and 3408 provisions of the Act. The Bureau of Reclamation (Reclamation) and Fish & Wildlife Service (Service) will conduct this Program Review with other federal and state agencies, stakeholders, and other interested parties.
- Reclamation and the Service will prepare a document summarizing the perspectives and conclusions of the Program Activity Review by July 1, 2006. The document will show the status and progress achieved in implementing the Act and recommendations for guiding future decisions in the development of program activities.

Terminology & Definitions

- **Definitions**

- *Performance Goal* – Sets a target level of performance over time expressed as a tangible, measurable objective, against which actual achievement can be measured

- A *performance goal* includes:

- *Performance Measure* (next slide)

- *Targets* – Quantifiable or measurable characteristic that tells how well a program must accomplish a performance measure

- *Timeframe* – The anticipated timeframe for achieving the performance measure (can be annual or long-term)

- A *performance goal* should generally be related to program purposes and goals

Terminology & Definitions

- **Definitions**

- *Performance Measure* – Indicators, statistics, or metrics to gauge program performance
- There are two relevant types of *performance measures*:
 - *Output Measures* – Internal activities of a program
 - *Outcome Measures* – External events or conditions that result from the program for the beneficiaries or the public

Performance Goal(s)

Are stated as:

Performance Measures

Outcome

and/or

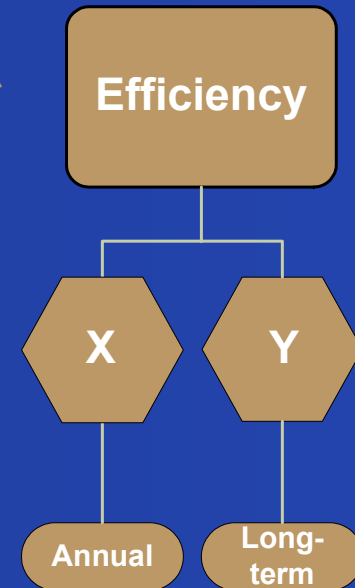
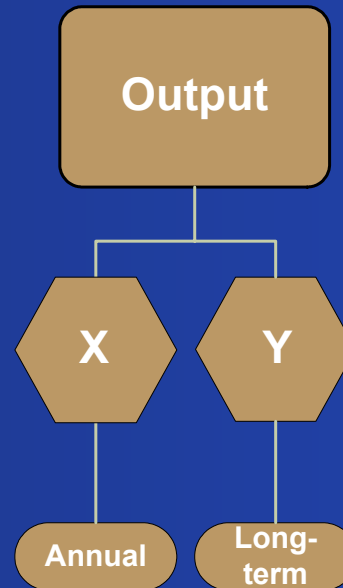
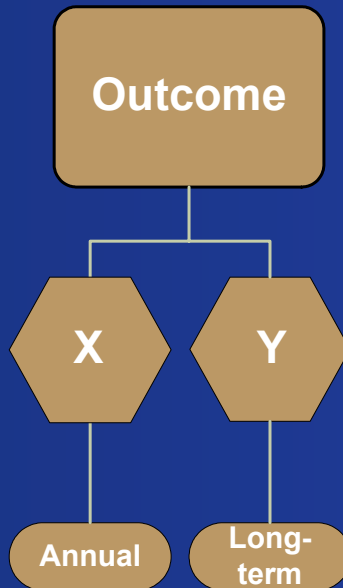
Output

and/or

Efficiency

Targets

- Ambitious
- Achievable
- Quantifiable from reliable baseline



Timeframes

What is the program's goal or purpose?

What does the program do to achieve its goal or purpose?

What is the program's ability to implement its activities and achieve results relative to resources?

Assessment Matrix

- **Activity (Output) Type:**
 - Program
 - Report
 - Investigation
 - Plan
 - Measure (action)
 - Monitoring
 - Acquisition
- **Purpose (Outcome) Type:**
 - Fisheries
 - Habitat
 - Structural
 - Operations

Work Plan Tasks

Suggested Major Activities

Complete Matrix

Assess Program Activities

Set Priorities

Define Performance Goals

Evaluate Progress/Completion

Work Plan for Completion

ID Process after 7/1

Wrap-up

- **Next Meetings**
 - March 9
 - Others
- **Meeting Review (+ Δ)**